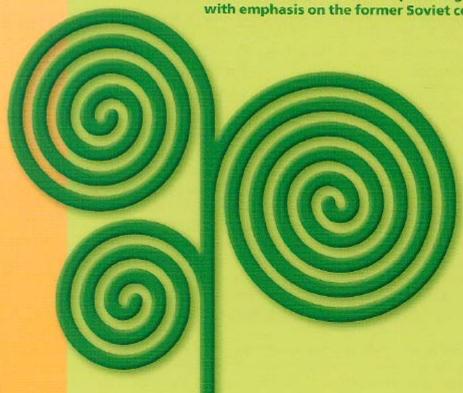




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## FEEDING AND NUTRITION OF INFANTS AND YOUNG CHILDREN

Guidelines for the WHO European Region, with emphasis on the former Soviet countries



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### **Foreword**

A child's first 2 or 3 years of life are the most crucial for normal physical and mental development. Nevertheless, current feeding practices in some countries may be doing more harm than good to the development of young children. Children under 3 years of age are vulnerable to poor nutrition; the growth rate during this period is greater than at any other time, and there thus exists an increased risk of growth retardation. Also, the immunological system is not fully mature at this age, resulting in a risk of frequent and severe infections. Both cognitive and emotional potentials start to develop early, and so the foundations of intellectual, social and emotional competencies are also established during this period. In summary, poor nutrition during the early years leads to profound defects including delayed motor and cognitive development, behavioural problems, deficient social skills, a reduced attention span, learning deficiencies and lower educational achievement.

Infants, especially those who have a low birth weight or are otherwise vulnerable, are at high risk of morbidity and mortality during the first 2 years of life, especially after 6 months of age. In the period after birth most infants, even the most vulnerable, grow and develop normally if they are exclusively breastfed. If foods or drinks are introduced too early or are not given safely in the correct quantity at the optimum time, growth rates falter dramatically and can lead to growth retardation. By the time these children are 2 years old, many will be stunted. This is irreversible, and as adults they will remain small and be likely to have reduced mental and physical capacities. To reduce the high prevalence of stunting — common among vulnerable groups in the European Region — national feeding guidelines based on those given in this book should be implemented by health ministries. This will promote normal growth and development in the first 3 years of life, especially for the most vulnerable.

Nutrition-related health problems during the first 3 years of life lead to shortand long-term consequences, such as cardiovascular disease, that limit human potential within society. Improving infant and young child nutrition should thus be a priority, and be seen as an integral part of social and economic development. During times of economic crisis countries face difficult choices, so it is imperative to advocate social sector investments, notably nutrition policies for young children. Failing to ensure that young children receive optimum nutrition is counterproductive. Faced with limited resources, countries may decide to reduce general expenditure by limiting resources devoted to the development of young children. In the long run, however, failing to invest in the young will be more costly to the state and to society. Future mental and physical capacity will be compromised and, in addition, treating the resulting preventable diseases will be extremely costly. By placing emphasis on the first three years of life and developing comprehensive nutrition policies, countries can avert many preventable deaths, avoid irreversible mental damage, and preserve a child's priceless endowment of emotional, intellectual and moral qualities.

The 1997 edition of UNICEF's State of the world's children states: "Approximately half the economic growth achieved by the United Kingdom and a number of Western Countries between 1790 and 1980... has been attributed to better nutrition and improved health and sanitation conditions, social investments made as much as a century earlier". The social and economic costs of poor nutrition are huge. For this reason, international investment banks agree that investing in nutrition makes sense: it reduces health care costs and the burden of chronic preventable diseases in adulthood, it improves social and economic development and it promotes learning and intellectual capacity. No economic analysis, however, can fully do justice to all the benefits of sustained mental, emotional and physical development in childhood.

In general, the central role that nutrition and feeding practices play with regard to the health and development of young children is not sufficiently well understood by enough health professionals. Health professionals should be a source of correct and consistent information on nutrition. These guidelines have been produced to facilitate this and strengthen the role of the health sector. A large proportion of the health service budget is used to treat preventable nutrition-related disorders, costs that could be substantially reduced if these disorders were prevented. Implementing these guidelines will enable countries to develop their own national nutrition policies for infants and young children. In doing this, the health sector can carry out its role effectively within this crucially important area of public health. Children represent the future of a nation, and these guidelines have been produced with the intention that young children, especially the disadvantaged, will have a better future.

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## **Recommendations**

#### **INTRODUCTION**

It is recommended that each country review, update, develop and implement national nutrition and feeding guidelines for infants and young children, based on the recommendations in this publication.

## HEALTH AND NUTRITIONAL STATUS AND FEEDING PRACTICES

It is recommended that each country establish nutrition surveillance of infants and young children as an integral part of its health information system.

Breastfeeding practices, feeding patterns and the nutritional status of infants and young children should be monitored regularly to enable problems to be identified and strategies developed to prevent ill health and poor growth.

#### RECOMMENDED NUTRIENT INTAKES

Each country should use recommended nutrient intakes for infants and young children, based on international scientific evidence, as the foundation of its nutrition and feeding guidelines.

#### **ENERGY AND MACRONUTRIENTS**

Provision of adequate dietary energy is vital during the period of rapid growth in infancy and early childhood. Attention must be paid to feeding practices that maximize the intake of energy-dense foods without compromising micronutrient density.

An adequate protein intake with a balanced amino acid pattern is important for the growth and development of the infant and young child. If the child receives a varied diet, however, protein quantity and quality are seldom a problem. It is prudent to avoid a high-protein diet because this can have adverse effects.

During complementary feeding and at least until 2 years of age, a child's diet should not be too low (because this may diminish energy intake) or too

high in fat (because this may reduce micronutrient density). A fat intake providing around 30–40% of total energy is thought to be prudent.

Consumption of added sugars should be limited to about 10% of total energy, because a high intake may compromise micronutrient status.

#### **VITAMINS**

In countries where there is a high prevalence of childhood infectious disease, it is important to determine whether vitamin A deficiency is a public health problem.

In countries where rickets is a public health problem, all infants should receive a vitamin D supplement as well as adequate exposure to sunlight.

#### **MINERALS OTHER THAN IRON**

In countries where iodine deficiency is a public health problem, legislation on universal salt iodization should be adopted and enforced.

#### **CONTROL OF IRON DEFICIENCY**

Iron deficiency in infants and young children is widespread and has serious consequences for child health. Prevention of iron deficiency should therefore be given high priority.

When complementary foods are introduced at about 6 months of age, it is important that iron-rich foods such as liver, meat, fish and pulses or iron-fortified complementary foods are included.

The too-early introduction of unmodified cow's milk and milk products is an important nutritional risk factor for the development of iron deficiency anaemia. Unmodified cow's milk should not therefore be introduced as a drink until the age of 9 months and thereafter can be increased gradually.

Because of their inhibitory effect on iron absorption, all types of tea (black, green and herbal) and coffee should be avoided until 24 months of age. After this age, tea should be avoided at mealtimes.

Optimal iron stores at birth are important for the prevention of iron deficiency in the infant and young child. To help ensure good infant iron stores, the mother should eat an iron-rich diet during pregnancy.

At birth the umbilical cord should not be clamped and ligated until it stops pulsating.

#### **BREASTFEEDING AND ALTERNATIVES**

All infants should be exclusively breastfed from birth to about 6 months of age, and at least for the first 4 months of life.

Breastfeeding should preferably continue beyond the first year of life, and in populations with high rates of infection continued breastfeeding throughout the second year and longer is likely to benefit the infant.

Each country should support, protect and promote breastfeeding by achieving the four targets outlined in the Innocenti Declaration: appointment of an appropriate national breastfeeding coordinator; universal practice of the Baby Friendly Hospital Initiative; implementation of the International Code of Marketing of Breast-milk Substitutes and subsequent relevant resolutions of the World Health Assembly; and legislation to protect the breastfeeding rights of working women.

#### **COMPLEMENTARY FEEDING**

Timely introduction of appropriate complementary foods promotes good health, nutritional status and growth of infants and young children during a period of rapid growth, and should be a high priority for public health.

Throughout the period of complementary feeding, breast-milk should continue to be the main type of milk consumed by the infant.

Complementary foods should be introduced at about 6 months of age. Some infants may need complementary foods earlier, but not before 4 months of age.

Unmodified cow's milk should not be used as a drink before the age of 9 months, but can be used in small quantities in the preparation of complementary foods from 6–9 months of age. From 9–12 months, cow's milk can be gradually introduced into the infant's diet as a drink.

Complementary foods with a low energy density can limit energy intake, and the average energy density should not usually be less than  $4.2\,\mathrm{kJ}$  (1 kcal)/g. This energy density depends on meal frequency and can be lower if meals are offered often. Low-fat milks should not be given before the age of about 2 years.

Complementary feeding should be a process of introducing foods with an increasing variety of texture, flavour, aroma and appearance, while maintaining breastfeeding.

Highly salted foods should not be given during the complementary feeding period, nor should salt be added to food during this period.

#### **CARING PRACTICES**

Policy-makers and health professionals should recognize the need to support caregivers, and the fact that caring practices and resources for care are fundamental determinants of good nutrition and feeding and thereby of child health and development.

#### **GROWTH ASSESSMENT**

Regular growth monitoring is an important tool for assessing the nutritional status of infants and young children and should be an integral part of the child health care system.

#### **DENTAL HEALTH**

It is recommended that the frequent intake of foods high in sugar, sugary drinks, sweets and refined sugar should be limited to improve dental health.

Teeth should be cleaned gently twice a day as soon as they appear.

An optimal fluoride intake should be secured through water fluoridation, fluoride supplements or the use of fluoride toothpaste.

#### **FOOD SAFETY**

Safe food, clean water and good hygiene are essential to prevent diarrhoea and food- and water-borne diseases, which are a major cause of poor nutrition, stunting and recurrent illness.

Breastfeeding should be encouraged even where contamination of breastmilk is a concern, and mothers should be reassured that the risk from contamination is very small compared with the overall benefits of breastfeeding.

## Introduction

It is recommended that each country review, update, develop and implement national nutrition and feeding guidelines for infants and young children, based on the recommendations in this publication.

## WHY IS THIS PUBLICATION NEEDED AND FOR WHOM IS IT INTENDED?

Optimum nutrition and good feeding of infants and young children are among the most important determinants of their health, growth and development. Good feeding practices will prevent malnutrition and early growth retardation, which is common in some parts of the WHO European Region. Poorly fed children have greater rates and severity of enteric and other infections, and they are at risk of dying prematurely. There is evidence to suggest that infant nutrition has long-term health consequences and plays a role in preventing the development of some chronic noncommunicable diseases in adults. Furthermore, micronutrient deficiencies, especially of iron and iodine, are associated with delayed psychomotor development and impaired cognitive function. Thus improvements in nutrition are desirable not only for the physical health and growth of young children but also for reducing the risk of infection, maximizing psychomotor development and school performance and, in the long term, improving opportunities for participating in social development.

The transition from an exclusively milk diet to one in which an increasing variety of foods is required to satisfy nutritional needs is a particularly vulnerable time. Poor nutrition and less-than-optimum feeding practices during this critical period may increase the risk of faltering growth and nutritional deficiencies. Despite the importance of infant and young child nutrition and feeding practices, limited attention has been paid to the need for guidelines based on scientific evidence. Recommendations on infant nutrition and feeding practices in the eastern part of the European Region are based on former Soviet recommendations, which are outdated and require revision. Moreover, a number of traditional dietary practices in the Region appear to have adverse effects on nutritional status; this is particularly true in the case of iron.

This publication has been produced to address this situation. It contains the scientific rationale for the development of national nutrition and feeding recommendations from birth to the age of 3 years, and is designed to provide information that will help national experts to develop or update their current national feeding recommendations.

This publication builds on WHO/UNICEF recommendations (1) and several national publications (2–6). In addition, a review of the literature has been carried out to develop appropriate scientifically based recommendations for Europe. In some key areas scientific evidence is limited, however, and it has therefore been necessary to base recommendations on pragmatic information, erring on the side of caution. Nevertheless, new data are continually becoming available, and it will be important to review the guidelines frequently and regularly.

The guidelines are designed for the WHO European Region, with emphasis on the countries that resulted from the dissolution of the former Soviet Union. Nutrition and feeding practices vary throughout the Region and these recommendations should be applied flexibly and be adapted to local and national needs and circumstances. Despite the wide range of socioeconomic conditions found between and within the Member States of the Region, it is believed that many recommendations can be applied universally. They are especially applicable to the most vulnerable groups of infants and young children living in deprived conditions. These are mainly found in the eastern part of the Region, but are also common in ethnic minorities and children of low-income families in western Europe.

This publication is primarily intended for ministries of health, paediatricians, dietitians, nutrition scientists and public health and other health professionals interested in nutrition who are concerned with the health of young children. It will allow policy-makers and national experts to develop or update their current national nutrition and feeding recommendations. It can also be used as a text for postgraduate education in child health. It is therefore hoped that the information provided will be effectively disseminated to these health professionals and to others working in relevant areas of the civil service and the private sector. There is now evidence to support claims that optimum infant and young child feeding will reduce the risk of some of the most prevalent adult diseases, such as cardiovascular disease, in the European Region. These guidelines, if implemented, will therefore not only have a positive impact on the health, growth and development of young children, but also strengthen their chances of growing up to be healthy adults.

#### **SOME DETERMINANTS OF HEALTH IN EUROPE**

Historically, life expectancy in the European Region has been high and is increasing. Since the dissolution of the Soviet Union, however, there has been a dramatic decrease in life expectancy at birth in those countries that were formerly part of it. Thus, in 1994, the average life expectancy in these countries was 66 years, which approaches that of developing countries (62 years). This decline in life expectancy is due in part to a rise in mortality among infants and young children.

Reducing premature mortality and so improving life expectancy can be achieved by improving the nutritional status of young children and their mothers. Although the nutrition of mothers is not dealt with in depth in this book, it is recognized that an optimum maternal diet will help to ensure the birth of healthy infants and improve their life expectancy. There is a sizeable body of evidence to suggest that good maternal nutrition supports optimal fetal development, which has long-term health consequences and plays a role in preventing the development of noncommunicable diseases, notably coronary heart disease, hypertension, stroke, chronic bronchitis, obesity and diabetes (7-9). The mother's diet immediately prior to conception and during pregnancy influences the growth and development of the embryo and fetus. Where poor maternal nutrition is common (often linked to poverty) it is associated with low birth weight (< 2500 g), premature birth and high perinatal mortality, as well as the development of noncommunicable diseases in adulthood. The influence of early nutrition on cognitive development and adult health is another area of growing interest, particularly with respect to pre-term and low-birth-weight infants (10–13). Investment in child nutrition will also contribute to a country's economic development (11).

Poor child care is often associated with bad environmental conditions and poverty. While the impact of poverty is greatest in the eastern part of the Region, there is evidence of growing inequalities in western Europe (14). The gap between rich and poor is widening in some countries. It is estimated that almost one third of children in the United Kingdom are living in poverty – three times the number in 1979 – and one in five lives in a household where nobody works – twice the rate of 1979 (15). At the 1998 World Health Assembly it was pointed out that 32% of the population of the WHO European Region is living in poverty (the same percentage as found in developing countries). These statistics are derived from the global reports of the United Nations Development Programme (UNDP) (16) and UNICEF (17) and they illustrate the extent to which underlying poverty could predispose to malnutrition through a poor-quality diet.

Despite the high prevalence of poverty, there is little evidence of widespread protein-energy malnutrition (PEM) throughout the Region. Only in some of the central Asian republics are there signs of wasting and PEM. In Tajikistan and Uzbekistan, around 10% of children have been classified as suffering from PEM. However, in all of the central Asian republics and Azerbaijan, levels of growth retardation and stunting are high. In addition, levels of anaemia are high: 60% and 70% in Kazakhstan and Azerbaijan, respectively (see Chapter 1).

#### THE CONTENTS OF THIS BOOK

Chapter 1 reviews what is known about the current situation of child nutrition, together with limited information about current infant and young child feeding practices from selected countries in the Region.

Chapters 2–6 deal with nutrient recommendations, energy and the macronutrients (protein, fat and carbohydrates) and the most important vitamins and minerals. International recommendations on nutrient intake currently used by the European Union, the United Kingdom, the United States and WHO are compared. These recommendations provide a standard against which the adequacy of the diet of a population of infants and young children can be assessed. The difference between nutrient "requirements" and "recommendations" is explained, and how the latter concept has evolved and is designed to cover the wide range of individual variation in nutritional needs found within a population. The nutrient recommendations provide guidance on how much of each nutrient is required for the optimum health of young children, whether prevention of deficiency is enough, and whether overconsumption of a nutrient can be harmful. Other factors that affect the definition of nutrient recommendations, such as nutrient bioavailability, are also discussed. Iron deficiency anaemia is one of the major health problems throughout the Region and one that this publication aims to prevent, and therefore an entire chapter (Chapter 6) is devoted to this subject.

In Chapters 7–9, the importance of breastfeeding and the appropriate age for the introduction of complementary foods and fluids are reviewed. International experts agree that *exclusive* breastfeeding during early infancy is the most beneficial. The precise age at which appropriate, adapted family foods should be introduced will differ from infant to infant. Too early an introduction of complementary foods and fluids increases the risk of infection and reduces the benefit of exclusive breastfeeding. Too late an introduction can result in interruption of growth, undernutrition and an

increased risk of illness. Chapter 9 is dedicated to the important role of the caregiver, and emphasizes that how infants and children are cared for can have a dramatic impact on their growth and development.

Chapter 10 deals with normal growth and development. Dental health, a major problem in children, especially in eastern Europe, is addressed in Chapter 11. Finally, Chapter 12 stresses the critical importance of preparing food for infants and children in a clean and hygienic way in the home. Poor food hygiene results in an increased incidence of diarrhoea, one of the main causes of growth retardation and stunting in the Region.

#### **TERMINOLOGY**

The term *exclusive breastfeeding* is frequently used in this book and means that all fluid, energy and nutrient requirements are provided by breast-milk alone, even in hot climates. The only possible exception is the addition of small amounts of medicinal supplements.

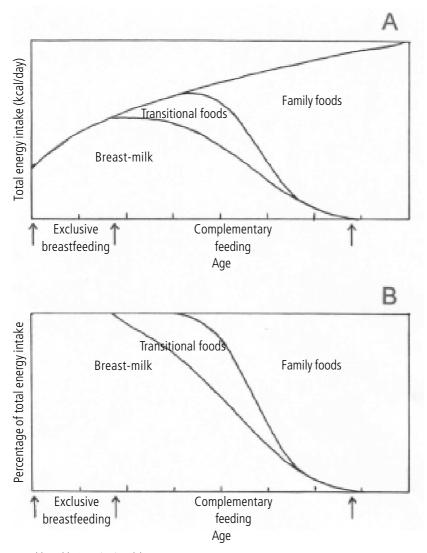
When foods are specifically prepared to meet the particular nutritional or physiological needs of the infant, they are described as complementary foods and could either be *transitional foods* or *adapted family foods*. These terms are used in the way that the term "weaning foods" is or was used. The term "weaning" is no longer recommended because, although it is derived from the old English wenian, meaning "to accustom", it has come to imply the cessation of breastfeeding. In this publication the term "weaning" is purposely avoided and it is stressed that the introduction of complementary foods should neither displace breast-milk nor initiate the withdrawal of breastfeeding.

The introduction of complementary foods in relation to the age of the child is shown in Fig. 1. Part A illustrates the contribution of breast-milk and other foods to total energy intakes at different ages; part B presents the same information as a percentage of total energy intake.

## ADAPTATION AND IMPLEMENTATION OF THESE GUIDELINES

The foods that constitute the customary diet of infants in the WHO European Region are extremely varied because of the diversity of what is available and of cultural habits. To address these issues effectively it will be necessary for health ministries to produce national guidelines suited to the local situation. Before such guidelines can be developed, however, local

Fig. 1. Contribution of different food sources to young children's energy intake in relation to age



Source: World Health Organization (1).

conditions should be assessed. Gathering quantitative food intake data on the customary diet of young children is a challenge, but it is important to collect such information in addition to anthropometric data on weight and length. It is strongly recommended that health ministries, ideally jointly with ministries responsible for food and agriculture, undertake regular monitoring of the health and growth of infants and young children and that

the process becomes an integral part of the national health and nutrition information system.

The recommendations presented in this publication will help health professionals involved in the care of infants and young children, and will assist policy-makers to develop national nutrition and feeding guidelines to prevent disease and achieve better health and development of young children. The health benefits are likely to persist into adulthood, and provide the foundation of a healthy start in life. These guidelines present a scientific consensus for health promotion and information to develop national recommendations. They provide a clear direction for the sustainable development of health and socioeconomic policy, and can help policy-makers identify resources needed to improve the health of young children and thus that of the population in future generations.

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# Health and nutritional status and feeding practices

It is recommended that each country establish nutrition surveillance of infants and young children as an integral part of its health information system.

Breastfeeding practices, feeding patterns and the nutritional status of infants and young children should be monitored regularly to enable problems to be identified and strategies developed to prevent ill health and poor growth.

## NUTRITION-RELATED HEALTH PROBLEMS IN YOUNG CHILDREN

In order to develop national feeding guidelines and nutritional recommendations, sound data should be available on growth, nutrition-related diseases and feeding practices. The greatest concern is child survival, so mortality is one of the most important indicators of child health. The infant mortality rate is calculated as the number of deaths among children under 1 year of age divided by the total number of live births occurring in the same period. The under-5 mortality rate is calculated as the number of deaths among children under 5 years of age divided by the total number of live births occurring in the same period. Mortality data are usually reported routinely, but they may not be accurate in many countries because of poor or incomplete death certification.

Post-neonatal mortality (deaths among infants aged between 1 month and 12 months) is used as an indicator of poor living conditions and thereby poor nutrition, because many deaths during the first month of life are caused by congenital malformations and neonatal complications unrelated to nutrition. High mortality rates may not always be related to poor nutrition, and it is not always possible to establish a direct causal link between malnutrition and mortality. Nevertheless, a correlation has been demonstrated in several studies, and according to Pelletier (1) the relationship is continuous. Thus even mild and moderate malnutrition carries an increased risk of mortality.

Indicators of poor nutritional status can provide an early indication that a child is at risk (see Chapter 10). The most widely used are anthropometric.

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For example, in children below the age of 5 years, measured weight and height can be compared with the weights and heights of children of the same age in a healthy reference population. The reference population recommended by WHO is that drawn up by WHO and the Centers for Disease Control and Prevention in Atlanta (CDC) (2). Indices are usually expressed as standard deviations from the mean (Z score), centiles or percentage of the median.

The weight-for-height index is a measure of fatness or thinness and is sensitive to sudden changes in energy balance. A weight-for-height index more than two standard deviations below the mean is called "wasting" and indicates severe weight loss, which is often due to acute starvation and/or severe disease. Provided there is no serious food shortage, the population prevalence of wasting is usually below 5% even in poor countries. Children with a weight-for-height index more than two standard deviations above the mean are defined as overweight or obese.

Height-for-age is an index of the growth and development of the skeleton. A low value may be an expression of long-term exposure to nutritional inadequacy and indicate chronic malnutrition in children lacking essential nutrients, but it is also related to poor sanitary conditions, repeated infections, diarrhoea and inadequate care. Stunting is defined as a height-for-age index more than two standard deviations below the mean of the WHO/CDC reference population. Stunting, unlike wasting, is relatively common throughout the European Region, especially in low-income groups.

The distribution of weights in the reference population used in the former Soviet Union is shifted to the right compared with that of the WHO/CDC population, so that the 5th centile of the Soviet reference corresponds approximately to the 10th centile of the WHO/CDC reference. This leads to a 5% overestimation of the prevalence of malnutrition and a 5% underestimation of the prevalence of obesity in countries that base their data on the former Soviet reference population.

Biochemical indicators are sometimes useful for assessing nutritional status, and some may provide an early indication of a specific nutrient deficiency, but because of their cost and invasiveness they cannot be measured routinely. Haemoglobin is one exception, because simple and relatively inexpensive field measurement methods have been developed. However, different haemoblobin cut-off points have been used to define mild and moderate anaemia (3), and comparisons between countries are not always possible unless the same cut-off points have been used or the raw data are available.

It is often not possible to assess nutrient intake because food intake surveys are lacking. Moreover, data on breastfeeding rates from different countries cannot be compared easily because the definitions used are often different. Most surveys do not include data on exclusive breastfeeding. There is a need to stress the importance of exclusive breastfeeding for optimum child health (see Chapter 7) and create awareness of the need to measure its prevalence in every country. It is recommended that a standardized questionnaire for collecting data on breastfeeding and infant and young child feeding practices be developed for the WHO European Region to strengthen the current surveillance systems, which are not well established in most countries.

This chapter provides an overview of the situation in the European Region using the limited data available. For the purpose of comparison, the Member States of the WHO European Region have been divided into eight geographical regions, as shown in Table 1. Where possible, data from the central Asian republics (CAR) and the other members of the Commonwealth of Independent States (CIS) have been compared with those from western or southern Europe or from the Nordic countries. It is hoped that this comparison will highlight the disparity in health and nutritional status across the Region, and help to identify the nutritional problems of greatest concern. Based on the limited data available, some of the differences in infant and young child feeding practices are highlighted.

#### **Mortality**

Fig. 2 shows the wide disparity in infant and under-5 mortality rates in the European Region, ranging from the highest in CAR, particularly Tajikistan and Turkmenistan (over 55 and 75 per thousand live births for infant and under-5 mortality, respectively) to the lowest in the Nordic countries such as Finland and Sweden (5 per 1000 live births for both). The mortality rates in central and eastern Europe and in the Balkans fall between these two extremes at around 10–20 per 1000 live births. The difference between infant and under-5 mortality is relatively large in countries with high mortality rates. In contrast it is almost zero in Finland and Sweden, indicating that very few deaths occur after the first year.

Since the 1970s, infant mortality rates have been steadily decreasing across the Region (Fig. 3). However, while the averages for the European Union and the Nordic countries have dropped from 22 and 13 deaths per 1000 live births, respectively, in 1970 to just 5 in 1995, the figures for CIS and especially CAR remain significantly higher than in the rest of the Region.

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Table 1.The Member S	ember States of	tates of the WHO European Region	an Region				
Balkan countries	Baltic countries	Central Asian republics (CAR)	Central and eastern Europe	Commonwealth Nordic of Independent countri States (excluding CAR)	Nordic countries	Southern Europe	Western Europe
Albania Bosnia and Herzegovina Croatia Slovenia The former Yugoslav Republic of Macedonia	Estonia Latvia Lithuania	Kazakhstan Kyrgyzstan Tajikistan Turkmenistan Uzbekistan	Bulgaria Czech Republic Hungary Poland Romania Slovakia	Armenia Azerbaijan Belarus Georgia Republic of Moldova Russian Federation Ukraine	Denmark Finland Iceland Norway Sweden	Andorra Greece Israel Italy Malta Monaco Portugal San Marino Spain	Austria Belgium France Germany Ireland Luxembourg Netherlands Switzerland United

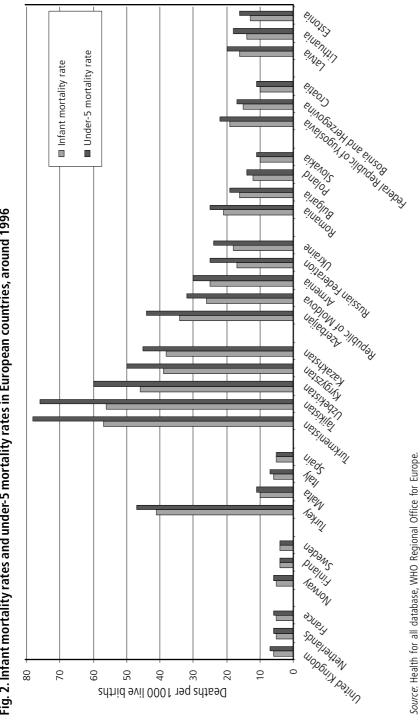
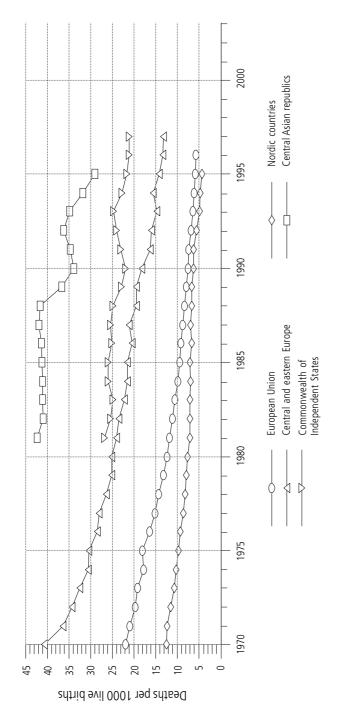


Fig. 2. Infant mortality rates and under-5 mortality rates in European countries, around 1996

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Fig. 3. Infant mortality rates in the European Region, 1970-1997



Source: Health for all database, WHO Regional Office for Europe.

Whereas in western European countries the primary causes of infant mortality are congenital malformations, injuries and "cot deaths" (sudden infant death syndrome), such deaths in countries with high mortality rates are mainly caused by infectious diseases. Poor nutritional status compromises the immune function of young children and makes them more susceptible to infections, particularly those affecting the respiratory and gastrointestinal tracts. In Azerbaijan, for example, the under-5 mortality rate from respiratory diseases is 24.5 per 1000 live births, while in Slovakia it is only 1.5 per 1000 live births. In CAR, infants suffer from acute respiratory infections, diarrhoeal diseases and vaccine-preventable diseases such as tuberculosis; seven out of ten deaths are due to these illnesses, often in combination. Among children under 5, acute respiratory infections (notably pneumonia) are responsible for between 30% and 50% of all infant deaths. In Bulgaria and the Federal Republic of Yugoslavia, respiratory diseases are also the main cause of death in children (4).

#### Fetal, infant and child growth

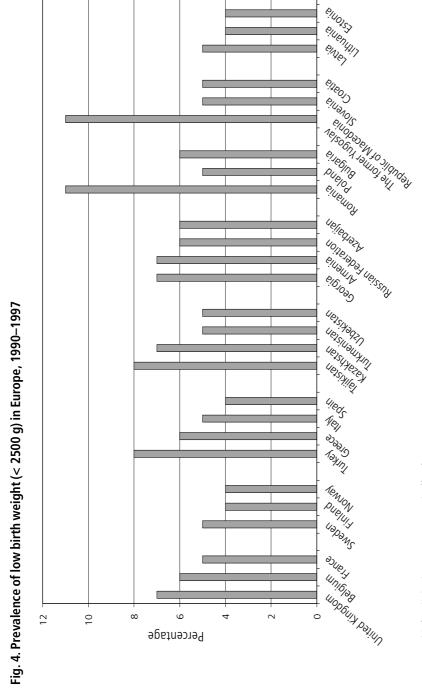
#### Low birth weight

Low birth weight is defined as a birth weight of less than 2500 g. It is the result of either preterm delivery or intrauterine growth retardation, which can be related to poor nutritional status of the mother. Fig. 4 illustrates the prevalence of low birth weight across the European Region. Rather surprisingly, only Romania and the former Yugoslav Republic of Macedonia show a significantly higher prevalence (11%) compared with the countries of western Europe. Bulgaria and Poland, for example, both have a lower prevalence (6% and 5%, respectively) than the United Kingdom (7%). Within the European Union, the proportion of low-birth-weight children has remained relatively constant over the past 20 years. Only the Nordic countries have seen a fall in the percentage of low-birth-weight infants.

In contrast, there appears to have been an increase in prevalence in CIS. In Armenia, for example, preterm delivery was recorded in 5.6% of live births in 1991 and this increased to 6.6% in 1996 (5). Births do not always take place in hospital, however, and so the information is not always complete. Furthermore, figures from eastern Europe may be biased by differences in reporting and in the definition of low birth weight. In some countries infants with a birth weight of less than 1000 g are not included because their risk of dying is so high. As a result, it is difficult to determine to what extent

<sup>&</sup>lt;sup>1</sup> Data from the health for all database, WHO Regional Office for Europe.

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Source: Health for all database, WHO Regional Office for Europe.

the present data reflect the real situation. There is clearly a need to standardize the definition and collection of data to improve comparisons between countries.

#### Wasting, overweight and stunting

European data from the Global Database on Child Growth and Malnutrition and from population surveys are shown in Table 2.

A prevalence of wasting greater than 5% has been documented only in Tajikistan and Uzbekistan, where it is 10–12%. Otherwise there is generally a low prevalence of wasting throughout the Region, ranging from zero and 0.8% in France and Italy in the 1970s to around 2–3% in other countries in the 1990s. Even in vulnerable groups such as refugees in Armenia and Azerbaijan, and populations under siege in Bosnia and Herzegovina (10), the data indicate that protein—energy malnutrition was not a widespread public health problem.

Overweight in older children has been observed in several countries of the Region, particularly in the west. In a recent study of British schoolchildren aged 7–8 years, for example, the prevalence of overweight and obesity significantly exceeded the expected frequency (13). In contrast, only a slight excess prevalence has been observed in countries in the east of the Region, such as Armenia and the Federal Republic of Yugoslavia. The Russian Federation is the exception, where 20% of young children are overweight – the highest prevalence in the Region (11). Comparing obesity data for the 0–5-year-old age group is not very useful, however: while a high weight-for-age index in infancy may not be a cause for concern, since it is unlikely to continue into adulthood, obesity in the 4–5-year-old age group and older may well do so (14,15).

The main nutrition-related problem in the Region is growth retardation, indicated by a low height-for-age index. The prevalence of stunting is especially high in CAR, where it affects between 7% and 43% of children under 5 years of age (Table 2). Stunting is usually most pronounced in rural areas and this is an indication of its link with environmental conditions. Such high rates of stunting are similar to those observed in African countries and should be considered a major public health problem. Intermediate values of stunting (10–22% of young children) have been observed in other CIS countries (Table 2). In contrast, the other regions all have low levels or no stunting in young children when compared with the WHO/CDC reference population.

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Table 2. Prevalence of wasting, overweight and stunting in children aged 0-5 years in selected countries of the WHO European Region

Country	Year of survey	Age (years)	Wasting (%)	Overweight (%)	Stunting (%)
Balkan count	ries				
Bosnia and	1993	0–5	1.3		
Herzegovina Croatia	1995–1996	0 <u>–</u> 3 1 <u>–</u> 6	0.8	_ 5.9	0.8
The former Yugoslav Republic of		. 0	0.0	5.5	
Macedonia Federal	1999	0–5	5.3	5.6	5.2
Republic of	1996	0-5 (rural)	2.2	4.8	6.8
Yugoslavia		0–5 (urban	3.5	8.0	1.7
Central Asian	republics (CA	AR)			
Kazakhstan	1995	0–3 (rural)	3.0	3.8	21.8
Kyrgyzstan	1997	0-3 (urban) 0-3 (rural)	3.7 3.2	4.9 —	7.5 27.7
Kyrgyzstari	1991	0–3 (rurai) 0–3 (urban)	4.3	_	14.8
Tajikistan	1996	0.5–5	10.9	_	42.6
Uzbekistan	1996	0–3 (rural)	12.2	_	30.7
		0–3 (urban)	10.2	_	32.6
Central and e	astern Europ	oe			
Republic	1991	0–5	2.1	4.1	1.9
Hungary	1980–1988	0–5	1.6	2.0	2.9
Romania	1991	0–5	2.5	2.3	7.8
Commonwea	Ith of Indepe	ndent States	(excluding	(CAR)	
Armenia	1998	0–5	3.8	5.8	12.2
Azerbaijan Republic of	1996	0–5	2.9	3.7	22.2
Moldova	1996	0–5	3.2	11.7	9.6
Russian Federation	1993	0–5	3.5	20.9	17.0
Southern Eur	ope				
Italy	1975–1977	0–6	0.8	4.4	2.7
Turkey	1993	0–5 (rural)	3.0	2.7	27.1
		0–5 (urban)	2.9	2.9	16.1
Western Euro					
France United	1975	0–3	0.0	3.6	5.8
Kingdom	1973–1979	0–5	1.0	2.9	2.4

Sources: Branca et al. (6,7); Macro International (8,9); Robertson et al. (10); World Health Organization (11); WHO/UNICEF (12).

Anthropometric data for the 0–3 or 0–5-year-old range should be interpreted with caution, since they are affected by the distribution of ages within the sample. Stunting is rare during infancy and the rates of stunting are therefore usually higher if a survey includes children aged up to 5 years instead of up to 3 years. Again, there is a need to standardize the method of data collection throughout the Region in order to make useful comparisons.

#### **Micronutrient status**

The prevalence of the deficiency of four micronutrients is described here: iodine, iron and vitamins A and D. These are discussed in more detail in Chapters 4–6.

#### **Iodine**

The definition of mild, moderate and severe iodine deficiency (Table 3) is based on the combined evaluation of four different indicators: goitre in school-age children, thyroid volume greater than the 97th centile, median urinary iodine in school-age children and adults, and the prevalence of levels

	Table 3. Indicators of the prevalence of iodine deficiency disorders and criteria for a significant public health problem							
Indicator	Normal	Mild deficiency	Moderate deficiency	Severe deficiency				
Prevalence of goitre in school-age children	< 5%	5–19.9%	20–29.9%	> 30%				
Frequency of thyroid volume in school-age children > 97th centile by ultrasound	< 5%	5–19.9%	20–29.9%	> 30%				
Median urinary iodine in school-age children and adults	100–200 μg/l	50–99 μg/l	20–49 μg/l	< 20 µg/l				
Prevalence of neonatal thyroid stimulating hormone above 5 µU/ml whole blood	< 3%	3–19.9%	20–39.9%	> 40%				

Source: WHO Regional Office for Europe (16).

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of thyroid stimulating hormone in neonates above 5  $\mu$ U/ml whole blood (16). Iodine deficiency disorders remain common in the European Region, as shown in Table 4.

There are clear differences in the success of public health interventions to eliminate the problem. In western European countries, iodine deficiency disorders have virtually been eliminated through universal salt iodization. In contrast, moderate levels are still found in the central Asian republics, other former Soviet countries, central and eastern Europe and Turkey. Indeed, severe or critical levels are reported in both Albania and Tajikistan. Surveys of the prevalence of goitre in schoolchildren aged 6–11 years, conducted in a range of European countries (Fig. 5), show that the highest goitre rates occur in Turkey, Belarus, Azerbaijan, the central Asian republics and even Italy. Such high goitre rates should be considered a public health priority and measures should be taken to deal with the problem.

Universal salt iodization (see Chapter 5) used to be practised in the former Soviet Union. Since the dissolution of that country, however, iodization plants are no longer in production. Although salt iodization is on the public health agenda of most of the former Soviet countries, it is not always implemented. Urinary excretion of iodine can be used to evaluate the efficiency of iodization policies; in the former Yugoslav Republic of Macedonia, for example, urinary iodine excretion has increased to normal levels as a result of salt iodization. An extensive review of the situation was carried out in the WHO European Region in 1998–1999 (17).

#### Iron

In Europe, information on the prevalence of iron deficiency in children is limited. Most studies have investigated only the prevalence of anaemia, usually by measuring haemoblobin levels, and not its etiology, even though iron deficiency is likely to be the most common cause.

Comparison is further hindered by the use of different age groups. While some studies are carried out only in children under 3 years of age (Fig. 6), other studies go up to 5 years of age (Fig. 7). The highest prevalence of anaemia has been reported from the central Asian republics, where more than half of the children under 3 years had haemoglobin < 12 g/dl blood (Fig. 6). It should be pointed out, however, that only a small proportion of cases were of severe anaemia (haemoglobin < 7 g/dl). In western Europe anaemia is less frequent in children of this age; in the United Kingdom, for example, a 12% prevalence has been observed in children aged 1–2 years and a 6% prevalence in children aged 2–4 years. The United Kingdom

Table 4. lodine deficiency disorders in selected European countries, according to WHO classification Virtually Marginal Region Generally Severe or and mild eliminated moderate critical **Balkan countries** The former Croatia Albania Yugoslav Republic of Macedonia **Baltic countries** Estonia Latvia Lithuania **Central Asian** Kazakhstan Tajikistan republics (CAR) Kyrgyzstan Turkmenistan Uzbekistan **Central** and Slovakia Czech Bulgaria Republic Poland eastern Europe Hungary Romania Commonwealth Republic of Armenia of Independent Moldova Azerbaijan States Belarus (excluding CAR) Georgia Russian Federation Ukraine **Nordic countries** Finland Iceland Norway Sweden **Southern Europe** Turkey **Western Europe** Netherlands Switzerland United Kingdom

Source: WHO Regional Office for Europe (16).

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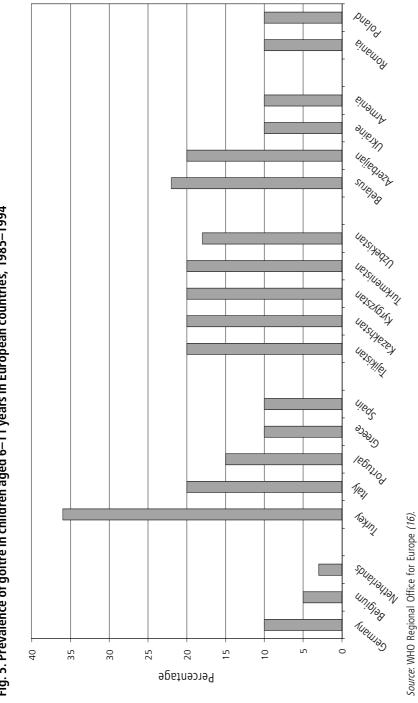
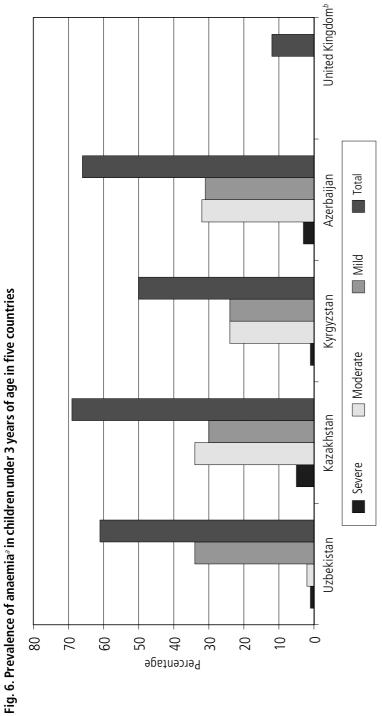


Fig. 5. Prevalence of goitre in children aged 6–11 years in European countries, 1985–1994



 $^{a}$  Defined as < 12 g/dl haemoglobin.

<sup>b</sup> Children aged 1–2 years. Sources: Macro International (8,9), WHO/UNICEF (12), Lawson et al. (18), Sharmanov (19).

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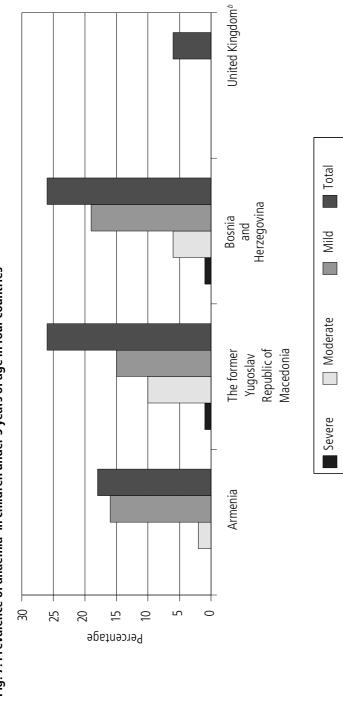


Fig. 7. Prevalence of anaemia<sup>a</sup> in children under 5 years of age in four countries

 $^{\it a}$  Defined as < 12 g/dl haemoglobin.  $^{\it b}$  Children aged 2–4 years.

Sources: Branca et al. (6,7), Robertson et al. (10); Lawson et al. (18).

survey used a cut-off point of 11 g/dl blood; according to this, anaemia occurs in 10–30% of pre-school children living in inner cities in the United Kingdom (20). Prevalence among a nationally representative sample of Asian children living in the United Kingdom was significantly higher than this (between 20% and 45%) (18).

Factors other than iron deficiency cause anaemia. In Uzbekistan, a study of 243 children under 5 years of age showed that 72% were anaemic, but only 2% of these seemed to have non-nutritional anaemia (caused by infections and chronic diseases). In 40% of cases anaemia was associated with both low iron and low vitamin A status; 10% of cases were associated with iron deficiency alone and 20% with vitamin A deficiency alone (21).

Food intake data from the Russian Federation suggest that both women and children are at high risk of iron deficiency. Grain products rich in phytates are the major food source of iron in the Russian Federation (22). Moreover, the reported high intake of tea and low consumption of vitamin C from vegetables and fruit also compromise the bioavailability of iron present in the diet. A survey of 4077children under 2 years of age showed a prevalence of anaemia, assessed by clinical signs, that varied from 2% (Moscow region) to 16% (Urals region). The prevalence of haemoglobin levels under 11 g/dl blood was much higher and varied from 22% (Moscow city) to 47% (Urals region). The mean haemoglobin level was significantly higher in young children in cities than in those in small towns and rural regions, at 12.2 and 11.6 g/dl, respectively (O. Netrebrenko, personal communication, 1997).

There is a shortage of studies on the cause of the high prevalence of mild to moderate anaemia in parts of eastern Europe, such as the too-early introduction of cow's milk and milk products and tea. A small study in Uzbekistan, which included measurement of ferritin and haemoglobin levels, suggested that deficiency of both iron and vitamin A was responsible. A survey carried out in Kazakhstan associated anaemia in children with stunting, morbidity and geophagia (the habit of eating soil). Geophagia was also reported from Uzbekistan (21) and is considered a symptom of iron deficiency anaemia. Clearly, further studies are needed to investigate the cause of the high prevalence of anaemia found in some parts of the European Region.

#### Vitamin A

Serum retinol levels under  $0.35~\mu mol~(100~\mu g)$  per litre indicate severe vitamin A deficiency; levels under  $0.70~\mu mol~(200~\mu g)$  per litre indicate low vitamin A status. A 10% prevalence of low serum retinol points to an

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important public health problem requiring at least public information strategies (23).

There is a lack of data describing the vitamin A status of populations in the Region. Evidence suggests, however, that vitamin A deficiency is likely to be a problem in the central Asian republics. A study in the Aral Sea area of Uzbekistan showed that 40–60% of children under 5 years of age had serum retinol levels < 100  $\mu$ g/l (21). Another study carried out in Armenia indicated that only 0.8 % of children under 5 years of age had low levels of retinol (< 200  $\mu$ g/l) (24). A recent survey in the former Yugoslav Republic of Macedonia, however, showed higher levels of mild deficiency in children under 5 years: 30% had serum retinol values under 200  $\mu$ g/l, but only 1% had severely low levels (< 100  $\mu$ g/l) (7). More information is clearly required before any policy recommendations can be made about vitamin A status in the Region.

#### Vitamin D deficiency and rickets

Radiographic identification of rickets has been reported in the Region, but for most countries only routine clinical data are available. One of the main causes of rickets is likely to be the traditional practice of swaddling infants, often continued until the age of 2 years, which is common in some parts of the Region. Near total covering of the skin limits its exposure to ultraviolet light and is therefore a key factor in the development of rickets. In the former Yugoslav Republic of Macedonia, 16% of children were reported to show clinical signs of rickets (7). A study of 1135 Armenian children under 5 years of age (6) reported that 7% had an epiphyseal enlargement of the wrist, 2% had craniotabes, 25% had frontal and parietal bossing and 11% had beading of the ribs. When serum alkaline phosphatase (an indicator of vitamin D deficiency) was measured, however, only 4–6% of the children under 2 years of age had high values, indicating that rickets was no longer active in the majority. Some young children may be naturally bow-legged, thereby confounding the diagnosis of rickets; it is also unclear to what degree lack of exposure to sunlight or dietary vitamin D deficiency are responsible (see Chapter 4).

#### FEEDING PRACTICES AND RECOMMENDATIONS

Many countries in the WHO European Region do not have national guidelines for feeding infants and young children. Nevertheless, they do exist in several countries including Denmark, Ireland, the Netherlands, Sweden and the United Kingdom. In the former Soviet Union the need for such guidelines, which were last updated in 1982 (25), was long recognized. The scientific basis underpinning optimum infant and young child feeding is still a relatively new area of research, and advances are occurring all the time. There is thus a need to ensure guidelines keep abreast of the science on which they are based.

Infant feeding recommendations appear to vary considerably between western and eastern Europe. In many countries of eastern Europe, feeding guidelines have been influenced by the recommendations of the former Soviet Union (25). A review identified a number of recommendations from the former Soviet era that differ from international standards (26). Poor complementary feeding practices help to explain the poor iron status and possibly the high levels of stunting among infants and young children in the European Region, and particularly in the countries of the former Soviet Union.

In relation to breastfeeding, the former Soviet literature recommended:

- late initiation of breastfeeding (up to 6–12 hours after birth), particularly in sick women and including those with anaemia;
- prelacteal feeds of 5% glucose until lactation was established;
- *exclusive* breastfeeding for the first month only (although not widely practised);
- breast-milk as the main feed for the first 4–4½ months;
- complete cessation of breastfeeding by 10–11 months of age; and
- breastfeeding to follow a strict timetable.

The importance of a night break between feeds was often emphasized. According to the six feeds per day regimen, a 6½-hour break during the night was advised; this break increased to 8 hours on the five feeds per day schedule. A review of the Soviet literature (J. Vingraité, personal communication, 1998) revealed that some authorities allowed feeds to deviate from this schedule by all of 10–15 minutes.

Non-adapted formulas in the Soviet Union included diluted fresh or fermented cow's milk with added sugar, vitamins and minerals. The introduction of cow's milk diluted with cereal water was recommended at 2–3 months (for example, 50 ml pure cow's milk or kefir, 45 ml cereal water and 5 ml 100% sugar syrup).

Former Soviet recommendations on the introduction of weaning foods included: additional fluids, primarily tea and water with sugar, for breastfed infants; the introduction of vegetable and "fruit" juices (jam with water) at

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1 month of age; the introduction of unmodified cow's milk at 4 months and pure kefir at 3 months of age; the introduction of fruit at 2 months, hard boiled egg yolk at 3 months and curd at 4 months of age; the addition of sugar and salt solutions to infant foods; and the introduction of cereal porridges with added sugar, syrup, salt and butter at 4 months of age.

Of particular concern is that in cases of diagnosed anaemia (and rickets), porridge and other solids were recommended to be introduced earlier than 4 months of age.

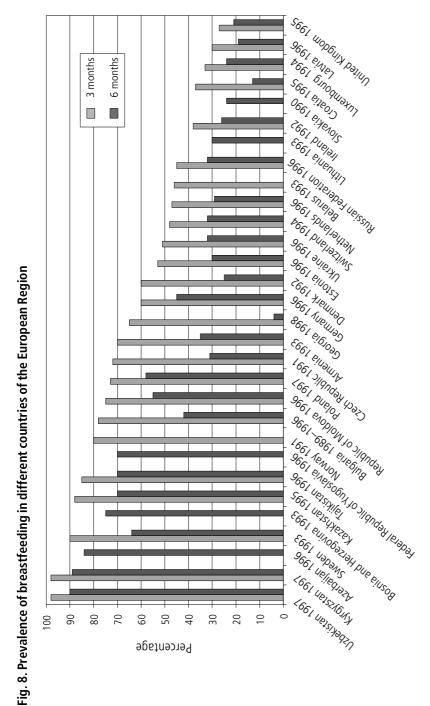
## Breastfeeding: initiation, duration and practice of exclusive breastfeeding

Owing to a lack of comprehensive and comparable data and of standardized international definitions, it is difficult to make a general statement about the prevalence of breastfeeding in the WHO European Region. The data on the percentages of breastfed infants given in Fig. 8 have been taken from different sources (27). These data should be interpreted with caution: the survey methodology varied and in some cases the way in which the surveys were carried out was not described.

With these caveats, it can be seen that the practice of breastfeeding varies dramatically between European countries. In the United Kingdom, some 25% of infants are breastfed at 3 months, compared with over 90% in Uzbekistan. Nevertheless, the prevalence of breastfeeding within a country can change dramatically over a few years. In Norway, for instance, the prevalence of breastfeeding at 3 months rose from only 25–30% in 1969 to around 80% in 1985 (28).

Unfortunately the data presented in Fig. 8 do not show the rates of "exclusive" breastfeeding. Few studies have provided a definition of "exclusive" breastfeeding, but data from those that have are presented in Fig. 9, which shows the relatively high rates of exclusive breastfeeding in Sweden both at 3 and 6 months. In contrast, the rates of exclusive breastfeeding in the central Asian republics are much lower (around 10% or less) at 3 months, except in Georgia and Kyrgyzstan where the levels are around 30%. Poland is making excellent progress: the prevalence of exclusive breastfeeding increased dramatically from almost zero in 1988 to some 40% in 1997.

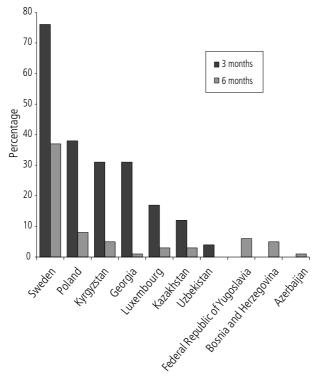
In the Russian Federation in 1996 breastfeeding was initiated in about 95% of babies born in maternity hospitals (O. Netrebrenko, personal communication, 1997). The mean duration ranged from 3 to 4 months depending on when breastfeeding was initiated and on the mother's educational level.



Source: WHO Regional Office for Europe (27).

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Fig. 9. Prevalence of exclusive breastfeeding in selected countries of the European Region, 1989–1998



Source: WHO Regional Office for Europe (27).

The most educated women breastfed their infants significantly longer than women with lower levels of education. The prevalence of partial breastfeeding was about 50% at 3 months and about 30% at 6 months. The majority of breastfed infants also received cow's milk. The prevalence of exclusive breastfeeding in infants aged 4 months varied between 22% and 28% in most regions. In St Petersburg, however, the prevalence of exclusive breastfeeding was very high (42%), probably as a result of higher education levels and of the commitment of the local authorities to implementing the international breastfeeding recommendations (see Chapter 7).

Studies in the central Asian republics indicate that, despite the high prevalence of breastfeeding, a low percentage of infants are exclusively breastfed (Fig. 9); in over 50% breastfeeding has not begun after the first 24 hours. The lack of exclusive breastfeeding, together with deteriorating socioeconomic conditions, water contamination and low immunization rates,

pose a threat to infant health. In Kazakhstan, despite a high level of breastfeeding with a mean duration of around 12 months, the period of exclusive breastfeeding is very short (8). In the former Yugoslav Republic of Macedonia only 8% of children are exclusively breastfed at 4 months of age. Conversely, in the Nordic countries, where great efforts have been made to increase rates of exclusive breastfeeding, the percentage is very high (Fig. 8 and 9).

The rates of breastfeeding seem to be increasing throughout the Region, and the Nordic countries especially have very high rates compared with 20 years ago. Efforts should be made to maintain these high rates and greater efforts should be made in all other countries to increase rates of exclusive breastfeeding during the first few months of life (see Chapter 7). This is especially important for vulnerable groups such as ethnic minorities and low-income families living in high-risk areas with poor hygiene, sanitation and water supply.

# Use of infant formulas, cow's milk and other liquids

Bottle feeding is common throughout the European Region. In Uzbekistan, 35% of children under 3 months of age examined in the 1996 Demographic and Health Survey were being fed using a bottle with a nipple, 12% to take infant formula and 23% to take evaporated milk (9). In rural areas, the use of cow's milk was more common.

The early introduction of cow's milk is associated with gastrointestinal blood loss. Because the content and bioavailability of iron in cow's milk is also low, early introduction of cow's milk can lead to iron deficiency (see Chapter 6). In the Russian Federation, 12-month-old infants who received cow's milk during the first 3 months of life had significantly lower haemoglobin levels than those who received cow's milk after 7 months of age (O. Netrebrenko, personal communication, 1997). Similarly, in the United Kingdom, Asian infants are more likely than white infants to receive cow's milk and are also more likely to have iron deficiency anaemia (29). Furthermore, Asian infants tend to have a higher intake of cow's milk than white children (30). A study in Italy showed that, between 1983 and 1992, a reduction in the proportion of infants fed cow's milk together with an increase in breastfeeding has been paralleled by a reduction in both anaemia and iron deficiency in infants and young children (31).

Table 5 shows the early introduction of cow's milk and other fluids to the infant's diet in some countries. In Lithuania, cow's milk is diluted with water or mixed with cereal water from rice, oat or buckwheat (J. Vingraité, personal communication, 1998). In Azerbaijan, wheat flour is used in combination with diluted cow's milk and egg.

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Table 5. Age of introduction of non-breast-milk fluids into the infant's diet					
Country	Average age of introduction				
	< 4 weeks	< 3 months	< 5 months		
Baltic countries					
Lithuania			Cow's milk, goat's milk, kefir		
Central Asian repu	ıblics (CAR)				
Kazakhstan	Water, tea with sugar	Cow's milk, tea			
Kyrgyzstan	Water, tea with sugar	Cows milk, tea			
Uzbekistan	Water, fruit juice	Cow's milk, tea			
Commonwealth o	f Independent States	(excluding CAR)			
Armenia		Water, tea	Fruit juice, cow's milk		
Azerbaijan	Water, tea	Cow's milk			
Russian Federation		Fruit juice			
Western Europe					
United Kingdom		Herbal drink Water	Cow's milk		

Sources: Branca et al. (6); Macro International (8,9); WHO/UNICEF (12); Mills & Tyler (30).

In the Russian Federation, the types of non-breast-milk fluid given to infants differ between the large cities and the smaller towns or rural areas. In Moscow, some 50% of infants start to receive infant formula at 2 months as a supplement to breast-milk. In addition to formulas, about 10% of infants in the cities received unadapted milks (cow's milk, kefir, goat's milk) as supplements during the first 4 months. Some 22% of infants in small towns and rural areas received cow's milk or kefir at least 3 times a day during the first 4 months as a breast-milk substitute. The time of introduction of unadapted milks depended on the mother's education and the family income: infants of low-income families receive cow's milk significantly earlier than those from wealthier families (O. Netrebrenko, personal communication, 1997).

Other fluids commonly given to infants in the first or second month of life include plain or sweetened water and tea. In Uzbekistan, 40% of children received tea within the first month after birth and by 3 months of age the

proportion had increased to 72% (21). Other central Asian republics show similar patterns. For example, 21%, 34% and 49% of infants in Kazakhstan, Kyrgyzstan and Uzbekistan, respectively, received tea during the first few months of life (19). In Armenia, water and herbal tea are introduced during the first 2 months and tea in the third month. In most instances water is boiled and given without the addition of sugar, but sugar is added to herbal tea (67%) and to ordinary tea (95%). The introduction of tea and sugar occurs even earlier in rural areas (6).

The practice of giving teas (both ordinary and herbal) to infants also appears to persist in western Europe, especially among ethnic minorities, and in central Europe. This practice is not recommended, not only because it interferes with breastfeeding but also because the polyphenols present in tea impair iron absorption.

## Introduction of semi-solid and solid foods

Table 6 shows the time of introduction of complementary foods in some countries. In the Russian Federation, the proportion of infants receiving foods before 4 months of age ranges from 17% in St Petersburg to 32% in the Urals region (O. Netrebrenko, personal communication, 1997). Similarly, in Armenia, children are fed semi-solid foods (crushed fruit and vegetables, porridge and potatoes) and biscuits at 4–5 months, and at 6 months they are given eggs. Bread and pasta, minced meat, fruit and vegetables are introduced at around 8–9 months of age. Other meat preparations and fish are the last to be introduced, at around 1 year of age. Compared with the Russian Federation, there appear to be fewer differences between urban and rural areas in Armenia or between residents and refugees in the age at which various foods are introduced (6).

In the United Kingdom in 1996, white mothers tended to start giving their infants solid food earlier than Asian mothers. At 8 weeks, 2% of Bangladeshi, 3% of Pakistani and 5% of Indian infants had received some solid food, compared with 18% of white infants. In all groups the majority of mothers introduced food between 8 weeks and 3 months. By the age of 3 months, between 70% and 73% of Asian mothers and 83% of white mothers had given their infants some solid food (29).

In the central Asian republics, infants' diets are often monotonous, mainly consisting of a porridge poor in nutrients. The results of the 1996 Demographic and Health Survey in Uzbekistan (9) found that 19% of 4–7-month-old infants had received meat, poultry, fish or eggs in the 24 hours preceding the interview, while 35% had received fruit or vegetables.

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Country	Average age of introduction				
	< 3 months	3-4 months	5–6 months	> 6 months	
Baltic countr	ies				
Lithuania	Fruit, berries, vegetable jui	ce	Curd, egg yolk, oil, butter, cereals	Meat, broth	
Central Asian	republics (CAR	)			
Uzbekistan	Vegetables, fruit	Broth	Poultry, fish, eggs, meat, flour, potatoes	Family food	
<b>Commonwea</b> Armenia	ilth of Independ	dent States (exc	Fruit, porridge, vegetables, potatoes, biscuits		
Azerbaijan		Potatoes, cereals, soup, milk, porridge biscuits			
Russian Federa	ation	Fruit	Vegetable purée, cereals	Meat	
Southern Eu	rope				
Italy		Rice porridge, fruit, parmesa	•	Eggs, fish, rice, pulses	
Spain			Cereals, fruit	Bread, vegetables, yoghurt, mea fish, eggs, pulses	

Sources: Branca et al. (6); Macro International (9); WHO/UNICEF (12); Ferrante et al. (32); Savino et al. (33); Van den Boom et al. (34).

In the Balkan region, Albanian infants receive diets based heavily on cereals (35) and in the former Yugoslav Republic of Macedonia diets include large amounts of grain, beans and vegetables. The socioeconomic situation affects nutritional status by decreasing the ability to buy meat and milk products due to their high price (36). Thus the introduction of fruit and vegetables, and of meat and liver may be delayed or reduced by economic and/or seasonal factors. In contrast, meat is introduced at 5–6 months in Italy and after 6 months in Spain.

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36 CHAPTER I

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# Recommended nutrient intakes

Each country should use recommended nutrient intakes for infants and young children, based on international scientific evidence, as the foundation of its nutrition and feeding guidelines.

## **INTRODUCTION**

This and the next four chapters include discussion and comparison of the recommended nutrient intakes (RNIs) from the European Union, the United Kingdom, the United States and WHO. These values provide a standard against which the adequacy of the diets of young children, as measured by food intake surveys, can be assessed (1).

The purpose of RNIs is to provide guidance for policy-makers on how much of each nutrient is needed to ensure that a population is healthy (Box 1). The aim is to prevent deficiency (for example, iodine to prevent goitre); to optimize health (for example, recommendations for antioxidants in vegetables and fruit); and to provide safe limits above which a nutrient (for example, excess protein or energy) could be harmful.

# Box 1. Applications and limitations of recommended nutrient intakes

- 1. RNIs provide a benchmark for the development of dietary guidelines and the planning of public health nutrition strategies.
- 2. RNIs can be useful for food labelling (for example, a food might be described as containing x% of the recommended intake of vitamin C for a given age group).
- 3. RNIs can be used to assess and interpret dietary surveys and food intake information relating to normal healthy populations.
- 4. RNIs can be used to assess the adequacy of the dietary intake of vulnerable groups.

Source: adapted from Weaver (2).

Additional factors such as bioavailability (of iron, for example) must be taken into consideration when setting national RNIs.

# RECOMMENDATIONS ARE DERIVED FROM REQUIREMENTS

A requirement is defined as the lowest continuing level of intake of a nutrient that will maintain a defined level of nutrition in an individual (3). The actual nutrient requirement will vary from individual to individual, whereas a nutrient recommendation must cover the requirements of almost all those in a given population. RNIs are useful for assessing the diet of groups within a population, not of individual children, and recommendations must take account of the large biological variation within a population. The metabolic needs of healthy individuals, such as the needs for growth, are taken into account whereas the increased nutrient demands of illness are not.

In the United Kingdom, a committee convened by the Department of Health (4) decided to use the term "dietary reference values" rather than RNIs. The committee chose to set, where possible, three values for each nutrient, reflecting a range of nutrient requirements (low, medium and high) (Fig. 10) rather than just one value. These three values, known collectively as dietary reference values, include the mean value (the "estimated average requirement"), the mean value plus two standard deviations (the "reference nutrient intake") and the mean value minus two standard deviations (the "lower reference nutrient intake"). An example of this approach is shown in Table 7, where the three values are presented for zinc.

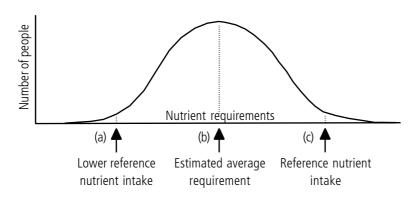


Fig. 10. Relationship between various reference values for nutritional requirements

Table 7. Dietary reference values (mg/day) for zinc in the United Kingdom					
Age of child	Lower reference nutrient intake	Estimated average requirement	Reference nutrient intake		
0–3 months	2.6	3.3	4.0		
4-6 months	2.6	3.3	4.0		
7–9 months	3.0	3.8	5.0		
10-12 months	3.0	3.8	5.0		
1–3 years	3.0	3.8	5.0		
4–6 years	4.0	5.0	6.6		

Source: Department of Health, United Kingdom (4).

Underlying the concept for defining recommendations is the assumption that the requirements of most nutrients are distributed normally in a population (Fig. 10). The level that is sufficient to meet the requirements of practically all the population is set at the upper end of the distribution at approximately two standard deviations above the mean. This recommendation, the reference nutrient intake, should cover the nutrient requirements of approximately 95% of the population, whereas the mean value will meet those of around half the population. Because excess intake of energy leads to obesity, the mean value is the recommended value for energy; the higher value would undoubtedly lead to excess energy intake in a large percentage of the population and increase the risk of obesity-related diseases. The value at the lower end of the distribution curve is estimated by calculating the mean minus two standard deviations. If the habitual mean intake of a population were at the level of the lower reference nutrient intake, more than half the population would be deficient in that particular nutrient and thus their complete nutrient requirements would not be satisfied.

Most estimates are derived from a limited number of balance studies or intake surveys, and care is therefore required in interpreting RNIs. For example, RNIs for infants are often based on measures of the breast-milk intake of exclusively breastfed infants. Between the ages of 6 and 12 months, and sometimes up to 24 months, nutrient recommendations are derived by extrapolating from the data for this younger age group, using a formula that allows for growth and increasing body size. The nutrient recommendations for children aged over 24 months are in most cases extrapolated from adult values, on the assumption that children have a similar maintenance requirement for a given nutrient per kilogram of body weight. To most of these

recommendations is added an additional increment as a safety margin in order to protect against any risk of deficiency.

# NOMENCLATURE OF RECOMMENDED NUTRIENT INTAKES

Several countries have established their own RNI systems, and different nomenclatures are used (Table 8). The European Union uses population reference intakes (PRI) and the former Soviet Union used the term "physiological norm". The RNIs used in this publication correspond to the reference nutrient intake shown in Fig. 10. It should be noted that the abbreviation "RNI" used here, unless otherwise stated, refers to recommended and not reference nutrient intake.

The criteria presented in Box 2 reflect the fact that the RNI is usually set around the mean value representative of a group, plus two standard deviations. However, the trend is to consider the optimal intake of each nutrient as opposed to setting levels designed only to prevent deficiency. This includes considering the problem of excess nutrient intakes, such as of energy, protein, vitamin A, vitamin D and iron, which are potentially harmful if regularly consumed in excessive amounts.

Table 8. Definiti	Table 8. Definitions of nutrient recommendations				
Source	Name	Definition			
European Union	Population reference intake (PRI)	The intake that will meet the needs of nearly all healthy people in a group (5).			
United Kingdom	Reference nutrient intake (RNI)	An amount of the nutrient that is enough, or more than enough, for about 97% of people in a group (2).			
United States	Recommended dietary allowances (RDA) Adequate intake (AI)	The levels of intake of essential nutrients that, on the basis of scientific knowledge, are judged to be adequate to			
		meet the known nutrient needs of practically all healthy persons (6).			

Source: Weaver (2).

# Box 2. Criteria used for setting nutrient recommendations

- The amount taken by a group of people without deficiency developing.
- The amount needed to cure deficiency.
- The amount needed to maintain enzyme saturation.
- The amount needed to maintain blood or tissue concentration.
- The amount associated with an appropriate biological marker of adequacy.

RNIs are based on limited scientific information and it must be borne in mind that values have changed over the years. For example, the United Kingdom's 1979 estimates of energy requirements during infancy (7) were lowered by the 1991 Committee (4). This was because, based on new evidence (8,9), it was no longer considered necessary to overestimate energy requirements to allow for the possible underestimation of breast-milk intake. Similarly, it appears that in the former Soviet Union the level of protein recommended was much higher than that recommended in west-ern Europe.

Because there is so much inter-individual variation, it is difficult to predict the true nutrient requirements of an individual without substantial and lengthy nutritional and clinical assessment. The actual requirement of the individual is likely to be less than the RNI. Thus, comparing the actual intake of an individual against reference data only provides information on the likelihood that his or her nutrient requirements are within the range of intakes recommended for the population.

No attempt is made in the following chapters to define new RNIs. Rather, those of the European Union, the United Kingdom, the United States and WHO (where these exist) are presented so that the range of values can be compared. Where no national values exist, or if countries wish to update their current RNIs, the values presented here provide an overview and a basis on which to develop national RNIs and dietary guidelines.

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# **Energy and macronutrients**

Provision of adequate dietary energy is vital during the period of rapid growth in infancy and early childhood. Attention must be paid to feeding practices that maximize the intake of energy-dense foods without compromising micronutrient density.

An adequate protein intake with a balanced amino acid pattern is important for the growth and development of the infant and young child. If the child receives a varied diet, however, protein quantity and quality are seldom a problem. It is prudent to avoid a high-protein diet because this can have adverse effects.

During complementary feeding and at least until 2 years of age, a child's diet should not be too low (because this may diminish energy intake) or too high in fat (because this may reduce micronutrient density). A fat intake providing around 30–40% of total energy is thought to be prudent.

Consumption of added sugars should be limited to about 10% of total energy, because a high intake may compromise micronutrient status.

#### **ENERGY**

#### **Function**

Energy is required for tissue maintenance and growth, to generate heat (thermogenesis) and for physical activity. Weight gain is a sensitive indicator of the adequacy of energy intake in young children. The energy requirement is the amount of dietary energy needed to balance the energy expended and that deposited in new tissue (growth). Energy expenditure can be subdivided into basal metabolism, which represents 50–60% of total energy expenditure (TEE) in most healthy children, energy expended on physical activity (30–40% of TEE in most healthy children) and thermogenesis (approximately 5–8% of TEE). The energy required for growth declines rapidly from around 35% of TEE at birth to 5% at 1 year (Fig. 11). Basal metabolic rate can be considered to represent a "maintenance" requirement, since it is the energy cost of biosynthesis, metabolism and the physical work of respiratory and cardiac function. The energy expended on physical activity varies widely, while that expended on thermogenesis is primarily the cost of digesting, absorbing and resynthesizing nutrients.

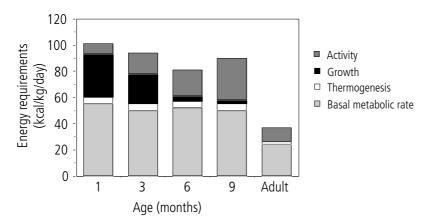


Fig. 11. Comparison of energy requirements during infancy and adulthood

Growth demands some energy expended on biosynthesis, in addition to the energy content of newly synthesized tissue.

## **Sources**

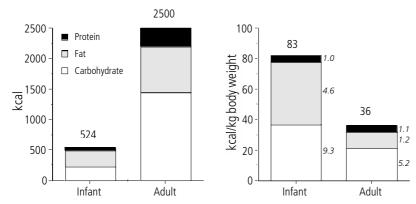
Dietary energy is consumed in the form of fat, carbohydrate and protein. Fat accounts for approximately 50% of the energy in breast-milk and is the main source of energy for infants less than 6 months old. With the introduction of complementary food, fat is gradually overtaken by carbohydrate as the chief energy source, and together they meet the energy needs of the growing child. Dietary protein can also be oxidized to provide energy, but its primary role is in the growth and maintenance of new tissues. Per unit of body weight, the intakes of energy, fat and carbohydrates of the normal infant during the early months of life are far greater than the corresponding intakes of normal adults (Fig. 12). This is because the maximum rate of growth occurs in infancy, when the baby doubles its body weight in the first 6 months and triples it by 1 year.

Per unit body weight, the intake of energy by an exclusively breastfed infant is 2.3 times that of an adult. Compared with an adult, and calculated per gram of macronutrient, an infant's intake of protein is almost the same, the intake of fat almost four times and the intake of carbohydrate almost double.

# Requirements

Current WHO recommendations for energy intake for the first 12 months of life (1) were based on the observed average energy intakes of healthy children in affluent countries, plus a 5% increment for an assumed underestimation of breast-milk intake.

Fig. 12. Daily energy intake and energy sources in an exclusively breastfed infant aged 3–4 months (6.3 kg) and an adult male (70 kg) with moderate physical activity eating a recommended diet<sup>a</sup>



 $^{\circ}$ The values in italic to the right of the columns in the right-hand figure indicate the intake of macronutrients in g/kg body weight.

Butte (2) reviewed relevant literature published since 1985 to develop updated estimates of energy requirements of both exclusively breastfed and formula-fed infants. These were based on energy intake, TEE measured using the doubly labelled water technique, and the energy required for growth (tissue deposition). These updated energy requirements are considerably lower than the earlier recommendations (1) (Table 9) and suggest that current recommendations may overestimate infant energy requirements by 15–30%.

Both sets of figures in Table 9 show a fall in energy intake per kg body weight between 3 and 6 months, which is maintained until 9 months and then rises again towards 1 year. This reduction reflects the period when the very high growth rate characteristic of the first 3 months of life has declined but is not yet balanced by increased physical activity.

Table 10 provides an overview of the estimated energy requirements recommended by the United Kingdom, the United States, the European Union and WHO for infants and children of different ages. These values are derived from estimated energy requirements per kg body weight multiplied by an average body weight for the age range. There is considerable similarity between them, and therefore these international comparisons could form the basis for recommendations in countries seeking to develop their own standards or physiological norms for energy.

48 Chapter 3

Table 9. Estir	Table 9. Estimated energy requirements in kJ (kcal)/kg body weight				
WH	WHO (1)		utte <i>(2)</i>		
Age (months)	Estimated energy requirement	Age (months)	Estimated energy requirement		
0.5	519 (124)	0–1	364 (87)		
1–2	485 (116)	1–2	376 (90)		
2–3	456 (109)	2–3	380 (91)		
3–4	431 (103)	3–4	345 (83)		
4–5	414 (99)	4–5	339 (81)		
5–6	404 (96.5)	5–6	334 (80)		
6–7	397 (95)				
7–8	395 (94.5)	6–9	347 (83)		
8–9	397 (95)				
9–10	414 (99)				
10–11	418 (100)	9–12	372 (89)		
11–12	437 (104.5)				

 $<sup>^{\</sup>it a}$  Based on energy required for total energy expenditure plus growth of breastfed infants.

Age	United Kingdom	United States	European Union	WHO
Boys				
)—3 months	2.3 (545)	2.7 (650)	2.2 (525)	2.3 (545)
1–6 months	2.9 (690)	2.7 (650)	3.0 (715)	2.9 (690)
7–9 months	3.4 (825)	3.5 (850)	3.5 (835)	3.4 (825)
10–12 months	3.9 (920)	3.5 (850)	3.9 (930)	3.9 (920)
1–3 years	5.2 (1230)	5.4 (1300)	5.1 (1215)	5.2 (1230)
4–6 years	7.2 (1715)	7.5 (1800)	7.1 (1690)	7.2 (1715)
Girls				
0–3 months	2.2 (515)	2.7 (650)	2.1 (500)	2.2 (515)
4–6 months	2.7 (645)	2.7 (650)	2.8 (670)	2.7 (645)
7–9 months	3.2 (765)	3.5 (850)	3.3 (790)	3.2 (765)
10–12 months	3.6 (865)	3.5 (850)	3.7 (880)	3.6 (865)
1–3 years	4.9 (1165)	5.4 (1300)	4.8 (1140)	4.9 (1165)
4–6 years	6.5 (1545)	7.5 (1800)	6.7 (1595)	6.5 (1545)

Source: Garrow et al. (3).

For girls and boys aged less than 2 years, reference nutrient intakes are assumed to be identical when expressed per unit body weight. Owing to their greater average weight, therefore, boys have higher estimated average requirements for energy.

# **Physical activity**

Energy requirements change with physical activity and under stressful environmental conditions, such as extremes of temperature and during illness, but precise estimates of the range of these variations are not available for infants and young children. Physical activity plays a key role in the psychological and social development of young children and it is therefore essential that children receive adequate energy to support optimal physical activity. There is some evidence that when energy intake is insufficient, activity may be reduced to maintain growth (4).

# **Energy required from complementary foods**

The energy needed from complementary foods depends on the energy obtained from breast-milk and the energy requirements of the individual infant. It is therefore difficult to estimate the average energy required from complementary foods alone. In the WHO/UNICEF report on complementary feeding in developing countries (5), however, an attempt was made to estimate this.

The energy needed from complementary foods can be calculated by subtracting the energy consumed as breast-milk from the recommended energy intake. Values used for the recommended energy intake are those proposed by Butte (2) (Table 9) for infants aged up to 12 months, and by Torun et al. (6) for young children aged 12 months and over. Estimates of mean breast-milk intake were derived from a comprehensive review of the published literature from both developing and industrialized countries, and from both exclusively and partially breastfed infants. Energy requirements were assumed to be the same for all these groups of infants.

Because the mean intake of breast-milk was slightly lower in developing than in industrialized countries, the energy needed from complementary foods in the 3–8-month age group in developing countries is slightly higher (Table 11). The original theoretical analyses (5) include a range of high to low intakes of breast-milk (mean ± 2 standard deviations). The range is wide and, for example in industrialized countries, values range from zero to 1.7 MJ/day (408 kcal/day) in the 6–8-month age group.

The data given in Table 11 suggest that infants from industrialized countries, with an average breast-milk intake, do not require any complementary

Table 11. Age-specific estimates of energy in MJ (kcal)/day required from complementary foods in industrialized and developing countries, assuming an average breast-milk intake

Age	Industrial	Industrialized countries		Developing countries	
group (months)	Breast-milk (	Complementary foods	Breast-milk	Complementary foods	
0–2	2.1 (490)	0.0 (0)	1.8 (437)	0.0(0)	
3–5	2.3 (548)	0.0(2)	2.0 (474)	0.3 (76)	
6–8	2.0 (486)	0.8 (196)	1.7 (413)	1.1 (269)	
9–11	1.6 (375)	1.9 (455)	1.6 (379)	1.9 (451)	
12–23	1.3 (313)	3.3 (779)	1.5 (346)	3.1 (746)	

Source: World Health Organization (5).

food to meet their energy requirements until the age of 6–8 months, when they need 0.8 MJ (196 kcal)/day from complementary food. As mentioned, however, the range of needs is wide and it is vital to interpret these estimates cautiously. The actual energy requirements of each infant will depend on his or her body weight and other factors, including rate of growth.

In contrast, it appears that a 3–5-month-old infant from a developing country does need a small amount of energy from complementary food (0.3 MJ (76 kcal)/day). This figure is the difference between the energy needs of an infant with a median body weight according to the WHO reference and energy from a breast-milk intake based on available data from developing countries, where infants often have a low body weight; the figure may therefore be inflated. Furthermore, studies suggest that the introduction of complementary foods before 6 months is likely to displace breast-milk (7,8) and its early introduction is thus not effective in increasing the total energy intake.

Thus, after about 6 months of age, breast-milk alone does not meet a child's energy needs, and appropriate complementary foods are necessary to supply the additional energy required. The evidence for when to introduce complementary foods is reviewed in more detail in Chapter 8.

#### Low intake

If energy intake is less than the energy requirement of the individual, physical activity and/or rate of growth will be reduced. If the deficit continues,

protein—energy malnutrition will develop. Low energy intake may also result in the metabolism of protein for energy and consequently protein deficiency (see page 54).

# **High intake**

When energy intake exceeds requirements, fat deposition and weight gain increase. However, fat deposition during infancy is part of normal growth. The rate of fat deposition, measured as subcutaneous fat or body fat, is very fast up to the age of 4 months, and slows thereafter until the age of about 6 years. Fat mass as a percentage of body mass increases until about 6 months of age and gradually declines thereafter. Total fat, on the other hand, is on average higher in a 6-year-old than a 1-year-old, although it will be lower per unit of body weight.

Studies from the early 1970s suggested that fatness during infancy could result in a lifelong risk of obesity. More recent epidemiological studies, however, show no strong correlation between fatness during infancy and obesity in later life (9,10). It is now generally agreed that fatness during infancy is not a risk factor for obesity later in life. Nevertheless, the degree to which fatness during early childhood (second and third years of life) is associated with obesity in later life is not known. Given the escalating prevalence of obesity in the Region, it is prudent to limit fat and sugar intake and thereby energy intake from the age of 2-3 years. Furthermore, all children should be encouraged to be physically active.

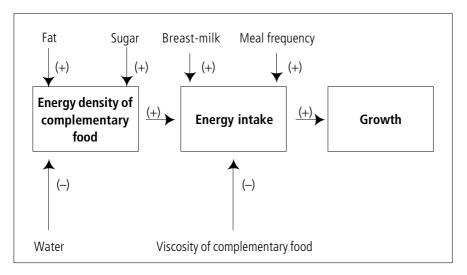
#### **ENERGY DENSITY**

Infants and young children have an energy intake per kg body weight some 2–3 times that of adults (Fig. 11 and 12). Because they have to ingest large amounts of energy, a key determinant of energy intake is the energy density of complementary foods. Too low an energy density may result in an energy deficit and consequently poor growth. The amount of energy an infant can eat is determined by a number of factors (Fig. 13). Energy density is increased by raising the content of fat and sugar, while a higher water content will decrease energy density. Energy intake is increased through complementary food with a high energy density, more frequent meals and an increased intake of breast-milk. Conversely, energy intake will be reduced if complementary foods are very viscous, which is typically the result of a high starch content.

Energy intake is also influenced by functional gastric capacity, which determines the volume of food an infant can ingest during one meal. The

Fig. 13. Factors affecting the energy density of complementary foods and energy intake by the infant and young child.

The direction of influence is indicated as positive (+) or negative (-).



functional gastric capacity is determined not only by the volume of the stomach but also by its rate of emptying. If the energy density of a meal is low, the infant will require a large volume of food to satisfy energy requirements, and this may exceed the infant's gastric capacity. Functional gastric capacity has been estimated to be about 30 g/kg body weight (5). This is equal to values of approximately 250, 285 and 345 g/meal for infants of average body weight at 6–8, 9–11 and 12–23 months, respectively. These estimates of gastric capacity were used to calculate the minimum energy density of complementary food (5). Table 12 shows the energy densities needed to satisfy energy requirements assuming an average breast-milk intake (see Table 11) or no breast-milk intake and different meal frequencies. These figures depend on a number of assumptions about gastric capacity, and the number and composition of meals, and should therefore be regarded as rough estimates.

Based on these data, the WHO/UNICEF report (5) concluded that breastfed infants older than 8 months should receive at least three meals of complementary foods per day and that, if the energy density of the diet is less than 4.2 kJ (1 kcal)/g, more than three meals are needed. Furthermore, the report recommended that for those infants receiving little or no breast-milk (or suitable alternative formula), at least four meals per day, or very energy-dense food, are required. Studies of the dietary intake of infants and young

Age range	Average breast-milk intake		No	breast-mi	ilk	
(months)	2 meals	3 meals	4 meals	2 meals	3 meals	4 meals
6–8	3.8 (0.9)	2.5 (0.6)	1.6 (0.4)	7.1 (1.7)	4.6 (1.1)	3.8 (0.9)
9–11	5.0 (1.2)	3.4 (0.8)	2.5 (0.6)	7.5 (1.8)	5.0 (1.2)	3.8 (0.9)
12-23	6.3 (1.5)	4.2 (1.0)	2.9 (0.7)	8.4 (2.0)	5.4 (1.3)	4.2 (1.0)

Source: World Health Organization (5).

children from industrialized countries show that the energy density of the total diet (breast-milk combined with complementary foods) slowly increases from that of breast-milk (2.8 kJ (0.67 kcal)/g) (11). The average energy density of complementary foods is higher than that of breast-milk; if it falls below that of breast-milk, studies suggest that the total energy intake will be too low. It is therefore prudent to assume that the average energy density of complementary foods should be at least 2.8 kJ (0.67 kcal)/g and ideally closer to 4.2 kJ (1 kcal)/g.

Energy density varies considerably among different complementary foods. Foods with a high energy density include meat and fatty fish. Most complementary foods, however, are based on a staple that is high in complex carbohydrates, and therefore bulky and viscous, often with a low energy and nutrient density. Porridges, for example, typically have a very low energy density (< 2.1 kJ (< 0.5 kcal)/g) if they are prepared without milk or fat. To reduce their viscosity and thereby make them easier for infants and young children to consume, water is often added to complementary foods, particularly to porridges. This further reduces their energy and nutrient density, and is therefore not recommended.

There are several ways in which the energy density of a complementary food can be increased. Adding fat or sugar increases the energy density without increasing the viscosity of the food (Fig. 13). Fat is most efficient because it is very energy-dense (38 kJ (9 kcal)/g) while sugar, like other carbohydrates and protein, contains only 17 kJ (4 kcal)/g. Both fat and sugar, however, contain no protein and almost no micronutrients and thus the nutrient density of the meal will be reduced. The amount of fat that can be added to a diet without reducing the intake of micronutrients to a level below the recommended intake depends on the total micronutrient content of the diet.

National recommendations from industrialized countries advise a dietary fat content of about 35–45% total energy intake for the age group 6–12 months, decreasing to about 30–40% from 12 months to 2–3 years of age (12). In contrast, it is recommended (5) that in developing countries fat intake should be lower, at approximately 30% of total energy intake (25% in the complementary diet). This last recommendation is based on a concern that if a high quantity of fat is added to a monotonous cereal-based diet with a borderline micronutrient content, then this low micronutrient content would be further diluted. In the present report, a fat intake providing around 30–40% of total energy is thought to be prudent for the first 2 years of life.

#### **PROTEIN**

# **Function**

Proteins are the major functional and structural components of all the body's cells. They are diverse in structure and function: enzymes, blood transport molecules, the intracellular matrix, fingernails and hair are all composed of proteins, as are most hormones and components of membranes. Amino acids, the building-blocks of proteins, also act as precursors for the synthesis of many coenzymes, hormones, nucleic acids and other molecules essential for life. Thus, to maintain cellular integrity and function and to ensure health and growth, an adequate supply of dietary protein is vital. Proteins may also be a source of energy during times of energy deprivation, although fat and carbohydrate are utilized preferentially by the body.

Protein intake is particularly important in infancy and childhood, when rapid growth requires amino acids from which to build new tissue (particularly the organs and muscle). All amino acids provide nitrogen for synthesis of human proteins, but some essential (indispensable) amino acids cannot be synthesized by the body and must therefore be supplied in the diet. If deprived of these essential amino acids, the body will catabolize its own proteins to produce them. Adequate intakes of essential amino acids can be achieved so long as the diet contains a variety of protein sources (see below). In adults, the essential amino acids are isoleucine, leucine, lysine, methionine, phenylalanine, threonine, tryptophan, valine and histidine. Arginine is an essential amino acid for children. Cysteine, taurine and tyrosine appear to be essential amino acids for the preterm infant, but evidence is inconclusive as to their essentiality for term infants.

The body can synthesize other amino acids from simple precursors, and these are termed the nonessential amino acids. They are alanine, aspartic

acid, cysteine, glutamic acid, glycine, proline, serine and tyrosine. There are only very small reserves of protein in the body (approximately 3% of the total body content), and therefore pathological conditions such as starvation, injury or infection can cause substantial rates of protein loss from the body protein mass. Protein is catabolized mainly by the breakdown of muscle cells to provide the necessary amino acids, or energy if energy intake is low, to maintain protein synthesis. Thus if dietary sources of nitrogen are limited, all amino acids become "essential". If breast-milk (or other milk) intake is significant, however, the need for essential amino acids from complementary foods is very small, and nitrogen can be provided from plant proteins as long as there is sufficient energy.

#### **Sources**

Good sources of high-quality protein include animal sources, such as liver, meat, fish, cheese, milk and eggs, and some vegetable sources, primarily soya products, green beans and pulses. Wheat products are also good sources of vegetable protein, but most vegetables and fruit contain little protein.

Proteins are classified nutritionally according to the quantity and proportion of essential amino acids that they contain. The biological value of a protein refers to its ability, when it is the sole dietary source of protein, to support protein synthesis and therefore body maintenance and growth. On this scale, breast-milk proteins and egg have the highest value, 1.0. All animal proteins (with the exception of gelatin) are complete, that is they contain all the essential amino acids and are of high biological value. Most vegetable proteins, except soya, are incomplete because they offer an unbalanced assortment of amino acids that cannot alone satisfy the body's needs. An essential amino acid supplied in less than the amount needed to support protein synthesis is known as a limiting amino acid; in cereal diets, for example, lysine tends to be limiting. This so-called "limiting" can be overcome by mixing different vegetable protein sources together, as explained in Chapter 8.

Because proteins are digested to amino acids or small peptides before they are absorbed, it is the mixture of amino acids derived from the ingested foods that is important. Protein complementation is the process by which a protein of low biological value, lacking amino acid X but containing Y, is eaten with another low-value protein, rich in X but not Y, the two together giving a good mix of amino acids equal to a protein of high biological value. Thus, if cereals are consumed with milk proteins, which have a high lysine content, there is significant complementation and the limiting factor is overcome.

#### Coeliac disease

Coeliac disease is the result of a sensitivity in a small number of children to dietary gluten, a protein that causes injury to the mucosa of the small intestine, leading to malabsorption and other clinical problems including iron deficiency and wasting. Gluten is a mixture of proteins found in cereals, and it is the gliadin fraction of gluten that is toxic to the intestinal epithelium, precipitating gluten enteropathy or coeliac disease. The gliadin content of wheat is considerably higher than that of other cereals. Gliadin itself is a mixture of proteins, and research is under way to identify the different epitopes and mechanisms by which they cause mucosal damage.

Coeliac disease sometimes manifests itself during the complementary feeding period, after the infant first encounters foods containing gluten. It may take some time to develop clinically, and if genetically predisposed individuals are breastfed and are not introduced to gluten-containing foods until after 6 months of age, the onset of the disorder will probably be delayed or possibly prevented. This delay may be important, because nutrition and growth will not be compromised during the particularly vulnerable stage of rapid growth. New data from Sweden suggest that not only breastfeeding, but also the age at which gluten is introduced into the diet and the amount of gluten consumed in early life, affect the risk of developing coeliac disease (13). Coeliac disease is treated by lifelong exclusion of gluten from the diet. Most countries recommend that infants should not receive gluten-containing foods before 6 months of age. Thus, it seems prudent to recommend that gluten-containing cereals should not be introduced before the age of about 6 months.

# Requirements

The 1985 recommendations on protein requirements for infants less than 6 months of age (1) were based on intake data from breastfed infants. It was concluded that the protein requirements of infants up to 6 months of age will be fully satisfied as long as energy needs are met and the food offered contains protein in a quantity and quality equivalent to that in human milk. Not all of the protein in human milk is "nutritional", as some functional proteins such as secretory IgA can be found in the stools. Nevertheless, although the protein requirement per unit of body weight is greater in infants than in any other age group, the nitrogen from breast-milk is highly bioavailable and well absorbed and is matched by a high energy intake per unit of body weight. This concept can be illustrated by the energy and protein requirements of a 3-month-old infant. Breast-milk is perfectly adapted to infant needs and is relatively low in protein compared with cow's milk. At 3 months of age, protein intake represents approximately 5–6% of

total energy intake compared with about 10–15% in adults. With an average daily breast-milk intake of around 800 ml, the protein requirements (estimated at 1.4 g/kg body weight/day) will be satisfied.

Table 13 shows that the protein requirements for infants aged 2–5 months, as estimated in 1985 (1), were 7–19% greater than the intakes of exclusively breastfed infants estimated by Dewey et al. (14). The most probable explanation for this difference is that protein requirements were overestimated in 1985.

The 1985 estimates of mean protein requirements used a modified factorial approach, based on estimates of maintenance nitrogen needs and those for growth, which in children under 2 years is a significant percentage. Lack of data and dependence on certain assumptions limit these estimates, and there is a need for more evidence on which to base reliable recommendations of the safe level of protein intake for children, particularly during the critical period of rapid growth in the first 12 months. In the absence of evidence from direct experimentation, protein requirements are based on an estimate of the protein intake that would meet the mean requirement; a safe level is then set at two standard deviations above this in order to meet the needs of the vast majority of infants. Estimates for safe protein requirements in infants aged 0–12 months are shown in Table 13. National and international recommendations are based on these estimated requirements (Table 14).

The former Soviet "physiological norms" for protein were significantly higher (over three times in some cases) than levels recommended by international expert committees. As a result, studies investigating the adequacy

Table 13. Estimated protein "requirements" in g/kg body weight/day for infants aged 0—12 months			
Age (months)	FAO/WHO/UNU (1)	Dewey et al. <i>(14)</i>	
0–1	_	2.69	
1–2	2.25	2.04	
2–3	1.82	1.53	
3–4	1.47	1.37	
4–5	1.34	1.25	
5–6	1.30	1.19	
6–9	1.25	1.09	
9–12	1.15	1.02	

Table 14. Recon	Table 14. Recommended nutrient intakes for protein in g/day <sup>a</sup>				
Age	United Kingdom	United States	European Union	WHO	
0–3 months	12.5	13	_	12.5	
4–6 months	12.7	13	14.0	12.7	
7–9 months	13.7	14	14.5	13.7	
10-12 months	14.9	14	14.5	14.9	
1–3 years	14.5	16	14.7	14.5	
4–6 years	19.7	24	19.0	19.7	

<sup>&</sup>lt;sup>a</sup> Recommended protein intake per day is calculated by multiplying recommended protein intakes per kg body weight with the mean body weight for infants in each age group.

Source: Garrow et al. (3).

of protein intakes in the former Soviet countries may erroneously claim to find widespread protein deficiency. Indeed, there is very little evidence to support claims that widespread protein deficiency exists in any of the former Soviet countries, including the central Asian republics.

## Low intake

Protein deficiency is almost always accompanied by inadequate energy intake, and the two together give rise to protein—energy malnutrition, one of the commonest forms of malnutrition worldwide. In children, acute protein—energy malnutrition (caused by recent severe food deprivation) is characterized by a low weight-for-height index (wasting), while the chronic condition (caused by long-term food deprivation) is characterized by a low height-for-age index (stunting). Severe protein—energy malnutrition results in the clinical syndromes of marasmus, kwashiorkor or marasmic kwashiorkor. All three conditions are compounded by a range of nutritional disorders, including micronutrient deficiencies (15).

In infants and young children in industrialized countries, severe malnutrition is usually secondary to gastrointestinal disorders or chronic systemic disease such as tuberculosis, cystic fibrosis or cancer. Nevertheless, primary malnutrition unrelated to illness may be caused by insufficient food availability, lack of care or poverty. Specific causes include: formula feeds that are overdiluted; home-made formulas of inappropriate composition; prolonged partial breastfeeding without adequate addition of complementary foods; excessive juice intake; the offering of inadequate food because of perceived food "allergens"; and the inappropriate provision of a milk-free diet that is low in protein.

# **High intake**

Family foods tend to contain a high proportion of protein (approximately 10–15% of total energy intake) and if the diet is low in fat the percentage of total energy intake contributed by protein can be between 15% and 20%. Such protein intakes are 3–4 times greater than the protein requirements of infants and young children.

Diets high in protein offer no benefits and can theoretically have a number of adverse effects. High circulating blood levels of amino acids may exceed the capacity of the hepatic and renal systems to metabolize and excrete the excess nitrogen. This may lead to acidosis, diarrhoea and elevated levels of blood ammonia and urea. The high potential renal solute load associated with diets rich in protein reduces the margin of safety related to the maintenance of water balance. Consequently, during periods of illness with associated dehydration, the reduced capacity to excrete waste products increases the risk of hypernatraemia. High prevalence of hypernatraemic dehydration has virtually disappeared in some countries, probably as a result of the higher rates of breastfeeding and the use of modified cow's milk formula with lower protein and salt content (16).

In addition to the risk that high protein intakes can compromise fluid balance, excess protein intake has also been linked to obesity in later life. In a longitudinal study of children, for example, those with a high protein intake at the age of 2 years had a significantly higher risk of being obese at 8 years (17). However, this association is not proven to be causal.

#### **FAT**

# **Function**

Dietary fats provide the infant and young child with energy, essential fatty acids and the fat-soluble vitamins A, D, E and K. Fats also heighten the palatability of food, thereby promoting greater energy intake. Furthermore, several fatty acids, especially the long-chain polyunsaturated fatty acids, have specific and essential physiological functions.

The different types of fat (structural and storage) in the body have diverse functions, and the quality as well as the quantity of fat intake is important. The structural fats are mainly constituents of cell membranes and neural tissue and thereby contribute to the architecture of cells, while the storage fats act as a long-term reserve of metabolic fuel for the body. The largest store of fatty acids that supply long-term energy needs is adipose tissue, which is principally composed of triglycerides. Similarly breast-milk fat,

which is the main energy source for young infants, is 98% triglycerides. Triglycerides are the richest source of energy and have an energy density of 38 kJ (9 kcal)/g, which is more than twice that of carbohydrate or protein.

## **Sources**

Dietary fat includes all of the lipids found in both plant and animal foods (Table 15). In nutritional terms, fats are often divided into "visible" fats, such as cooking oils, butter and the fat on meats, and "invisible fats", which are those incorporated into foods during preparation and cooking (for example in cakes and biscuits), or present in processed meats and sausages and emulsions such as mayonnaise. The majority of both visible and invisible fats are triglycerides, but fats may also be present in plant membranes and animal tissues, and these are chiefly phospholipids, glycolipids and cholesterol. Fats from animal produce (for example, cow's milk and meat) tend to contain saturated fatty acids, while those from plants and fish tend to contain monounsaturated or polyunsaturated fatty acids (Table 15). In adults, saturated fats are associated with an increase in cardiovascular diseases, but there are no data to suggest that saturated fat intake during the first years of life contributes to this problem. In contrast, unsaturated fat consumption is associated with a lower prevalence of cardiovascular disease in adults.

There are two essential fatty acids, linoleic and  $\alpha$ -linolenic acids, that the human body cannot synthesize. These are precursors of phospholipids, prostaglandins and the long-chain polyunsaturated fatty acids, including arachadonic and docosahexaenoic acids. Young infants have limited ability

Table15. Dietary fats and their sources				
Dietary factor	Typical sources			
Saturated fatty acids	Butter, lard, cow's milk fat, cheese, meat and sausages			
Monounsaturated fatty acids Polyunsaturated fatty acids	Olive, canola and rapeseed oils			
Linoleic acid	Corn, sunflower, soya and safflower oils			
lpha-linolenic acid	Fish oils, soya oil and fats in vegetables and nuts			
Trans fatty acids	Hydrogenated fat in margarines, biscuits and cakes			
Dietary cholesterol	Eggs, meat, butter and whole milk			

to synthesize arachadonic and docosahexaenoic acids but both are present in human milk. Most commercial infant formulas do not contain docosahexaenoic acid, and the membrane phospholipids in the brains of infants whose intake of this fatty acid is deficient have replaced it with other fatty acids. Docosahexaenoic acid is a major constituent of the developing brain, and its replacement with other fatty acids is likely to change the functional characteristics of neural cells (18).

# Requirements

About 50% of the energy available in human milk is derived from fat. Many foods adapted for infants have a substantially lower proportion of their energy derived from fat (12), and thus the proportion of total dietary energy provided as fat gradually decreases as a wider variety of foods are introduced. When defining an optimal level of dietary fat during the period of complementary feeding, it is vital to ensure that there is enough fat to meet the requirements for essential fatty acids, and that energy density is within a desirable range.

It has therefore been recommended (12) that, during the complementary feeding period (between about 6 and 24 months), a child's diet should derive 30%–40% of energy from fat. This translates into a total energy intake of approximately 3% from linoleic acid and approximately 0.3% from linolenic acid. However, the optimal intake of long-chain polyunsaturated fatty acids for this age group remains controversial.

Fat can make a considerable contribution to the energy density of the mixed diet and, because it does not normally increase the viscosity of food, it can be used to increase energy density without resulting in an overly thick preparation. However, the fat content should not be so great as to displace protein or micronutrients or to induce gastrointestinal intolerance.

## Low intake

Infants and young children have particularly high energy requirements for their body weight. To safeguard growth during this period, therefore, restricted diets and especially low-fat diets (those with a low energy density) should not be recommended for this age group. In support of this, there is some epidemiological evidence to suggest that poor growth during early life might increase the risk of cardiovascular disease later in life (19).

# **High intake**

The main rationale for restricting fat intake during childhood is to prevent obesity and cardiovascular disease later in life. Evidence for a beneficial

effect of a lower fat intake during infancy and early childhood on later cardiovascular disease is indirect, however, and is based primarily on extrapolation from studies of adults and children with hypercholesterolaemia. The atherosclerotic vascular lesions found before puberty are reversible. Although high blood lipid levels during early childhood tend to continue to be relatively high, there is no proof that lowering fat intake during early life reduces the risk of developing cardiovascular disease later in life (20). Furthermore, epidemiological studies have shown that infant obesity is a poor predictor of obesity later in childhood and in adulthood (17,21).

# **CARBOHYDRATES**

# **Function**

Carbohydrates provide a significant proportion of the energy in the human diet. Ultimately, all carbohydrates in food are converted to and absorbed as monosaccharides, primarily glucose. Glucose is an essential fuel for all body tissues and particularly the brain, which is unable to metabolize fat for energy. Under normal conditions, a rise in blood glucose above a certain level leads to its removal from the circulation and storage as glycogen in the liver or muscle, or conversion into and storage as fat.

Glycoproteins are polypeptides containing short chains of carbohydrates, and are present in many tissues. Glycoproteins are involved in a range of functions, and include the mucins that provide a protective lining for epithelia, particularly that of the gut.

The colon is inhabitated by a large and complex bacterial microflora, which is capable of fermenting most of the carbohydrate that has not been digested and absorbed in the small intestine. This unabsorbed carbohydrate (starches and non-starch polysaccharides) is fermented to lactic acid and short-chain fatty acids, including acetic, propionic and butyric acids, and to gases that include hydrogen, carbon dioxide and methane.

Short-chain fatty acids represent a source of energy for the colonic mucosa and other body tissues, and it is estimated that in adults fermentation generates at least 2 kcal per gram of unabsorbed carbohydrate. Furthermore, short-chain fatty acids have important health benefits. Butyric acid is the principal fuel for colonocytes and may help to prevent colonic disease, while propionic and acetic acids may have positive effects on liver metabolism and on general energy balance, respectively. Moreover, short-chain fatty acids are rapidly absorbed, promoting water absorption and thereby reducing the risk of osmotic diarrhoea.

#### Sources

Dietary carbohydrates include sugars (with up to 3 monosaccharide units), oligosaccharides (with up to 10 monosaccharide units) and complex carbohydrates (starches and fibres with 10 or more monosaccharide units). Sugars are soluble carbohydrates, comprising mainly monosaccharides such as glucose, fructose and galactose and disaccharides such as sucrose, lactose and maltose. Starches are composed of polysaccharide chains and have physical states that are subject to modification by cooking. The polysaccharides (excluding starch) form a complex group of polymers mainly derived from plant cell walls, and are the principal components of "dietary fibre".

# Sugars

Sugars can be classified into two groups: those that are naturally integrated into the cellular structure of food (the most important being whole fruits and vegetables containing mainly fructose, glucose and sucrose) and those that are not located within the cellular structure of the food, and either exist free in food or are added to it. Free or added sugars can be subdivided further into the milk sugars (mainly lactose, representing around 37% of the energy in human milk) that naturally occur in milk and milk products, and all other sugars (added sugars). These include refined sugar (sucrose) from sugar cane and beet (used in recipes, at the table or in soft drinks) and honey (a mixture of fructose and glucose).

Sugars provide energy but they make no other contribution to nutrient needs. Infants enjoy the taste of sweet foods, and parents may be tempted to use them as a comfort or reward. It is thus important that the infant diet should offer a variety of tastes and textures, and that infants should not come to expect that their food and drink will always be sweet (see Chapter 8, pages 180–181). Unsweetened cereals, yoghurts and kefir should be preferred to those containing a large quantity of sugar. There is no advantage in terms of dental health of replacing sucrose with other sweet foods such as honey or fructose.

#### Starch

Complementary feeding should, as a priority, ensure adequate energy. Although starch is well tolerated and relatively efficiently digested and absorbed, a starch-rich diet tends to be bulky. Cooked cereal products and vegetables provide suitable sources of starch in the infant's diet. Rice starch is well digested and absorbed and is particularly suitable during early complementary feeding because it is gluten-free. Data on starch intakes by infants and young children are scarce, but it is generally recommended that

an increasing starch intake with age should be encouraged provided that the total energy intake remains adequate.

# Dietary fibre

Dietary fibre can be defined as the non-starch polysaccharides (cellulose, hemicellulose A and B, gums, mucilages and pectins) and lignin. A common characteristic of all dietary fibres is that they are not fully digested in the small intestine and they enter the colon where they are available for fermentation by the colonic microflora.

The principal positive effect of fibre in children is probably that of regulating bowel movement. Some forms of dietary fibre are better than others for increasing stool weight and frequency, softening faeces, increasing faecal bulk and reducing gastrointestinal transit time. Constipation can be prevented and treated with dietary fibre. The effects appear to vary according to the type of fibre consumed: insoluble, coarsely ground fibre has a more marked effect in retaining water, and thereby increasing stool frequency, than finely ground soluble fibre. The products of the bacterial fermentation of dietary fibre may also directly affect bowel habit.

Fibre may prevent or be used to treat obesity. High-fibre foods have a lower energy density, satisfy hunger, "flatten" the post-prandial glycaemic response and slow the rates of food ingestion, gastric emptying and digestion. However, there are very few useful studies of these effects in children. In older children, no adverse effects have been reported from the consumption of fibre-containing foods, and there is no information available from developing countries where higher fibre intakes frequently coexist with low energy intake.

Foods used for complementary feeding should not in general contain as much fibre as the adult diet, because fibre can displace the energy-rich foods that children under 2 years of age need for growth. Infants and young children who receive diets with inappropriately high amounts of low-energy-density foods may not have an adequate energy intake, which can result in failure to thrive.

Fruit and vegetables are good for older infants and young children. They are rich in fibre and are also good sources of micronutrients and other beneficial substances. Many foods rich in fibre, however, such as whole-grain cereal products and legumes, also contain phytates, which impair the absorption from the diet of zinc and iron.

Research is needed on foods that promote a beneficial colonic flora. From the second year of life, progressive introduction of natural foods in the form of vegetables, (whole) cereals, legumes and fruit will accustom the infant to a balanced and correct dietary habit within a mixed diet. Indeed, these recommendations would help to control the overconsumption of protein found in the 12–24-month period in most countries (21).

# Requirements

Provided energy is adequate, starch intake should gradually increase with a concomitant decrease in fat intake. Added sugars represent "empty calories", being a source of energy only and not associated with any micronutrients. Thus, a diet with a high proportion of energy from added sugars has a reduced capacity to ensure micronutrient needs and may cause diarrhoea (this is particularly true of fructose-rich fruit drinks). Several countries recommend that the intake of added sugars should not exceed 10% of total energy intake, equal to approximately five level teaspoons (25 g) of sugar per day for a 12-month-old infant. This figure is arbitrary and studies do not recommend it specifically. However, given that there are no benefits of a high intake of added sugars, it seems prudent to keep this figure as a recommendation. If added sugars are consumed in excess of about 30% of total energy intake, undesirable elevations in plasma concentrations of glucose, insulin and lipids may occur (22). Such intakes should be avoided, and children with intakes of this magnitude should replace the excess with starch and nutrient-rich foods, especially fruit and vegetables. Reducing added sugar intake should also lower the risk of dental caries in preschool children.

#### Low intake

A low energy intake depletes glycogen and adipose stores, and thereafter increases the risk of hypoglycaemia. Although glucose can be synthesized by the liver from amino acids and propionic acid, a minimum amount of dietary carbohydrate is essential to inhibit ketosis (the accumulation of ketoacids formed in the liver from fatty acids) and to allow complete oxidation of fat.

# **High intake**

Diets high in fibre are energy-sparse and bulky, and diets over-rich in fibre are therefore not recommended for infants and young children. Children over 2 years of age, for whom an energy-dense diet is of less concern, should be encouraged to eat foods rich in complex carbohydrates, since these play a vital role in normal bowel function. There is very little evidence that excess carbohydrate intake is a cause of obesity in infancy.

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# **Vitamins**

In countries where there is a high prevalence of childhood infectious disease, it is important to determine whether vitamin A deficiency is a public health problem.

In countries where rickets is a public health problem, all infants should receive a vitamin D supplement as well as adequate exposure to sunlight.

In this chapter, the vitamins that are most relevant to the health of infants and young children in the European Region are discussed. The RNIs of those vitamins that are not discussed here (vitamins E and K, and the B vitamins thiamin, riboflavin, niacin, vitamin B<sub>6</sub>, biotin and pantothenic acid) are given in the Appendix to this chapter. Table 16 shows the main sources and functions of vitamins.

#### **VITAMIN A**

#### **Function**

Vitamin A is required for healthy vision, for the integrity of epithelial surfaces, and for the development and differentiation of tissues. It is also essential for embryonic development and many other physiological processes, including spermatogenesis, normal immune response, taste, hearing and growth. In addition, several carotenoids including  $\beta$ -carotene, which can be converted into vitamin A, appear to act as important antioxidants in tissues. Together with vitamin C and vitamin E they deactivate or scavenge free radicals (highly reactive molecules) and activated oxygen, and may therefore protect against cellular damage.  $\beta$ -carotene is also involved in maintaining an effective immune response.

#### **Sources**

Vitamin A is obtained as preformed retinol from animal products or is converted from the carotenoids, in particular  $\beta$ -carotene, present in plant food. Levels of preformed vitamin A are highest in liver, dairy products, eggs and fish. Dark green leafy vegetables and yellow vegetables (such as carrots) and fruit are rich sources of carotenoids. The consumption of foods rich in vitamin A should be encouraged once complementary feeding has started.

#### **Requirements and RNIs**

In order to express vitamin A requirements in units that allow for the differing potency of retinol and the carotenoids, the unit "retinol equivalents"

Vitamin	es and functions of vitam Important sources	Functions
vicaniiii	important sources	i uncuons
Vitamin A (retinol)	Liver, dairy products, fish oils, orange and green vegetables, fortified margarines	Eyesight Healthy skin and mucous lining of body organs
Vitamin D (cholecalciferol)	Fish oils, salmon, herring, liver, skin exposure to UV light	
Vitamin E (tocopherol)	Vegetable oils, whole grain cereals, nuts, seeds, green leafy vegetables	Antioxidant properties, protecting cells from oxidative damage
Vitamin K	Colonic bacteria	Blood clotting
Vitamin C (ascorbic acid)	Citrus fruits, peppers, tomatoes, cabbage	Formation of supporting tissues of cells for wound healing Absorption of non-haem iron
Vitamin B <sub>1</sub> (thiamin)	Whole grain cereals and breads, legumes, nuts, meat	Carbohydrate utilization
Vitamin B <sub>2</sub> (riboflavin)	Green leafy vegetables, meat, eggs, milk	Nervous system function Protein metabolism Growth
Vitamin B <sub>3</sub> (niacin or nicotinic acid)	Whole grain cereals, nuts, legumes, meat, poultry, fish	Energy metabolism
Vitamin B <sub>12</sub> (cyanocobalamin)	Meat, eggs, fish, poultry, milk, roots/nodules of legumes (otherwise not generally present in plants)	Red blood cell formation Nervous system function

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Table 16 (contd)		
Vitamin	Important sources	Functions
Folic acid	Yeast, liver, kidneys, green leafy vegetables, orange juice	To aid maturation of red blood cells
Vitamin B <sub>6</sub> (pyridoxine)	Liver, kidneys, meat, whole grain cereals, egg yolk	Protein metabolism Formation and growth of red blood cells
Biotin	Liver, egg yolk, soya flour, cereals, yeast	Cofactor for gluconeogenesis and fat metabolism
Pantothenic acid	Animal products, whole grains, legumes	Essential for numerous reactions involved in lipid and carbohydrate metabolism

(RE) has replaced International Units (IU). 1  $\mu$ g retinol is equivalent to 1 RE, and it takes 6  $\mu$ g  $\beta$ -carotene to form 1 RE (1 RE = 3.33 IU vitamin A).

Infants are born with vitamin A stores in the liver and these, together with intakes of vitamin A from breast-milk, will satisfy requirements until about 6 months of age. The amount of vitamin A secreted in breast-milk depends on maternal intake and stores. If the vitamin A status of the mother is sufficient, breast-milk is also an important source of vitamin A after the age of 6 months. However, mothers deficient in vitamin A may not provide enough of it in their milk to build up infant liver stores or to protect the infant from deficiency beyond 6 months of age (1). For children in populations with a high prevalence of vitamin A deficiency, complementary foods are an important source of vitamin A after 6 months of age.

International recommendations for vitamin A intake (Table 17) are remarkably consistent, and suggest that intakes of around 350–400 RE per day should meet the needs of all healthy infants and young children.

#### Low intake

Infants and young children are vulnerable to the adverse effects of both deficiency and excess of vitamin A. Xerophthalmia, the result of severe

Table 17. Reco	ommended nu	ıtrient intakes	for vitamin A in R	E/day
Age	United Kingdom	United States	European Union	WHO safe level <sup>a</sup>
0–3 months	350	375	_	350
4–6 months	350	375	_	350
7–9 months	350	375	_	350
10–12 months	350	375	_	350
1–3 years	400	400	400	400
4–6 years	400	400	400	400

<sup>&</sup>lt;sup>a</sup> Safe level = upper end of normative storage requirement.

Source: Garrow et al. (2).

prolonged vitamin A deficiency, is a major preventable cause of childhood blindness in many countries of the developing world. In the WHO European Region, overt clinical vitamin A deficiency does not seem to be a problem, although data on the prevalence of vitamin A deficiency in the Region are limited. In Uzbekistan, 40–60% and in Armenia around 1% of children under 5 years of age had serum retinol values below 0.35 µmol (100 µg)/l, indicating severe deficiency (3,4). In the former Yugoslav Republic of Macedonia, 30% of children under 5 years had mild vitamin A deficiency (serum retinol values below 0.70 µmol (200 µg)/l) (5).

Evidence suggests that mild vitamin A deficiency without clinical signs is associated with an increased susceptibility to infection, and intervention studies suggest that vitamin A supplementation of deficient populations can reduce mortality (6) and morbidity from infectious diseases (7). Furthermore, vitamin A deficiency is a contributing factor to anaemia. The above-mentioned study carried out in Uzbekistan (3) reported that 40% of anaemic children under 5 years had low iron and low vitamin A status, and 20% had low vitamin A status only. There is an interaction between vitamin A and iron. In populations with deficiencies of both nutrients, supplementation with iron will have a positive effect on vitamin A status and vice versa (8). Recent studies suggest that as well as correcting iron deficiency, improvement in vitamin A status will have a positive effect on iron status.

In populations with a high prevalence of vitamin A deficiency, high priority should be given to reducing it because of its association with morbidity,

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anaemia and mortality. Maternal status should be improved through dietary intervention or daily supplements. Breastfeeding should be encouraged because breast-milk is a good source of vitamin A, and foods rich in vitamin A should be given during the complementary feeding period. Where it is not feasible to make such interventions within a reasonable time, supplementation in areas with moderate or severe vitamin A deficiency should be considered. A high dose should be given at intervals of 3–6 months or, if daily vitamin D supplements are already available, a combined vitamin A and D supplement should be given.

#### **High intake**

Toxic effects, including bone and liver damage, may arise following a single very large dose of retinol or from the ingestion of excessive doses of vitamin A supplements over a long period. Daily intakes of retinol should not exceed 900 RE in infants and 1800 RE in children between 1 and 3 years of age (9).

#### **B VITAMINS**

This section on the B vitamins will focus only on folic acid and vitamin  $B_{12}$  (Tables 18 and 19). Deficiency of both of these vitamins can cause megaloblastic anaemia. It is not known what proportion of anaemia can be attributed to folic acid and/or vitamin  $B_{12}$  deficiency in the Region. The relationship is difficult to elucidate as there are many nutritional and non-nutritional causes of anaemia. Thus, further research and surveys are needed to describe the epidemiology of folic acid and vitamin  $B_{12}$  deficiency.

Table 18. Reco	mmended nu	trient intakes 1	for folic acid in μg/d	lay
Age	United Kingdom	United States	European Union	WHO safe level <sup>a</sup>
0–3 months	50	25	50	16
4-6 months	50	25	50	24
7–9 months	50	35	50	32
10-12 months	50	35	50	32
1–3 years	70	50	100	50
4–6 years	100	75	130	50

<sup>&</sup>lt;sup>a</sup> Based on normative storage requirement with 15% coefficient of variation.

Source: Garrow et al. (2).

Table 19. Reco	Table 19. Recommended nutrient intakes for vitamin ${\rm B_{12}}$ in ${\rm \mu g/day}$				
Age	United Kingdom	United States	European Union	WHO safe level	
0–3 months	0.3	0.3	_	0.1	
4–6 months	0.3	0.3	_	0.1	
7–9 months	0.4	0.5	0.5	0.1	
10–12 months	0.4	0.5	0.5	0.1	
1–3 years	0.5	0.7	0.7	0.5	
4–6 years	8.0	1.0	0.9	8.0	

Source: Garrow et al. (2).

The main symptoms of folic acid deficiency are megaloblastic anaemia, poor appetite, weight loss and failure to thrive. Both human milk and cow's milk are good sources (40–60  $\mu$ g/l). Folic acid is heat-labile, however, and megaloblastic anaemia responsive to folic acid supplementation has been described in infants given heat-treated home-made cow's milk formula or unfortified commercial infant formula (10).

Children consuming a macrobiotic diet are at risk of vitamin  $B_{12}$  deficiency, and infants breastfed by strict vegan mothers are at risk of impaired neurological development, anaemia and even encephalopathy.

#### **Sources**

Folic acid occurs in leafy vegetables and also in liver, beans, beetroot, whole-meal bread, eggs and some fish.

The richest source of vitamin  $B_{12}$  is liver. Other sources include shellfish, fish, meat, eggs, milk, cheeses and yoghurt.

#### **VITAMIN C**

#### **Function**

Vitamin C is essential for the prevention of scurvy and for the promotion of wound healing. Furthermore, it is important for the optimal functioning of the immune system and for the synthesis of collagen, and it has antioxidant properties. Vitamin C is particularly valuable in assisting the absorption of non-haem iron from vegetables and other non-haem sources

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(see Chapters 6 and 8). This is probably achieved by chelation with iron to form a soluble compound that readily releases iron to the intestinal mucosa. The enhancing effect of vitamin C on the absorption of iron, and probably of zinc, from a meal depends on the presence of adequate amounts of vitamin C. For example, consuming food containing 25 mg ascorbic acid will approximately double the amount of iron absorbed from cereals (11). To be effective, foods and drinks rich in vitamn C should be consumed at the same time as foods containing non-haem iron, to allow the necessary conversion of ferrous iron to ferric iron.

#### **Sources**

Vegetables and fruit, especially spinach, tomatoes, potatoes, broccoli, berries, oranges and other citrus fruits, are the best sources of vitamin C. Vitamin C is highly labile and is destroyed by several factors including heat, light and oxygen. A diet containing a diversity of plant foods, eaten either raw or lightly cooked, is therefore recommended. Foods such as stews, soups, jams and compotes normally undergo prolonged cooking, which will significantly reduce and usually destroy all the vitamin C present.

#### Low intake

The vitamin C deficiency disease, scurvy, is uncommon in populations unless there is a prolonged shortage of fruit and vegetables together with an overall reduction in the food supply. Symptoms of deficiency such as weakness, fatigue, inflamed and bleeding gums, impaired wound healing and bruising have been observed after 3–6 months on a diet lacking vitamin C. The RNIs for preventing vitamin C deficiency are shown in Table 20.

Table 20. Reco	mmended nu	trient intakes	for vitamin C in mg/	day
Age	United Kingdom	United States	European Union	WHO
0–3 months	25	30	_	20
4–6 months	25	30	_	20
7–9 months	25	35	20	20
10–12 months	25	35	20	20
1–3 years	30	40	25	20
4–6 years	30	45	25	20

Source: Garrow et al. (2).

#### **High intake**

Vitamin C is water-soluble and any excess is excreted in the urine. The toxicity of vitamin C is low and there is no risk to health of a high intake.

#### **VITAMIN D**

#### **Function**

Vitamin D has an active role in calcium and bone metabolism, stimulating the intestinal absorption of calcium and the release of bound calcium from the skeleton. In addition, vitamin D has a role in muscle function, in cell proliferation and maturation, and in the immune system. This may partly explain the susceptibility to anaemia and infection of children with rickets (12,13).

#### **Sources**

Vitamin D is primarily synthesized in the skin by the action of ultraviolet B radiation from sunlight, after which it is further converted in the liver and kidneys to the active metabolite 1,25-dihydroxyvitamin D<sub>3</sub>. Dietary vitamin D is obtained from fatty fish (sardines, salmon, herring, tuna, etc.), margarines (which in most countries are fortified with vitamin D), some dairy products (including infant formula), eggs, beef and liver. During complementary feeding, the amount of vitamin D obtained from the diet is generally low and exposure to daylight is thus important for infants and young children.

#### Requirements and RNIs

Because vitamin D is principally derived from the exposure of the skin to sunlight, establishing dietary recommendations (Table 21) is difficult.

The vitamin D status of the newborn is largely determined by the status of the mother. If maternal vitamin D status is poor during pregnancy, the newborn also has low plasma concentrations and low stores of vitamin D. The vitamin D content of breast-milk is also influenced by the vitamin D status of the mother, and because of the strong relationship between maternal and infant vitamin D status, in some countries vitamin D supplements are recommended for pregnant women.

Children under 3 years of age are particularly vulnerable to poor vitamin D status because of the high demands of growth and the rapid rate at which calcium is laid down in bone. However, the amount of sunlight exposure needed to achieve the vitamin D requirements of infants is not large. Exposure of the face alone (or of the lower arms or legs) to sunlight for around

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Table 21. Recommended nutrient intakes for vitamin D in $\mu g/day$				
Age	United Kingdom	United States <sup>a</sup>	European Union	WHO
0–3 months	8.5	7.5	10–25	10
4-6 months	8.5	7.5	10–25	10
7–9 months	7.0	10	10	10
10–12 months	7.0	10	10	10
1–3 years	7.0	10	10	10
4–6 years	$O^b$	10	0–10	10

 $<sup>^{</sup>a}$  According to the US Institute of Medicine (15) an adequate intake for the whole period (0–6 years) is 5  $\mu$ g/day.

Source: Garrow et al. (2).

30 minutes each day is calculated to yield around  $10 \mu g (400 \, IU)$  vitamin D per day, an amount similar to the RNI for young children. Because it is fat-soluble, enough vitamin D can be stored to supply the physiological requirements over days or even months when there is no sun.

The ability to synthesize vitamin D from sunlight varies according to location and time of year. Those who live at a southerly latitude in the Region can synthesize sufficient vitamin D from a minimal amount of sun exposure from April through to October, with very reduced or no synthesis during the remaining part of the year. During the northern winters there may be 6 or more months of very little or no sun, so that infants and young children in the north may require vitamin D supplements during this time.

Infants should not be placed in direct sunlight for long periods because of the risk of sunburn, especially around midday in the summer. Sun-screen creams should prevent sunburn in countries with extreme heat and long hours of sunshine. However, sun-screens can reduce or even stop the synthesis of vitamin D, and around 15 minutes of exposure without sunscreen in the early morning or late afternoon when the sun is less damaging is therefore recommended. Within the WHO European Region, because of the wide variation in latitudes, the policies needed to prevent vitamin D deficiency will vary markedly.

Some European countries recommend that infants receive a daily supplement of vitamin D. In the United Kingdom, for example, it is recommended

<sup>&</sup>lt;sup>b</sup> If exposed to the sun.

that breastfed infants aged 6 months or more and pregnant and lactating women be given supplements of 7 µg/day. In most countries where there are recommendations for vitamin D supplementation, doses of 7–10 µg (280–400 IU)/ day are usually recommended. There is still doubt, however, about how much should be given to lactating mothers deficient in vitamin D to ensure optimum levels of the vitamin in breast-milk. In a population in Finland with scant sunlight in winter, breastfeeding by mothers who received as much as 25 µg (1000 IU)/day was not sufficient to secure adequate vitamin D status in their infants (14).

#### Low intake

Infants at risk of vitamin D deficiency are those whose skin is completely covered, who are dark-skinned, who live in northern latitudes or who are weaned on to vegan diets. Growth failure, lethargy and irritability are early signs of deficiency. Prolonged vitamin D deficiency in infants and young children results in rickets, which occurs only when bones are still growing. In rickets, there is a reduced calcification of the growing ends (epiphyses) of the bones. The clinical manifestations of rickets vary with age and with the duration of vitamin D deficiency. As the disease progresses, the epiphysis of the long bones and the ribs tend to be most seriously affected, resulting in flaring of the wrists and ankles, beading of the rib cage and softening of the skull bones (craniotabes). Some information on the prevalence of rickets in the WHO European Region is given in Chapter 1 (see page 26).

Rickets usually occurs in young children owing to their high rate of growth. The situation is aggravated by factors such as the tradition of swaddling (completely covering infants), keeping infants indoors or keeping them completely covered when out of doors. Swaddling, which reduces exposure of the skin to sun, still occurs in many parts of the Region and should certainly be discouraged. Infants should not be completely covered, and children should play out of doors as much as possible. Preventive measures for pregnant women and children under 5 years, such as dietary education and behavioural interventions, should be implemented. Where rickets is a public health problem, all infants should receive a vitamin D supplement in addition to adequate sunlight.

#### **High intake**

High intakes of vitamin D can be toxic at all ages and infants are especially vulnerable. Hypercalcaemia (raised plasma concentrations of calcium) arising from vitamin D intakes of 50  $\mu$ g/day or more has been reported. Hypercalcaemia is associated with thirst, anorexia, failure to thrive, vomiting, depressed IQ, raised plasma levels of vitamin D, and

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the risk of calcification of soft tissues and the formation of calcium stones in the renal tract.

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# **Appendix**

Recommended nutrient intakes for vitamins not discussed in this chapter (from Garrow et al. (2))

Vitamin E (mg  $\alpha$ -tocopherol/day)

Age	United Kingdom	United States	European Union	WHO
0–3 months	0.4 mg/g PUFA <sup>a</sup>	3	0.4 mg/g PUFA	0.15–2 mg/kg body weight
4–6 months	0.4 mg/g PUFA	3	0.4 mg/g PUFA	0.15–2 mg/kg body weight
7–9 months	0.4 mg/g PUFA	4	0.4 mg/g PUFA	0.15–2 mg/kg body weight
10–12 months	0.4 mg/g PUFA	4	0.4 mg/g PUFA	0.15–2 mg/kg body weight
1–3 years	0.4 mg/g PUFA	6	0.4 mg/g PUFA	0.15–2 mg/kg body weight
4–6 years	_	7	_	0.15–2 mg/kg body weight

<sup>&</sup>lt;sup>a</sup> PUFA = polyunsaturated fatty acid.

### Vitamin K (µg/day)

Age	United Kingdom	United States	European Union	WHO
0–3 months	10	5	_	_
4–6 months	10	5	_	_
7–9 months	10	10	_	_
10–12 months	10	10	_	_
1–3 years	_	15	_	_
4–6 years	-	20	-	_

## Vitamin B<sub>1</sub> (thiamin)

Age		nited ngdom	United States	European Union	WHO
	mg/day	mg/1000 kcal	mg/day	mg/day	mg/day
0–3 months	0.2	0.3	0.3	_	0.3
4-6 months	0.2	0.3	0.3	_	0.3
7-9 months	0.3	0.3	0.4	0.3	0.3
10-12 months	0.3	0.3	0.4	0.3	0.3
1–3 years	0.5	0.4	0.7	0.5	0.5
4–6 years	0.7	0.4	0.9	0.7	0.7

## Vitamin B<sub>2</sub> (riboflavin) (mg/day)

Age	United Kingdom	United States	European Union	WHO
0–3 months	0.4	0.4	_	0.5
4–6 months	0.4	0.4	_	0.5
7–9 months	0.4	0.5	0.4	0.5
10–12 months	0.4	0.5	0.4	0.5
1–3 years	0.6	8.0	0.8	0.8
4–6 years	8.0	1.1	1.0	1.1

# Vitamin B<sub>3</sub> (niacin or nicotinic acid)

Age	United Kingdom <sup>a</sup>	United States	European Union <sup>b</sup>	WHO
	mg/day	mg/day	mg/day	mg/day
0–3 months	3	5	_	5.4
4–6 months	3	5	_	5.4
7–9 months	5	6	5	5.4
10-12 months	5	6	5	5.4
1–3 years	8	9	9	9.0
4–6 years	11	12	11	12.1

<sup>&</sup>lt;sup>a</sup> 6.6 mg/1000 kcal.

<sup>&</sup>lt;sup>b</sup> 1.6 mg/MJ.

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# Vitamin B<sub>6</sub> (pyridoxine)

Age	United Kingdom		United States	European Union <sup>a</sup>	WHO
	mg/day	μg/g protein	mg/day	mg/day	
0–3 months	0.2	8	0.3	_	_
4-6 months	0.2	8	0.3	_	_
7-9 months	0.3	10	0.6	0.4	_
10–12 months	0.4	13	0.6	0.4	_
1–3 years	0.7	13	1.0	0.7	_
4–6 years	0.9	13	1.1	0.9	_

<sup>&</sup>lt;sup>a</sup> 15 μg/g protein.

### Biotin (µg/day)

United Kingdom	United States	European Union	WHO
_	10	_	_
_	10	_	_
_	15	_	_
_	15	_	_
_	20	_	_
_	25–30	_	_
		Kingdom         States           -         10           -         10           -         15           -         15           -         20	Kingdom         States         Union           -         10         -           -         10         -           -         15         -           -         15         -           -         20         -

## Pantothenic acid (mg/day)

Age	United Kingdom	United States	European Union	WHO
0–3 months	1.7	2.0	_	_
4-6 months	1.7	2.0	_	_
7-9 months	1.7	3.0	_	_
10-12 months	1.7	3.0	_	_
1–3 years	1.7	3.0	_	_
4–6 years	3–7	3–5	_	_

# Minerals other than iron

In countries where iodine deficiency is a public health problem, legislation on universal salt iodization should be adopted and enforced.

Many minerals are essential micronutrients and play a vital role in growth, health and development. Those that are most important in the complementary feeding of infants and young children, namely iodine, zinc, calcium and sodium, are discussed here. The RNIs of those minerals that are not discussed (phosphorus, magnesium, potassium, chloride, copper and selenium) are given in the Appendix to this chapter. Table 22 lists the main sources and functions of a number of minerals.

#### **IODINE**

#### **Function**

Iodine is an essential substrate in the synthesis of the thyroid hormones, which are among the key regulators of metabolism (1). The thyroid hormones are required for normal growth and development, for oxygen consumption in cells, and for the maintenance of metabolic rate (2). Thyroid hormones are also important in mental development and mental retardation, and congenital deafness can be due to hypothyroidism induced by iodine deficiency in utero (3). It is therefore important that both maternal stores and the maternal diet during pregnancy contain adequate quantities of iodine.

#### **Sources**

The iodine content of plants and animals is determined by the environment in which they live and grow. Iodine deficiency is especially prevalent in mountainous regions, but can also occur at sites of prior glaciation and in low-lying areas subject to flooding. As most soils contain little iodine, most foodstuffs are poor sources and food grown in iodine-deficient regions cannot provide enough iodine for the population or livestock living there (4).

Fruits, vegetables, cereals, meat and meat products contain between 20 and 50  $\mu g$  iodine/kg under normal circumstances. The only naturally rich source of iodine is sea fish (160–1400  $\mu g/kg$ ). Around 200 g of sea fish, eaten weekly, should provide young children with an intake equal to around

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Table 22. The	e main sources and functions	of minerals
Mineral	Important sources	Functions
Iron	Liver, meat, poultry, egg yolk, sardines, mackerel, whole grain cereals and breads, legumes, spinach	Component of red blood cell pigment Component of muscle
Calcium	Milk, cheese, shrimps, salmon, sardines, herring, green leafy vegetables	Growth of bones and teeth Contraction of muscles Nerve transmission
Zinc	Meat, fish, eggs, cereals, legumes	Growth Reproduction Wound healing
lodine	Iodized salt, seafood, animal and plant foods grown in non-goitrogenic coastal areas	Formation of thyroid hormone
Fluoride	Fluoridated water, tea, seafood, infant foods made with bone meal	Hardening of teeth and bones
Magnesium	Roasted peanuts, dry beans, raw spinach and other green vegetables	Nerve and muscle activity Important for many enzyme reactions
Sodium	Salt, meat, fish, eggs, milk	Essential in the control of extracellular volume and acidbase balance, cellular electrical activity, nerve conduction and muscle function
Phosphorus	Milk, cheese, shrimps, salmon, sardines, herring, green leafy vegetables	Bone metabolism
Potassium	Fruit and vegetables	Maintenance of electrolyte balance
Copper	Shellfish, legumes, whole grain cereals, liver	Cofactor in metalloenzymes
Selenium	Cereal grains, meat, fish	Cofactor in antioxidants

50 μg iodine per day (5). In the United Kingdom (6) and some Nordic countries, milk and dairy products are the main source of iodine because cattle fodder is iodized by law. Seasonal variations are seen, and in Nordic countries around 45% and 70% of total iodine intake in summer and winter, respectively, comes from cow's milk and milk products.

#### Requirements and RNIs

Iodine is readily absorbed and excess intake is well controlled by renal excretion. In the presence of goitrogens (found in cabbage, turnips, swedes, brussels sprouts and broccoli, for example) the utilization of absorbed iodine is decreased and therefore iodine intake should be increased. The RNIs for iodine (Table 23) are based on the requirement for the prevention of goitre (about  $1-2 \,\mu g/kg$  body weight) plus a 100% safety margin.

Table 23. Reco	Table 23. Recommended nutrient intakes for iodine in µg/day					
Age	United Kingdom	United States	European Union	WHO		
0–3 months	50	40	_	40		
4–6 months	60	40	_	40		
7–9 months	60	50	50	50		
10–12 months	60	50	50	50		
1–3 years	70	70	70	70–120		
4–6 years	100	100	90	70–120		

Sources: World Health Organization (7); Garrow et al. (8).

In European countries with mild iodine deficiency, the recommendation has been made to extend the RNI of 90  $\mu$ g/day down to 0–12 months because such an intake is required to achieve positive iodine balance in the growing infant (9). In populations where there is no evidence of widespread iodine deficiency disorders, exclusive breastfeeding for the first few months will supply infants with the iodine required.

#### Low intake

Iodine deficiency is the world's greatest single cause of preventable brain damage and mental retardation (3). The term "iodine deficiency disorders" refers to the wide spectrum of effects of iodine deficiency on growth and development. The deficiency results in a reduced synthesis of thyroid hormone. In an attempt to sequester more iodine, the thyroid gland enlarges

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leading to goitre, which is the most obvious and familiar sign of iodine deficiency. Other effects are seen at all stages of development, however, and are particularly pertinent during the fetal and neonatal periods (Table 24).

Table 24. Effects of iodine de	Table 24. Effects of iodine deficiency during early development					
Stage of development	Disorder					
Fetus	Abortion and stillbirth Congenital abnormalities Deafness Increased perinatal mortality Increased infant mortality Neurological cretinism Myxoedematous cretinism Psychomotor defects					
Neonate	Neonatal goitre Neonatal hypothyroidism					
Child	Goitre Juvenile hypothyroidism Impaired mental function Retarded physical development					

Source: Hetzel (10).

In populations where iodine deficiency disorders are widespread and severe enough to induce hypothyroxinaemia during gestation, the physiological transfer of thyroid hormones from the mother to the fetus is decreased. The consequence is irreversible brain damage manifest in the neurological features of endemic cretinism. Moreover, iodine deficiency occurring during late gestation and persisting during lactation leads to a low iodine content of breast-milk and possible perinatally acquired hypothyroidism in the breastfed infant. This explains the hypothyroid component of endemic cretinism (11).

In regions with endemic iodine deficiency disorders, prevention of both brain damage and hypothyroidism in the breastfed infant requires iodine supplementation starting early in gestation, or preferably before conception, and extending throughout lactation (11). Lower breast-milk iodine concentrations have been reported in endemic iodine-deficient areas (12).

Iodine deficiency increases the sensitivity of children to the carcinogenic effect of thyroid radiation. The exposure to radioactive fallout around Chernobyl is thought to have played a major role in the development of hypothyroidism and thyroid cancer in the exposed population (13).

#### Correction of iodine deficiency disorders

Because iodine deficiency results mainly from geological rather than social and economic conditions, it cannot be eliminated by changes in dietary habits. The solution to iodine deficiency has focused on two main strategies, iodine supplementation and iodine fortification. Universal salt iodization, calling for household salt and all salt used in agriculture, food processing/manufacturing and catering to be iodized, is the agreed prevention strategy (14).

The choice of this approach has been based on the following facts (15).

- Salt is one of the few commodities that comes closest to being universally consumed.
- Salt consumption is almost stable throughout the year in a given region.
- Salt production is usually limited to a few centres.
- Salt iodization technology is available at reasonable cost (0.4–1.5 US cents per kilogram and 2–8 US cents per person per year).
- The addition of iodine to salt does not affect its colour, taste or odour.
- The quality of iodized salt can be monitored at production, retail and household levels.

Other vehicles for iodine fortification include bread, milk, water and other foods. Occasional successes have been reported when using them (6,16). Nevertheless, universal salt iodization remains the strategy of choice. The intake of other vehicles (except water) is not essential for survival, and they are frequently not consumed by those most vulnerable such as pregnant women, children and poor and isolated populations.

National universal salt iodization programmes should be implemented through legislation and should take into account locally produced and imported salt. Care should be taken to ensure that the promotion of iodized salt does not result in an increase in salt intake. The necessary monitoring of

iodized salt intake is a unique opportunity to evaluate and monitor salt intake and to respect and support the WHO recommendation to maintain salt intake at healthy levels. In particular, iodized salt is not appropriate for infants and young children because of their limited ability to excrete sodium (see Chapter 8 and page 95). Their requirement for iodine will be met if salt is universally iodized, because both breast-milk and formula milk will then have an adequate content of iodine. Complementary foods of animal origin, notably fish given at around 6 months of age and cow's milk and milk products after 9 months of age, can also provide sufficient iodine.

As an interim measure until an effective universal iodized salt system is fully operational, iodine supplementation can be considered in endemic regions to immediately prevent the adverse effects of iodine deficiency on the central nervous system. Such measures should not delay or compromise the implementation of the agreed strategy of universal salt iodization. The recommendations for supplementation are as follows.

- Where mild to moderate iodine deficiency disorders exist, pregnant and lactating women should be given iodine supplements until their iodine intake reaches 200–300 μg/day. Infants and children should be given the physiological dose of 90 μg/day up to the age of 3 years, by which time most brain development has occurred.
- In conditions of severe iodine deficiency (goitre prevalence ≥ 30% and median urinary iodine below 20 μg/l), and especially if neonatal hypothyroidism and cretinism continue to occur, the administration of iodized oil to women of child-bearing age is justified, ideally before pregnancy. This procedure is efficient and safe (17).
- In all conditions of iodine deficiency, if infant formula is used it should contain 10 μg iodine/dl for full-term infants and 20 μg/dl for preterm infants.

#### **High intake**

Any unnecessarily high intake of iodine is excreted in the urine. Nevertheless, excessive iodine intake at the population level can have adverse effects (18). The most important is the development of hyperthyroidism in adults with nodular goitre. Other possible complications include iodine-induced autoimmune thyroid disorders and a change in the predominant type of thyroid cancer. In infants and children, the main complication of iodine excess is iodine-induced hypothyroidism (19). Susceptibility to the side effects of excess iodine depends on the baseline iodine intake before

exposure to excess (20). In conditions of normal iodine intake, the upper limit for adults is considered to be 1000  $\mu$ g/day, and for 0–8-year-olds probably 300  $\mu$ g/day.

#### **ZINC**

#### **Function**

Because zinc is a constituent of many enzymes in the body, it is important in a wide range of metabolic processes including protein and nucleic acid synthesis. Zinc is mainly absorbed in the duodenum. Its main route of excretion is through the gastrointestinal tract and, to a lesser extent, via the kidneys and the skin.

#### **Sources**

In general, zinc from animal products is better absorbed than that from plant foods. Good sources of zinc include red meat, liver, seafoods, milk and dairy products, pulses, wheat and rice. Unrefined cereal grains and legumes are rich in phytate, which reduces zinc absorption. In addition, zinc absorption can be limited by the intake of phosphates and calcium, and supplementation with large doses of non-haem iron can also reduce its bioavailability. In contrast, haem iron has no effect on zinc bioavailability. Zinc absorption is enhanced by a number of dietary factors including amino acids (particularly histidine), lactose and a low level of dietary iron.

#### Requirements and RNIs

Requirements for dietary zinc are determined partly by the physiological processes governing tissue demands for zinc and its rate of loss from the body, and partly by the intrinsic characteristics of the diet. Requirements are markedly increased during periods of "catch-up growth", when infants and young children are recovering from malnutrition or infection.

Breast-milk is fully adequate to meet the infant's basal requirements for zinc up to 6 months of age. Infants absorb up to about 80% of the zinc in breast-milk compared to 30% from cow's milk formula and about 15% from soya-based formula. Estimates of infant zinc requirements between the ages of 6 and 12 months, however, suggest that the declining daily output of zinc in milk will be insufficient if breast-milk is the sole source. It is thus particularly desirable to select diets with a high zinc bioavailability for infants after 6 months of age.

The RNIs for zinc are shown in Table 25.

Table 25. Recommended nutrient intakes for zinc in mg/day					
Age	United Kingdom	United States	European Union	WHO³	
0–3 months	4.0	5.0	_	5.3	
4–6 months	4.0	5.0	_	3.1	
7–9 months	5.0	5.0	4.0	5.6	
10–12 months	5.0	5.0	4.0	5.6	
1–3 years	5.0	10.0	4.0	5.5	
4–6 years	6.5	10.0	6.0	6.5	

<sup>&</sup>lt;sup>a</sup> Normative requirement on diet of moderate zinc availability.

Source: Garrow et al. (8).

#### Low intake

Zinc deficiency is primarily caused by a diet low in animal products and high in phytate, or as a result of high zinc losses due to diarrhoea. The clinical features of zinc deficiency are diverse and nonspecific (growth retardation, for example) unless the deficiency is very severe. Acrodermatitis enteropathica is a genetic defect that results in failure of zinc absorption and is thus not a "nutritional" disorder (21). Manifestations of zinc deficiency are similar, however, and include skin lesions, impaired wound healing, impaired taste, depressed appetite, diarrhoea and defects of the immune system, the latter resulting in an increased susceptibility to infections (7).

The effects of marginal or mild zinc deficiency are less obvious and can easily be overlooked. A slowed growth rate and impaired resistance to infection are frequently the only manifestations of mild deficiency in humans (7).

Investigations into the impact of zinc supplements on the growth of infants and young children in developing countries have shown inconsistent results. In situations where physical growth is compromised, however, zinc supplementation appears to have a positive impact on children's growth (22). Furthermore, it has been suggested that maternal supplementation with zinc improves certain pregnancy outcomes such as birth weight and head circumference (23).

#### **High intake**

Few instances of acute zinc poisoning have been reported. Its manifestations include nausea, vomiting, diarrhoea, fever and lethargy and have been observed after ingestion of 4–8 g of zinc. Long-term exposure to high zinc

intakes substantially in excess of requirements has been shown to interfere with the metabolism of other trace elements, mainly copper (7).

#### **CALCIUM**

#### **Function**

Calcium is essential for the structural integrity and mineralization of bones and teeth and plays an important role in a number of metabolic and regulatory processes. It is a cofactor of many enzymes necessary for nerve and muscle function, a component of the blood clotting cascade and a regulator of many intracellular processes. An adequate supply of calcium is vital during skeletal growth to ensure optimum bone mass.

#### **Sources**

Milk and milk products provide the richest and most easily absorbed dietary sources of calcium. Other good sources include nuts and fish. Components binding calcium, such as phosphorus, phytate and oxalate can reduce its bioavailability.

#### Requirements and RNIs

Breast-milk contains a high level of calcium and is sufficient to meet the infant's requirements up to about 6 months. After this, breast-milk should continue to provide most of the calcium needed by infants and young children. Recommended calcium intakes are shown in Table 26.

#### Low intake

Isolated calcium deficiency rarely occurs in childhood. In practice, this only happens in young children who receive no milk or dairy products. The disturbances in calcium metabolism associated with rickets and steatorrhoea are

Table 26. Recommended nutrient intakes for calcium in mg/day				
Age	United Kingdom	United States	European Union	WHO
0–3 months	525	210	_	500
4-6 months	525	210	_	500
7-9 months	525	270	400	600
10-12 months	525	270	400	600
1–3 years	350	500	400	400
4–6 years	450	800	450	450

Source: Garrow et al. (8).

usually related to vitamin D deficiency rather than to calcium, although rickets resulting from deficiency in calcium has been described (24). The calcium intake of infants fed macrobiotic diets may be less than half that of infants fed nonvegetarian or lactovegetarian diets, and high concentrations of phytates and oxalates may further reduce calcium absorption from macrobiotic diets. Very low calcium intake in children may result in rickets, growth retardation and biochemical signs of hyperparathyroidism (24).

Calcium absorption may be impaired through binding with long-chain fatty acids present in formula milks and unmodified cow's milk. Hypocalcaemia may cause seizures and tetany (musculoskeletal spasms and twitching, particularly in the fingers and face) and tingling and numbness. Feeding modified, low-phosphorus infant formula to non-breastfed infants has virtually eliminated the problem.

If infants and young children are fed a diet with no breast-milk, cow's milk or milk products (after 9 months), it is almost impossible to reach the RNI for calcium. As a result, it is common to recommend that such infants and children receive a daily calcium supplement. However, the long-term consequences of a calcium intake below the RNI are not known for this age group.

#### **High intake**

There is little evidence that excessive intake of calcium will result in harm apart from the extreme situation of "milk-alkali" syndrome, which rarely occurs in childhood (21). Ingestion of large amounts of alkaline calcium salts can override the ability of the kidneys to excrete unwanted calcium, causing hypercalcaemia and metastatic calcification of the cornea, kidneys and blood vessels. Hypercalcaemia (high blood calcium) results in thirst, mild mental confusion and irritability, loss of appetite, fatigue and weakness.

#### **SODIUM**

#### **Function**

The majority of sodium is found in extracellular fluids. It is essential in the control of extracellular volume and acid—base balance, cellular electrical activity, nerve conduction and muscle function.

#### **Sources**

The sodium content of naturally occurring foods is relatively low, with small amounts in meat, fish, eggs and milk. Most dietary sodium is provided as salt. Some salt is added in cooking and at the table, but in many countries of the WHO European Region the largest proportion (around 80%)

is obtained from processed foods, to which salt and other sodium-containing compounds are added during manufacturing. Especially high amounts of sodium are found in sausages, bread, ham, pickles and sauces (see Chapter 8).

#### Low intake

Infants are efficient at conserving sodium by regulating losses in the urine. In general, there is little risk of becoming sodium-deficient. Rapid and excessive losses during sweating because of extreme temperatures, or in severe gastrointestinal diseases with losses through diarrhoea and vomiting, may lead to symptoms of sodium depletion and necessitate a temporary increase in sodium intake.

#### **High intake**

Infants are less efficient than adults at excreting excess sodium, and the sodium intakes of infants should therefore be moderated. By about 4 months, healthy infants can begin to excrete an excessive sodium load. However, complementary foods should contain only very small amounts of salt. This can be achieved by not adding salt to foods and by avoiding highly salted foods such as pickled and highly processed, smoked and cured foods.

In infants, hypernatraemia (high blood sodium concentration) is usually associated with excessive sodium intake from inappropriately composed feeds, and dehydration due to net loss of water in excess of net loss of sodium. In severe hypernatraemic dehydration, the renal ability to excrete sodium becomes impaired, thus exacerbating the problem (25).

Recommended sodium intakes are given in Table 27.

Table 27. Reco	Table 27. Recommended nutrient intakes for sodium in mg/day					
Age	United Kingdom	United States	European Union <sup>a</sup>	WHO		
0–3 months	210	120	_	_		
4–6 months	280	120	_	_		
7–9 months	320	200	_	_		
10–12 months	350	200	_	_		
1–3 years	500	225	_	_		
4–6 years	700	300	575–3500	-		

<sup>&</sup>lt;sup>a</sup> Acceptable range.

Source: Garrow et al. (8).

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# **Appendix**

Recommended nutrient intakes for minerals not discussed in this chapter (from Garrow et al. (8))

Phosphorus (mg/day)

Age	United Kingdom	United States	European Union	WHO
0–3 months	400	_	_	_
4–6 months	400	_	_	_
7-9 months	400	_	300	_
10-12 months	400	_	300	_
1–3 years	270	460	300	_
4–6 years	350	500	350-450	_

### Magnesium (mg/day)

Age	United Kingdom	United States	European Union	WHO
0–3 months	55	40	_	_
4–6 months	60	40	_	_
7–9 months	75	60	_	_
10–12 months	80	60	_	_
1–3 years	85	80	_	_
4–6 years	120	120	-	_

### Potassium (mg/day)

Age	United Kingdom	United States (minumum) requirement) <sup>a</sup>	European Union	WHO
0–3 months	800	500	_	_
4–6 months	850	500	_	_
7–9 months	700	700	800	_
10–12 months	700	700	800	_
1–3 years	800	1000	800	_
4–6 years	1100	1400	1100	_

<sup>&</sup>lt;sup>a</sup> Desirable intakes may exceed these values.

## Chloride (mg/day)

Age	United Kingdom	United States (minumum) requirement) <sup>3</sup>	European Union	WHO
0–3 months	320	180	_	_
4–6 months	400	300	_	_
7–9 months	500	300	_	_
10–12 months	500	300	_	_
1–3 years	800	350	_	_
4–6 years	1100	500	_	_

 $<sup>\</sup>ensuremath{^{\textit{a}}}$  No allowance for large losses from the skin through sweat.

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## Copper (mg/day)

Age	United Kingdom	United States <sup>a</sup>	European Union	WHO <sup>b</sup>
0–3 months	0.3	0.4-0.6	_	0.33-0.55
4-6 months	0.3	0.4-0.6	_	0.37-0.62
7–9 months	0.3	0.6-0.7	0.3	0.6
10-12 months	0.3	0.6-0.7	0.3	0.6
1–3 years	0.4	0.7-1.0	0.4	0.56
4–6 years	0.6	1.0-1.5	0.6	0.57

<sup>&</sup>lt;sup>a</sup> Upper levels should not be habitually exceeded because of toxicity.

## Selenium (µg/day)

Age	United Kingdom	United States	European Union	WHO³	
0–3 months	10	10	_	6	
4–6 months	13	10	_	9	
7–9 months	10	15	8	12	
10–12 months	10	15	8	12	
1–3 years	15	20	10	20	
4–6 years	20	20	15	24	

<sup>&</sup>lt;sup>a</sup> Normative requirement.

 $<sup>^{\</sup>it b}$  Normative requirement.

# **Control of iron deficiency**

Iron deficiency in infants and young children is widespread and has serious consequences for child health. Prevention of iron deficiency should therefore be given high priority.

When complementary foods are introduced at about 6 months of age, it is important that iron-rich foods such as liver, meat, fish and pulses or iron-fortified complementary foods are included.

The too-early introduction of unmodified cow's milk and milk products is an important nutritional risk factor for the development of iron deficiency anaemia. Unmodified cow's milk should not therefore be introduced as a drink until the age of 9 months and thereafter can be increased gradually.

Because of their inhibitory effect on iron absorption, all types of tea (black, green and herbal) and coffee should be avoided until 24 months of age. After this age, tea should be avoided at mealtimes.

Optimal iron stores at birth are important for the prevention of iron deficiency in the infant and young child. To help ensure good infant iron stores, the mother should eat an iron-rich diet during pregnancy. At birth the umbilical cord should not be clamped and ligated until it stops pulsating.

#### **INTRODUCTION**

Iron deficiency is one of the commonest nutritional deficiencies worldwide, estimated to affect more than three billion people. It ranges in severity from iron depletion, which causes no physiological impairment, to iron deficiency anaemia, and can affect mental and motor development. Children under the age of 3 years, pregnant women and women of child-bearing age are particularly susceptible to iron deficiency. It is estimated that 43% of the world's infants and children under the age of 4 years suffer from iron deficiency anaemia (1). In some parts of Europe, especially in the central Asian republics and among Asian children in the United Kingdom, the prevalence of iron deficiency anaemia is high (see Chapter 1). Young children are especially vulnerable to the development of iron deficiency between the ages of 6 and 24 months. The increased nutritional needs resulting from rapid growth are combined with a diet that may be low in iron and

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vitamin C and high in unmodified cow's milk and other inhibitors of iron absorption. Dietary recommendations concerning iron are therefore of special importance during the period of complementary feeding. Recommendations for complementary feeding should be given high priority by health ministries, and should always form an integral part of population strategies to control iron deficiency. Prevention of iron deficiency in infants and young children is possible through the relatively simple dietary strategies described below.

#### **Definition of iron deficiency**

Iron depletion is a decrease in iron stores. There is no evidence of functional consequences but iron depletion represents a threshold below which further decreases result in functional impairment. When iron stores are depleted, haemoglobin synthesis is impaired and the haemoglobin level begins to fall. As the haemoglobin level of most people is usually within the normal range, iron depletion usually happens before the haemoglobin level has reached the statistically determined cut-off that defines anaemia (2).

Iron deficiency anaemia is defined as iron deficiency resulting in a haemoglobin level that falls below the statistically defined age- and sex-dependent cut-off limit (2). Haemoglobin and haematocrit cut-off points that define anaemia according to age and sex are shown in Table 28.

In practice, diagnosis is often based on haemoglobin values alone, and the prevalence of anaemia is expressed as the percentage of individuals with a haemoglobin value below a certain cut-off point. Anaemia can be caused by a number of other factors, including infection; if, however, values for haematocrit are also low, this strengthens the likelihood that the low

Table 28. Haemoglobin and haematocrit cut-off points used to define anaemia		
Age or sex	Haemoglobin (g/dl)	Haematocrit (%)
6 months to 5 years	11.0	33
5–11 years	11.5	34
12–13 years	12.0	36
Non-pregnant women	12.0	36
Pregnant women	11.0	33
Men	13.0	39

Source: World Health Organization (1).

haemoglobin value is the result of iron deficiency. The severity of anaemia can be classified as mild, moderate or severe, depending on the haemoglobin value (Table 29).

Table 29. Classification of anaemia and corresponding haemoglobin levels		
Classification Haemoglobin level (g/dl)		
Severe	<7	
Moderate	< 10 (in children aged between 6 months and 5 years) < 9 (in infants less than 6 months)	
Mild	10–11	

Source: World Health Organization (1).

In addition to haemoglobin and haematocrit, there are a number of other tests used to assess iron status. The resources available will determine which tests are used. Of special interest is the measurement of serum ferritin levels, which provides an indication of iron stores in individuals whether or not they are anaemic. The main disadvantage of this test is that serum ferritin levels increase during illness, because serum ferritin is an acute-phase reactant. Thus, the use of serum ferritin levels can lead to an underestimation of the prevalence of iron deficiency in populations where infection is common. A ferritin value below  $10\,\mu\text{g/l}\,(3)$  or  $12\,\mu\text{g/l}\,(1)$  is generally accepted as an indication of iron depletion in children under 5 years.

It is possible to identify iron deficiency as a cause of anaemia by giving an iron supplement for 1–2 months. If the haemoglobin level increases by 1 g/dl or more, iron deficiency is a likely cause of the anaemia. If it does not, however, iron deficiency should not be ruled out because of the possibility of poor compliance or inconsistent ingestion and absorption of iron.

In infants and young children there are still uncertainties about the appropriate cut-off values for both haemoglobin and serum ferritin levels. Low levels of ferritin do not necessarily imply functional iron deficiency in infants. The evidence for using  $10-12~\mu g$  ferritin per litre as a cut-off to define iron depletion is based on extrapolation from older age groups. In several studies from industrialized countries, including those in healthy infants receiving iron-fortified foods, a surprisingly high percentage of infants (20%-30%) had <11~g haemoglobin per decilitre, suggesting that the cut-off value may be too high. These studies have instead

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recommended the use of values of 10.5 or 10.3 g/dl or lower to define anaemia in infants (4–6).

# Causes of anaemia other than iron deficiency

Anaemia can be caused by factors other than iron deficiency, and these can be classified as nutritional, environmental, infectious and hereditary factors

- Nutritional anaemia can be caused by deficiency of other nutrients, such as vitamin A, folic acid, vitamin B<sub>12</sub>, vitamin C, riboflavin and copper. There is an interaction between iron and vitamin A (7). In several studies from societies where deficiencies of both vitamin A and iron are common, treatment with both micronutrients is more effective in correcting anaemia than giving iron alone, most likely because vitamin A deficiency impairs mobilization of iron stores.
- Even very small amounts of lead can impair haem synthesis and thus cause anaemia. There is also an interaction between lead intoxication and iron deficiency, because lead and iron share the same absorption pathway. Because iron absorption is enhanced during iron deficiency, there is a tendency for the absorption of lead, if present in the environment, to increase and thereby aggravate anaemia. Geophagia (the habit of eating soil) is associated with iron deficiency anaemia. It is not clear, however, if geophagia has a positive effect on iron status due to the iron content of the soil, or whether other substances in the soil, such as lead, reduce the absorption of dietary iron. Aplastic anaemia (reduced platelet and white cell counts as well as those of red cells) can be associated with excessive exposure to industrial or agricultural pollutants.
- Systemic infections and chronic inflammation can cause anaemia that is not related to iron deficiency. However, infectious diseases are typically more frequent in areas with a high prevalence of iron deficiency, and the anaemia associated with infections can thus be multifactorial. This is especially the case in diseases associated with blood loss, such as hookworm infestation, dysentery and schistosomiasis. There is some evidence that hookworm infestation may be a problem in some of the central Asian republics. The anaemia often seen in patients with malaria is caused by haemolysis and is therefore not necessarily associated with iron deficiency. People with malaria often have iron deficiency for other reasons, however, and this exacerbates the anaemia caused by haemolysis. As a result, malaria sufferers are often treated with iron in addition to antimalarials.

 Anaemia is also a sign of a number of hereditary haemoglobinopathies such as thalassaemia, sickle cell disease and glucose-6-phosphate dehydrogenase deficiency.

# PHYSIOLOGY AND PATHOPHYSIOLOGY OF IRON

The largest pool of iron is present in the haemoglobin of red blood cells, which transport oxygen from the lungs to the tissues. The second largest pool is the myoglobin found in muscle, which stores the oxygen needed for muscle contraction. Storage iron located in the liver and the reticulo-endothelial system represents the third major pool. An estimate of the size of these three pools in a 12-month-old infant is given in Fig. 14 (based on values taken from Fomon (8)).

Iron has several special characteristics that distinguish it from most other nutrients. Only a small fraction (around 10% or less) of the total iron in the diet is absorbed. Moreover, the body has no mechanisms specifically

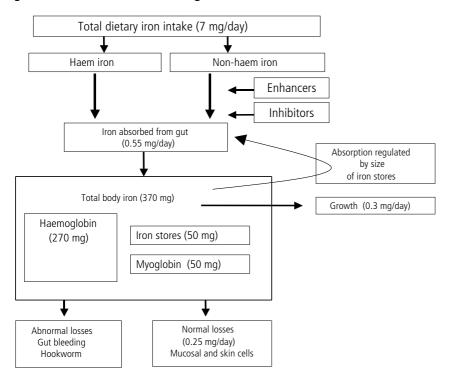


Fig. 14. Iron balance and influencing factors in a 12-month-old infant

designed to excrete iron, and normal losses that occur through the gut or skin are very small. Regulation of total body iron therefore occurs through regulation of the absorption of iron.

# **Absorption**

#### Haem and non-haem iron

There are two types of iron in food: haem iron and non-haem iron, and they are absorbed by different mechanisms. Haem iron is present in haemoglobin and myoglobin in meat (particularly liver) and fish, and is better absorbed than non-haem iron. The average absorption of haem iron from meat is around 25%. In contrast to non-haem iron, the absorption of haem iron is influenced very little by other constituents of the diet and by iron status. Nevertheless, most dietary iron is present in the form of non-haem iron. Complementary foods for infants may contain little meat and so most of the dietary iron is in the non-haem form. Absorption of non-haem iron is much lower than that of haem iron and depends on the iron status of the individual: more non-haem iron is absorbed by iron-deficient individuals and less by those who are iron-replete. Moreover, the absorption of nonhaem iron depends on its solubility in the intestine, and this is determined by the composition of foods consumed in a meal. Vitamin C is a reducing agent and a potent promoter of iron absorption, increasing iron solubility through the oxidation of elemental iron from the ferrous (Fe<sup>2+</sup>) to the ferric (Fe<sup>3+</sup>) state to form a soluble compound. The promoters and inhibitors present in the diet are often stronger determinants of iron status than its actual iron content.

# Promoters and inhibitors

The quantity of absorbed iron in the diet depends on the balance between the inhibitors and promoters (Table 30). Because the interaction takes place in the gastrointestinal tract, the inhibitory or enhancing effects of food components on non-haem iron absorption are greatest when these components are consumed in the same meal.

One of the strongest promoters of iron absorption is vitamin C in fresh vegetables and fruits, and there is a clear dose–response relationship between vitamin C intake and iron absorption (10). Fermented products such as kefir and sauerkraut also enhance non-haem iron absorption. In the presence of acid, complexes are formed with iron that prevent the formation of the less absorbable iron phytate. In addition, certain forms of milling and thermal processing reduce the phytate content of plant-based staples and thereby help to increase the absorption of non-haem iron. Mild

Food	Degree of effect	Active substance
Inhibiting		
Whole grain cereals and maize		Phytate
Геа, green leafy vegetables		Polyphenols
Milk, cheese		Calcium plus phosphate
Spinach	_	Polyphenols, oxalic acid
Eggs	_	Phosphoprotein, albumin
Cereals	_	Fibre
Enhancing		Active substance
Liver/meat/fish	+++	"Meat factor"
Orange, pear, apple	+++	Vitamin C
Plum, banana	++	Vitamin C
Cauliflower	++	Vitamin C
Lettuce, tomato, green pepper,		
cucumber	+	Vitamin C
Carrot, potato, beetroot, pumpkin,		Citric, malic and tartaric
broccoli, tomato, cabbage	++/+	acids
Kefir, sauerkraut	++	Acids

Source: adapted from British Nutrition Foundation (9).

heat treatment is thought to reduce the phytate content of tubers though not of cereals and legumes. Soaking and germination promote enzymatic hydrolysis of phytate in grains and legumes (11).

The strongest inhibitors of iron absorption are phytates and polyphenols. Phytates are storage forms of phosphates and minerals present in cereal grains, vegetables, seeds and nuts. They strongly inhibit iron absorption in a dose-dependent manner, and even small amounts can inhibit the absorption of iron. There are a number of traditional food preparation practices that reduce the levels of phytates present in plant foods. These include fermentation, germination, milling, soaking and roasting. Phytates can be almost completely degraded by fermentation, which thus improves iron absorption.

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Phenolic compounds exist in almost all plants as part of their defence system against insects and animals. A few phenolic compounds specifically bind iron and therefore inhibit its absorption. These are found in tea, coffee and cocoa, and also in many vegetables and several herbs and spices. The polyphenol tannin, which is found in tea, is responsible for the inhibitory effect of tea on iron absorption. Tea has been found to reduce iron absorption from a meal by 62% compared with water (12). Indeed, tea has even been used therapeutically to treat iron overload (13). In many European countries, and especially in the central Asian republics, it is common to introduce tea very early into the infant's diet. For example, a survey of children aged 0–3 years in Kazakhstan, Kyrgyzstan and Uzbekistan found that 21%, 34% and 49%, respectively, received tea (14). Similar customs are found in central and western Europe (15), especially among ethnic minorities. This practice will contribute to the development of iron deficiency.

#### Other factors

Iron stores are primarily regulated through changes in iron absorption. Injury to the intestinal mucosa due to the too-early introduction of unmodified cow's milk and dairy products can result in malabsorption, including decreased absorption of iron (see page 109) (16). This can be pronounced in coeliac disease, which if untreated is often accompanied by iron deficiency anaemia. Chronic diarrhoeal disease is also a common cause of iron deficiency due to malabsorption. During systemic infections there is an acute decrease in iron absorption, paralleling a shift in iron from the circulation to the liver. This is a natural protective mechanism of the body during periods of infection, aimed at reducing the growth of harmful bacteria that require iron to proliferate.

#### Iron stores

Iron stores have an important buffer function in iron metabolism. At birth, iron stores are large and are gradually depleted over the first 6 months of life, after which maintenance of the infant's iron stores is dependent on dietary iron intake. The size of the iron stores can be assessed by measuring serum ferritin.

If the mother has severe iron deficiency anaemia, iron stores at birth will be small (17), while moderate iron deficiency in the mother does not seem to influence the iron status of the infant. The size of the iron stores of the newborn infant are also influenced by the amount of blood transferred from the placenta to the infant at delivery, before ligation of the umbilical cord. Several studies have demonstrated a relationship between maternal

anaemia and the risk of preterm delivery or low birth weight. Furthermore, preterm or low-birth-weight infants are born with the same ratio of total body iron to body weight, but because their body weight is low the amount of stored iron is also low. Moreover, preterm infants have an increased need for iron because of their need for catch-up growth after delivery. Preterm infants therefore need a good dietary source of iron earlier than term infants.

#### Growth

In the infant and young child, most of the absorbed iron is used for expansion of the haemoglobin, myoglobin and enzyme pools as the child grows. A 12-month-old infant is estimated to require around 0.3 mg/day of iron for growth (see Fig. 14). Rapid weight gain is therefore likely to be one possible cause of iron deficiency, and in some studies of healthy term infants rapid weight gain has been associated with low serum ferritin levels (5).

#### Iron losses

There is a small but continuous loss of iron from the body. This is mainly due to the turnover and loss of cells from the gastrointestinal mucosa and the skin (Fig. 14). Bleeding caused by gastrointestinal parasites, infections or the intake of unmodified cow's milk and other milk products before 9 months of age results in a loss of iron through the loss of blood. Feeding of unmodified cow's milk and other milk products during the first 6 months can cause bleeding of the gastrointestinal mucosa; furthermore, cow's milk has a lower iron content and bioavailability than breast-milk. The early introduction of cow's milk is thought to be the most important nutritional risk factor for low iron stores or iron deficiency in infants. This problem is most serious during the first months of life.

Hookworm infestation is a common cause of iron deficiency anaemia in many countries, and can be avoided through preventive measures and treatment (see page 121). Other infections causing blood loss are schistosomiasis of the urinary tract (*Schistosoma haematobium*) and dysentery. Many infections, especially malaria and chronic inflammation syndromes, cause anaemia but blood and thus iron is not lost from the body. Instead, as mentioned above, excess iron circulating in blood is transferred to the liver and stored there until the infection has subsided.

# Physiological requirements and RNI

Age, gender and physiological status determine iron requirements. Iron required before 6 months of age should be provided by the iron stores of the infant and by breast-milk. After this, iron requirements must be provided by iron present in food. Requirements are especially high in infants from

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the age of 6 months, in young children, and in pregnant and menstruating women. Blood losses during menstruation largely determine the iron requirements of non-pregnant women. The increased iron requirements of infants and pregnant women are needed to support growth and new tissue formation. A small amount of dietary iron (approximately 0.2 mg/day in a 1-year-old infant) is also needed to replace gastrointestinal and dermal losses (18).

The physiological requirement (Table 31) for absorbed iron by young children is small compared with the amount usually present in the diet or compared with RNIs (Table 32). This difference is due to the low bioavailability of iron and the small percentage of iron that is normally absorbed: usually only 5%–15% of the iron in the diet. Absorption is influenced by the physiological factors described above and by dietary

Table 31. Physiological requirements for iron			
Age/physiological status μg/kg body weight/da		mg/day	
4–12 months 13–24 months 2–5 years Pregnant women	120 56 44 24	0.96 0.61 0.70 1.31	
Menstruating women 43 2.38			

Source: Verster (19).

Table 32. Reco	Table 32. Recommended nutrient intakes for iron in mg/day			у
Age	United Kingdom	United States	European Union <sup>a</sup>	WHO <sup>b</sup>
0–3 months	1.7	6.0	_	_
4–6 months	4.3	6.0	_	_
7–9 months	7.8	10.0	6.0	8.5
10–12 months	7.8	10.0	6.0	8.5
1–3 years	6.9	10.0	4.0	5.0
4–6 years	6.1	10.0	4.0	5.5

<sup>&</sup>lt;sup>a</sup> Bioavailability 15%.

Source: Garrow et al. (20).

<sup>&</sup>lt;sup>b</sup> Median basal requirement on intermediate bioavailability diet.

factors such as the kind of iron (haem or non-haem) and the presence of enhancing and inhibitory factors in the diet.

The RNIs for iron given in Table 32 illustrate the large variations (from 6 to 10 mg/day at 7–9 months and from 4 to 10 mg/day at 1–3 years of age) that exist between the recommendations from different countries. These variations can be partly explained by the different eating traditions of different cultures and thereby differences in the sources of iron and its bioavailability, but they also highlight the scientific uncertainty regarding the optimal intake of iron for infants and young children.

#### **Excess intake**

A high iron intake may lead to iron overload, which is associated with a number of adverse effects. The absorption of non-haem iron is reduced, however, if the iron stores are high, and in most cases this is likely to protect the individual from iron overload. A normal diet can result in iron overload if the person suffers from hereditary haemochromatosis, which is characterized by lifelong excess absorption of iron from the diet. Among people of European extraction, hereditary haemochromatosis is the most common genetic disorder of excess iron absorption, with a prevalence of 2–5 per 1000 in the Caucasian population. For these people iron can be toxic, leading to tissue and organ damage and ultimately death.

Iron is a pro-oxidant, and increased consumption can potentially induce increased oxidative stress (21). This may explain why some epidemiological studies of adults have linked high iron stores, measured by high serum ferritin values, to an increased risk of cardiovascular disease (22,23), non-insulin-dependent diabetes (24,25) and cancer (26,27). The relevance of these findings to infants and young children is not known, and there are no studies in this age group linking a high iron intake with increased oxidative stress and adverse symptoms.

Several studies have shown an association between iron treatment and an increased risk of infection. As discussed above, the body withholds iron from microorganisms as a defence against infection. During infections, iron is displaced from the vascular bed to the liver and iron absorption is decreased. Iron administration during infections could thus increase the risk of infection. In most of the studies, however, iron was given parenterally, bypassing the regulatory mechanism of absorption. With the exception of malnourished individuals with infections, there is no convincing evidence that the oral administration of iron, either in the form of ironfortified foods or as medicinal iron supplements, increases the risk or

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severity of infection (8). In nutritional rehabilitation of severely malnourished children it is recommended not to start iron supplementation until one week after the start of treatment (28).

High intakes of iron may interfere with the absorption of copper and zinc, since the three minerals have the same mechanism for absorption. Copper is likely to be most affected (8).

In conclusion, a high iron intake can theoretically cause adverse effects, but there is limited evidence in infants and young children that a physiological amount of oral iron has adverse effects. In populations with a documented high prevalence of iron deficiency, the possible negative effects of giving excessive amounts of iron are outweighed by the well documented positive effects of preventing the symptoms of iron deficiency. In populations where iron deficiency is uncommon or where the iron status of the population is unknown, however, it is prudent to avoid too high an iron intake.

# SYMPTOMS AND CONSEQUENCES OF IRON DEFICIENCY

Symptoms of anaemia range from nonspecific conditions, such as fatigue, weakness, dizziness and sensitivity to cold, to the clinical manifestations of chronic anaemia, which include changes to the fingernails, hair or tongue, breathlessness and heart failure.

The major consequences of iron deficiency anaemia include a higher risk of maternal mortality, fetal growth retardation, increased prenatal and perinatal mortality, and lowered physical activity (29). Atrophy of the villi of the small intestine, causing malabsorption, has been reported in iron-deficient infants. Appetite is reduced, which compounds the problem of improving iron status by dietary means, and is often accompanied by faltering growth and poor physical development in young children.

Iron deficiency has also been linked with increased susceptibility to infection, although the relationship between the two is complex. There is good evidence that iron deficiency adversely affects cell-mediated immunity and causes a decrease in the bactericidal activity of neutrophils, thus lowering resistance and increasing morbidity.

In infants and children under 2 years of age, the consequence of iron deficiency of greatest concern is the possible impairment of mental and psychomotor development. Infants are particularly vulnerable to iron deficiency during the period of complementary feeding (between 6 and 24 months of

age) when the growth rate is high. This period represents the peak prevalence of iron deficiency in children, and coincides with the latter part of the spurt in brain growth when cognitive and motor development are taking place.

Evidence from community-based studies has demonstrated a positive association between iron deficiency and impaired performance in a range of mental and physical functions in children of different age groups, particularly infants. It has also been suggested that iron deficiency alters the emotional state of infants so that they are more withdrawn, cautious and hesitant, and as a result may be less able to interact and learn from their environment, thus hindering their intellectual development. In preschool children (36–72 months of age) iron deficiency has been associated with poor learning performance, particularly in tasks that demand close attention and discrimination of cues critical to solving visual problems.

The majority of studies of the effects of iron therapy in infants and children under 2 years of age report no improvement in the mental and physical development of anaemic infants after either short- or long-term administration, despite elimination of anaemia. In preschool children, however, iron deficiency has been successfully corrected with iron supplementation, and has resulted in a marked improvement such that the learning problems associated with anaemia disappeared. Thus the risk of permanent developmental deficits may be related to the child's age at the time of the deficiency or to the duration of iron deficiency (30), and the degree of iron deficiency is also likely to determine whether there is risk of permanent adverse effects.

To summarize, the evidence for a causal association between anaemia in infancy and poor neurodevelopment is not conclusive. Currently, only two intervention trials have been conducted. One failed to show that anaemic infants given prophylactic iron supplementation after 6 months had improved developmental scores compared with untreated controls (31). The second, carried out in infants who were more socioeconomically disadvantaged, did demonstrate such benefits (32). Iron deficiency anaemia is more common in conditions of biological (low birth weight, other nutritional deficiencies, high infection rates), psychological (lack of stimulation) or social (poverty, overcrowding) disadvantage (see Chapter 9). It is possible that the adverse effects of iron deficiency on mental development may be manifest only when infants are already vulnerable to other risk factors, and consequently the benefits of prevention are more likely to be observed in such children (2). Given the likelihood of long-lasting effects of iron deficiency during infancy, and the possible irreversibility of developmental

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delay through supplementation in some children, prevention is particularly vital and should be given a higher priority than detection and treatment.

# COMPLEMENTARY FOODS AND CONTROL OF IRON DEFICIENCY

The bioavailability of iron is more important than the total amount of iron in the diet, and this should be taken into consideration when developing recommendations on how to feed young children. Examples of iron content and bioavailability in infant foods are given in Table 33. In the following section food items are discussed in the context of their bioavailability of iron.

#### **Human milk**

The iron content of human milk is low (Table 33) but it has a bioavailability of about 50%, which is much higher than that of other foods. The reason for the high absorption of iron from human milk is not fully understood, but it may be due to the lower phosphate and protein content of human milk compared to cow's milk and the high concentration of the iron-binding protein lactoferrin (34). Owing to the iron stores present at birth and the high bioavailability of iron in human milk, exclusively breastfed full-term infants usually have a satisfactory iron status until about 6 months of age (35,36).

Table 33. Iron content and bioavailablility in infant foods				
Content (mg/100g)		Absorption (%)	Amount absorbed (μg/100g)	
Cow's milk	0.02	10	2	
Cooked rice	0.40	2	8	
Carrots	0.5	4	20	
Breast-milk	0.04	50	20	
Fortified infant formula	a 0.6	20	120	
Fortified wheat flour	1.65	20	330	
Beef	1.2	23 (haem)		
	1.8	8 (non-haem)	460 (total)	
Iron-fortified cereal	12.0	4	480	

Sources: Hurrell & Jacob (33); Lönnerdal (34); R. Yip, personal communication, 1999.

#### Infant formula

If infants are not breastfed they should be fed a commercial iron-fortified infant formula. The level of fortification differs; in Europe it is typically 6–7 mg/l while in the United States it is 12 mg/l. More recent studies suggest that even smaller amounts of iron (2–4 mg/l) can prevent the development of iron deficiency in infants under 6 months of age (37), but thereafter a higher iron content is needed. The iron compound in commercial infant formula, ferrous sulfate, is well absorbed (Table 33).

# Cow's milk and other dairy products

In contrast to breast-milk, iron from unmodified cow's milk is poorly absorbed (Table 33). The poor bioavailability is likely to be due to the high content of protein and the low content of vitamin C compared to commercial infant formula. Furthermore, the early introduction of unmodified cow's milk and other milk products can cause blood loss from the intestinal tract, and thereby have a negative influence on iron status. Many studies have confirmed that cow's milk has a negative effect on iron status, especially during the first 6 months of life (16,38,39) and also during the last half of infancy (5,16,40). A decrease (from 21% to 10%) in the percentage of Italian infants with iron deficiency was observed during a 10-year period when breastfeeding at 5 months increased from 22% to 51% and the consumption of cow's milk at 6 months decreased from 73% to 8% (41). Similar observations have been made in the Russian Federation (O. Netrebenko, personal communication, 1997).

In this publication it is recommended that cow's milk should not be given as a drink before the age of 9 months. Thereafter, if infants are no longer breastfed, it can be introduced gradually. Infants who are neither being breastfed nor receiving a commercial iron-fortified infant formula should receive home-prepared cow's milk formula together with an iron supplement.

During the fermentation of milk, lactic acid and other organic acids are produced and these increase the absorption of iron. If fermented milk is consumed at mealtimes, these acids are likely to have a positive effect on the absorption of iron from other foods.

#### Other drinks

Fruit juices, if made from the flesh of fruit, have a high content of vitamin C, which has a positive effect on iron absorption if consumed with meals. Nevertheless, fruit juice in some countries does not contain vitamin C,

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especially if made by combining jam or fruit compotes with water. All the vitamin C is destroyed during the processing of jam and fruit compotes.

The consumption of tea, which is very common throughout many parts of the Region, has been associated with poor iron status because it has a negative effect on iron absorption.

# Meat and fish

Meat and fish have a positive effect on iron status because they contain haem iron, which is highly bioavailable, and because they have a positive effect on the absorption of non-haem iron present in other foods in the same meal. Thus the available iron from a meal with vegetables can be improved considerably if a little meat is added. In one study of 7-monthold infants, the absorption of non-haem iron from vegetables was increased by 50% when meat was added to the meal (42). Meat is not a major constituent of a complementary diet of most societies, and large amounts of meat introduced early will result in a high protein intake, which could have adverse effects. Only small amounts of meat are necessary to improve iron status, however, and meat should be introduced gradually into the diet from the age of about 6 months (see Chapter 8). In an intervention study of 8–10-month-old infants, a group receiving 27 g meat per day had significantly higher haemoglobin values after 2 months than those receiving only 10 g meat per day (43). Meat is expensive, but because only small amounts are needed to improve a complementary diet economic constraints should not be a major limiting factor, especially if less expensive sources (notably liver) are recommended. If it is not economically possible to give meat daily, giving it only a few times or even once a week is beneficial. Liver, for example, is both cheap and high in micronutrients such as zinc and vitamins A, B and D, as well as iron. If puréed, liver therefore represents a good complementary food after about 6 months of age. Fish contains haem iron and therefore has a positive effect on iron status. Fish is also thought to contain a "meat factor" with a positive effect on non-haem iron absorption.

# Cereals, pulses and vegetables

Non-haem iron is the principal form of dietary iron, and is found in foods of plant origin. The main sources are cereals, pulses, beans, vegetables and fruits. Cereals have a higher content of phytates than pulses and, as a result, pulses represent a better source of bioavailable iron. Iron present in leavened bread (made with yeast) has a greater bioavailability than that found in unleavened bread.

#### Iron-fortified infant foods

Iron can be defined as one of the "problem nutrients", for which there is a large discrepancy between the content in complementary foods and the amount required by the infant (44). The iron content of complementary foods, derived from family foods, is often low and has a poor bioavailability. Changes to complementary feeding practices, as recommended in this chapter and throughout the publication, will enhance the iron content of the diet and the bioavailability of iron in complementary foods, and thus improve the iron status of infants. Under some conditions, however, where there is evidence that the iron requirements of infants cannot be met by complementary foods derived from family foods, iron fortification of those foods will help combat iron deficiency.

Iron fortification of complementary foods for infants and young children is the most common form of targeted fortification. There is good evidence (45–47) that iron added to infant formulas and commercial baby foods is well absorbed and that fortified complementary foods can help to reduce the prevalence of iron deficiency in infants over 6 months and in young children (33).

Several different iron salts can be used to fortify foods, but there are differences in their efficacy in providing a source of absorbable iron and in their storage life. Although the soluble iron fortificants are readily absorbed, they tend to produce undesirable changes in the texture, flavour and smell of food. Ferrous fumarate and succinate have been recommended as iron fortificants for infant cereals because they are well absorbed and do not usually have these organoleptic effects. Milk-based foods may be fortified with ferrous sulfate. Sodium Fe-EDTA has also proven a safe fortificant for use in populations with endemic iron deficiency. It is stable, resistant to the common inhibitors of non-haem iron absorption and improves the absorption of intrinsic iron as well as zinc, but it is expensive (11). Moreover Fe-EDTA fortification should not be used in areas with a high level of lead contamination because of the risk of increasing lead absorption. Vitamin C can be added to counteract the inhibitory effect of phytate in high-extraction-rate cereal-based foods.

A promising approach is to use a multiple micronutrient formulation, especially when other nutrient deficiencies (such as that of vitamin A) are present. As yet, there is no agreement on what the composition of such a supplement should be, although it has been suggested that a powder could be added to complementary foods at household level.

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# OTHER INTERVENTIONS TO CONTROL IRON DEFICIENCY

A comprehensive programme to control iron deficiency and anaemia includes an appropriate mix of interventions designed to best address local conditions, which are determined by the available infrastructures and depend on the epidemiology of the iron deficiency anaemia. It is important to prioritize programme efforts so that scarce resources can be used most effectively.

# Reproductive and obstetric interventions

The iron stores of the newborn infant can be optimized by ensuring a satisfactory iron status of the mother during pregnancy. Reducing the total number of pregnancies and increasing the time between them has a positive impact on the iron status of the mother. Because the iron cost of lactation is generally less than that of regular menstruation, the promotion of exclusive breastfeeding and thereby lactational amenorrhoea will contribute to the control of iron deficiency anaemia in women of reproductive age (48).

Scientific evidence also supports the view that the presence of maternal anaemia does not prevent women from breastfeeding. Furthermore, lactation helps to reduce the likelihood of anaemia in a number of ways.

- Breastfeeding accelerates the contraction of the uterus to its size before
  pregnancy, thus reducing the risk of haemorrhage in the immediate
  postpartum period and thereby preserving maternal iron stores.
- The iron cost of lactation is generally less than that of menstruation, as a
  result of the lactational amenorrhoea produced by exclusive breastfeeding.
- The absorption of iron from the gastrointestinal tract is enhanced in lactating women.
- Lactation increases the mobilization of body iron stores in women.

If women are anaemic during the first half of pregnancy, the risks of low birth weight, preterm delivery and perinatal mortality are increased (18). In addition, there is good evidence that severe iron deficiency anaemia during pregnancy adversely affects the iron endowment of the infant at birth (17). A study from Jordan also found that maternal anaemia during late pregnancy was associated with a significantly higher incidence of anaemia in the offspring (49).

The high physiological requirements for iron during pregnancy are sometimes difficult to meet through the diet. There is therefore a general recommendation that pregnant women should routinely receive iron supplements. The recommendations from the International Nutritional Anaemia Consultative Group (INACG), WHO and UNICEF are given in Table 34.

Table 34. Guidelines for iron supplementation in pregnant women		
Prevalence of anaemia	Dose	Duration
< 40%	60 mg iron and 400 μg folic acid daily	6 months in pregnancy
≥ 40%	60 mg iron and 400 μg folic acid daily	6 months in pregnancy and continuing to 3 months postpartum

*Note*: If 6 months' duration cannot be achieved in pregnancy, continue to supplement during the postpartum period for 6 months or increase the dose to 120 mg iron in pregnancy. Where iron supplements containing 400 µg folic acid are not available, an iron supplement with less folic acid may be used. Supplementation with less folic acid should be used only if supplements containing 400 µg are not available.

Source: Stoltzfus & Dreyfuss (48).

Another low-cost intervention that can reduce anaemia in infants is the delayed clamping of the umbilical cord at birth. If the umbilical cord is not clamped and ligated until it stops pulsating (about one minute after delivery), more red blood cells are transferred from the placenta to the newly born infant. This process can help to prevent iron deficiency in later infancy, because it increases the body iron stores of the infant. According to a study carried out in Guatemala, infants with delayed cord clamping had significantly higher haematocrit values and haemoglobin concentrations at 2 months than those with early cord clamping (50). Previous studies on delayed clamping expressed concerns that if the newborn was placed below the level of the placenta, adverse effects such as hyperbilirubinaemia, polycythaemia and diminished arousal might occur due to the excess transfer of blood. The study in Guatemala found no evidence of polycythaemia or other adverse health effects associated with delayed clamping when the newborn was placed at the same level as the placenta.

# **General fortification**

General food fortification uses the existing food production and distribution system and, although this is not very costly, additional nutrition

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education is required (47). The following foods have been fortified: staple food (wheat flour), commercial infant formula, condiments such as sugar and salt, and milk and milk products.

The addition of iron to foods does not necessarily mean that it will be absorbed, or that it will help to prevent iron deficiency. Much iron added to cereal food today, particularly reduced elemental iron, is poorly absorbed. For example, soluble iron fortificants such as ferrous sulfate are absorbed to the same degree as the intrinsic non-haem iron in the diet. Iron fortificants are therefore poorly absorbed when added to cereal-based diets. Moreover, it should be remembered that the fortification of basic foods such as wheat flour also provides extra iron for adult men and post-menopausal women, who are often not iron-deficient; this could lead to an increased risk of atherosclerosis and cancer due to increased oxidative stress from the prooxidant properties of iron (33). Furthermore, several studies have shown an association between high iron stores and cardiovascular disease and non-insulin-dependent diabetes mellitus.

Before introducing iron fortification programmes, the etiology of the iron deficiency in the target population must be established. Fortification of a foodstuff with iron is appropriate only if iron deficiency is related to low iron intake, low iron bioavailability or both and not, for example, to the presence of gut parasites (29). While flour is a suitable vehicle for iron fortification in programmes aimed at older children and adults, infants and young children do not consume enough flour to achieve a significant positive effect on their iron status.

# **Supplementation**

In some cases, supplementation with iron tablets or iron drops may be considered as one way to prevent iron deficiency anaemia, such as in children 6–24 months of age (see Table 35) and pregnant women, when given with appropriate dietary education programmes. Routine supplementation should be considered for high-risk groups such as preterm infants and infants receiving unmodified cow's milk and milk products before the age of 9 months. Major problems of iron supplementation are the lack of compliance in cases of long-term administration and gastrointestinal side effects, especially with higher dosages (48).

Recently, several studies have compared the efficacy of weekly versus daily iron supplementation. Weekly supplementation resulted in limited side effects and limited risk of oxidative stress, and therefore represents a potentially useful long-term preventive measure as long as compliance is

Table 35. Gui	Table 35. Guidelines for iron supplementation in children aged 6–24 months		
Prevalence of anaemia	of weight		Duration
< 40%	12.5 mg iron and	Normal	From 6 to 12 months of age
	50 μg folic acid daily	Low	From 2 to 24 months of age
> 40%	12.5 mg iron and	Normal	From 6 to 24 months of age
	50 µg folic acid daily	Low	From 2 to 24 months of age

*Note*: If the prevalence of anaemia in children aged 6–24 months is not known, it should be assumed to be similar to the prevalence of anaemia in pregnant women in the same population. Iron dosage is based on 2 mg iron/kg body weight/day.

Source: Stoltzfus & Dreyfuss (48).

good (11). While research to evaluate these regimens in different population groups continues, the current recommendation remains daily supplementation for young children and pregnant women (48).

#### Treatment of hookworm

In areas with endemic hookworm infestation, measures to control the parasite should be an integral part of iron deficiency control programmes. These might include prevention through better sanitation, screening programmes and mass treatment. The prevalence and intensity of hookworm infestation increase with age, so that its effect is greatest on the iron status of school-age children, adolescents and adults, including pregnant women (48).

#### Screening for anaemia

Depending on its epidemiology, universal screening for anaemia is advisable in low-income areas, while selective screening should be used where the prevalence of iron deficiency anaemia is low. Because the iron stores of a full-term infant of normal or high birth weight can meet the body's iron requirement for up to 6 months, anaemia screening is of little value before this age. An exception is where unmodified cow's milk, which may provoke gastrointestinal bleeding, is used before the age of 6 months. In populations with a high prevalence of iron deficiency anaemia, however, monitoring and treatment programmes should be established.

The measurement of haemoglobin levels in primary care facilities should be reviewed to ensure that an accurate system with minimal reagent I22 CHAPTER 6

requirements is used. Strict attention must be paid to the use of sterile (preferably disposable) lancets for obtaining blood, owing to the danger of hepatitis and HIV transmission.

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# Breastfeeding and alternatives

All infants should be exclusively breastfed from birth to about 6 months of age, and at least for the first 4 months of life.

Breastfeeding should preferably continue beyond the first year of life, and in populations with high rates of infection continued breastfeeding throughout the second year and longer is likely to benefit the infant.

Each country should support, protect and promote breastfeeding by achieving the four targets outlined in the Innocenti Declaration: appointment of an appropriate national breastfeeding coordinator; universal practice of the Baby Friendly Hospital Initiative; implementation of the International Code of Marketing of Breast-milk Substitutes and subsequent relevant resolutions of the World Health Assembly; and legislation to protect the breastfeeding rights of working women.

#### THE IMPORTANCE OF BREASTFEEDING

Human milk is the best food for babies and provides all the nutrients needed for about the first 6 months (26 weeks) of life. Moreover, it contains nutrients that serve the unique needs of the human infant, such as certain essential polyunsaturated fatty acids, certain milk proteins, and iron in a readily absorbable form. Human milk also contains immunological and bioactive substances, absent from commercial infant formulas, which confer protection from bacterial and viral infections and may aid gut adaptation and development of the newborn.

To clarify, "6 months" means the end of the first 6 months of life, that is when the infant is 26 weeks old, as opposed to the start of the sixth month of life (21–22 weeks of age). Likewise, "4 months" refers to the end and not to the start of the fourth month of life. Breastfeeding refers to breast-milk taken directly from the breast and should be distinguished from breast-milk feeding.

# **NUTRITIONAL BENEFITS OF BREASTFEEDING**

Human milk is reliably superior to all substitutes, including commercial

infant formula. The composition of human milk is not constant but changes during feeds, according to the time of day, and during the course of lactation. The total volume of maternal milk production and infant milk intake is highly variable, and although a mean milk intake by infants is often quoted as 650–850 ml per day, values may range from very little to more than 1 litre per day, depending almost entirely on the frequency and effectiveness of suckling. Breast-milk intake by the infant increases during the period of exclusive breastfeeding, reaching a plateau of about 700–800 ml per day after about 1–2 months, and only increasing slightly thereafter. The composition of human milk compared to that of commercial infant formula, cow's milk and home-prepared formula is shown in Table 36.

Component	Mean values for mature human milk	Infant formula <sup>a</sup>	Cow's milk	Home- prepared formula <sup>6</sup>
Energy (kJ)	280	250–315	276	221
(kcal)	67	60–75	66	63
Protein (g)	1.3 <sup>c</sup>	1.2-1.95	3.2	2.1
Fat (g)	4.2	2.1-4.2	3.9	2.5
Carbohydrate (g)	7	4.6-9.1	4.6	8.0
Sodium (mg)	15	13–39	55	36
Chloride (mg)	43	32.5-81	97	63
Calcium (mg)	35	59	120	75
Phosphorus (mg)	15	16.3-58.5	92	60
Iron (μg)	76 <sup>d</sup>	325–975 <sup>e</sup>	60	39
Vitamin A (μg)	60	39–117	35	23
Vitamin C (mg)	3.8	5.2	1.8	1.2
Vitamin D (µg)	0.01	0.65-1.63	0.08	0.05

<sup>&</sup>lt;sup>a</sup> Acceptable range (one value only indicates minimum permissible values).

Source: Department of Health, United Kingdom (1).

<sup>&</sup>lt;sup>b</sup> Calculated assuming the recipe for home-prepared formula given on page 158.

<sup>&</sup>lt;sup>c</sup> True protein = 0.85 g per 100 ml (excluding non-protein nitrogen), although a proportion of the non-protein nitrogen is used for the maintenance and growth of infants.

<sup>&</sup>lt;sup>d</sup>Iron in breast-milk is highly bioavailable, with absorption of 50–70%.

<sup>&</sup>lt;sup>e</sup> Iron in infant formula is poorly bioavailable, with only about 10% absorption.

#### **Fat**

Breast-milk has an energy density of approximately 280 kJ (67 kcal)/100 ml. Fat represents around 50% of its total energy content. The foremilk, at the beginning of each feed, is more watery and higher in lactose and has a relatively low fat concentration, which rises so that the most energy-dense milk is secreted at the end of the feed. This hindmilk therefore makes a vital contribution to the infant's energy intake. The fat-rich milk flows more slowly but provides important energy and nutrients, so feeds should not be ended when the flow of milk slows or the infant's suckling becomes less vigorous.

The proportions of fatty acids in cow's milk and human milk are very different (Table 36). Compared with cow's milk, human milk has a greater proportion of unsaturated fatty acids and a higher concentration of essential fatty acids. Furthermore, the long-chain polyunsaturated fatty acids (LCPUFAs) in breast-milk are better absorbed than those in cow's milk. Moreover, evidence suggests that LCPUFAs are important for normal neurodevelopment and visual cortical function. Because the newborn's capacity to convert essential fatty acids to LCPUFAs is limited during the first few months of life, infants rely on the efficient transfer of LCPUFAs from the mother, prenatally via the placenta and postnatally from breast-milk. Milk fats are also the vehicle for the uptake of the fat-soluble vitamins A, D, E and K (see below).

# **Carbohydrates**

The main carbohydrate in human milk is lactose, which accounts for about 40% of its total energy, and is efficiently (> 90%) digested and absorbed in the small intestine under the influence of lactase from the epithelium. Unabsorbed lactose passes to the large bowel where it is fermented by colonic bacteria to short-chain fatty acids and lactate. These are absorbed and make a contribution to energy intake and reduce colonic pH, enhancing the absorption of calcium. In addition, lactose promotes the growth of lactobacilli and may help to develop a favourable colonic flora that protects against gastroenteritis. During acute gastrointestinal infection, formula-fed infants sometimes become lactose-intolerant due to epithelial damage and loss of lactase activity and may have to change to a lactose-free formula. Breastfed infants, however, remain able to tolerate the high lactose content of human milk and should continue to be breastfed (2).

Human milk also contains significant concentrations of oligosaccharides. These components amount to around 15 g/l, and it is calculated that breastfed babies daily ingest several grams of oligosaccharides. Around 40%

are excreted in the faeces, and a small percentage (1-2%) in the urine. Since it is hypothesized that the remaining percentage is in part metabolized by the intestinal flora, there is speculation that these components act as a form of fibre in the diet of breastfed infants. These oligosaccharides may have a primary function in the defence against viruses and bacteria or their toxins and in promoting the growth of the colonic flora, including strains with possible probiotic effects such as the bifidobacteria.

#### **Protein**

The protein content of breast-milk is appropriate for the nutritional needs of infants. It is less than a third that of cow's milk. Moreover, human milk is whey-predominant, whereas cow's milk is casein-predominant with only 20% whey proteins. Of the human whey proteins,  $\alpha$ -lactalbumin and lactoferrin are the most abundant, and represent a complete source of essential amino acids for the human infant. In contrast, the major whey protein found in cow's milk (and thereby in infant formula), is  $\beta$ -lactoglobulin, which is not present in human milk and can evoke an adverse antigenic reaction when fed to infants. The casein in human milk has chemical properties that make it easier for the human infant to digest than the casein found in the milk of other mammals. A proportion of milk protein, particularly in colostrum, is in the form of the immunoprotective proteins immunoglobin A, lactoferrin, lysozyme and other macromolecules that play a part in protecting the infant from microbiological infections.

# Vitamins and minerals

Although women of marginal nutritional status can produce milk of adequate quantity and quality for normal infant growth, optimal micronutrient quality of human milk and therefore optimal micronutrient status of the infant depends on the mother having good nutritional status. To predict the risk of infant or maternal micronutrient deficiencies and the potential impact of maternal supplementation on breast-milk composition, and to plan appropriate interventions, it is useful to classify micronutrients in breast-milk into two groups (Table 37).

The concentration of those nutrients in human milk that are most affected by the mother's dietary intake are the water-soluble vitamins and, to a lesser extent, the fat-soluble vitamins. In contrast, with few exceptions, neither dietary maternal intake nor maternal stores affect the amount of minerals secreted in breast-milk. Where maternal intake can affect the secretion of nutrients into milk, there is usually a plateau above which a further increase in intake will have no effect in increasing their concentrations in milk.

Table 37. The effect of maternal intake and status on the micronutrient content of breast-milk		
Micronutrients affected by maternal status	Micronutrients not affected by maternal status	
Thiamin Riboflavin Vitamin B <sub>6</sub> Vitamin B <sub>12</sub> Vitamin D Vitamin A Iodine Selenium	Zinc Iron Folate Calcium	
<ul> <li>Characteristics</li> <li>Low maternal intake or status reduces the amount of these nutrients secreted in milk, and low breast-milk concentrations can adversely affect infant development.</li> <li>Infant stores of most of these nutrients are low and readily depleted, increasing the infant's dependence on a consistently adequate supply from breast-milk and/or complementary foods.</li> <li>The concentration in breast-milk can be rapidly restored by increasing maternal intake.</li> </ul>	reduced when the mother is deficient, she is vulnerable to further depletion during lactation.  • Maternal supplementation with these nutrients during lactation is more likely to benefit the mother	

Source: World Health Organization (3).

Micronutrient deficiencies with clinical signs are rare in exclusively breastfed infants during the first 6 months of life. Provided that the mother's micronutrient status is satisfactory during pregnancy and lactation, exclusively breastfed infants under 6 months of age do not require vitamin or mineral supplementation. Where micronutrient deficiencies exist, however, dietary improvement or supplementation of the mother is likely to be effective, and will benefit both mother and infant.

#### Vitamin A

Vitamin A is vital for growth and for the development and differentiation of tissues, particularly the epithelia of the gastrointestinal and respiratory tracts. Human milk, and particularly colostrum, represents a good source of vitamin A. Breastfed infants rarely show signs of deficiency even at low intakes, but may have subclinical deficiency if maternal vitamin A status is poor.

#### Vitamin D

Vitamin D is primarily obtained through photosynthesis in the skin by the action of ultraviolet radiation. The vitamin D status of the newborn is dependent on the vitamin D status of the mother during both pregnancy and lactation. If it is poor, infant stores will be low and vitamin D levels in the breast-milk will be inadequate unless the infant receives sufficient exposure to ultraviolet light.

#### **Folate**

Breast-milk has a high folate concentration, which is maintained at the expense of maternal stores (4). Breast-milk folate concentrations do not fall unless the mother is severely depleted, and in the parts of Europe where supplementation of all pregnant and lactating women is a policy, folate deficiency is unlikely.

#### Iron

Despite the relatively low concentration of iron in human milk (which is nevertheless highly bioavailable) there is little risk of iron deficiency anaemia before 9 months of age in infants of normal birth weight who are breastfed exclusively for at least 4 months and who continue to be breastfed on demand (3). Iron concentrations in human milk are not correlated with maternal iron status and are relatively unaffected by the iron content of the maternal diet. Low haemoglobin levels or anaemia in the mother are not a contraindication to breastfeeding. In fact, maternal iron losses through milk during breastfeeding are less than those during menstruation. Thus, breastfeeding helps to prevent anaemia and anaemic mothers should be recommended to continue breastfeeding.

#### Zinc

Like that of iron, the concentration of zinc in breast-milk is relatively low, but it is highly bioavailable and is much better absorbed than zinc in commercial infant formula or cow's milk. The zinc requirement of formula-fed infants may thus be about one third greater than that of exclusively breastfed infants. Zinc deficiency is rare in exclusively breastfed infants before

6 months of age. During the first 6 months of lactation, the zinc concentration in human milk is unaffected by variations in dietary zinc intake (including supplements) or maternal zinc status in well nourished women. Consumption of non-breast-milk foods by an infant can significantly reduce the bioavailability of zinc and iron in breast-milk.

# **Iodine**

There is little information on the iodine content of breast-milk in regions where dietary intakes of iodine are low. It is possible that in iodine deficiency the mammary gland sequesters enough iodine from maternal plasma to prevent breast-milk levels from falling significantly.

# NON-NUTRITIONAL BENEFITS OF BREASTFEEDING

In addition to its nutritional benefits, breastfeeding also confers a number of non-nutritional advantages to young infants (Table 38). These include protection against various acute and chronic illnesses, and enhanced physiological and behavioural development. These effects have been evaluated in several reviews (5,6,8) and the most important are summarized below.

#### **Infections**

Breastfeeding protects infants from infections by two mechanisms. First, it lowers or eliminates exposure to bacterial pathogens transmitted by contaminated food and fluids. Second, human milk contains antimicrobial factors and other substances (Table 39) that strengthen the immature immune system and protect the digestive system of the newborn infant, thereby conferring protection against infections, particularly those of the gastrointestinal and respiratory tracts. Colostrum (milk produced in the first few days after birth) is especially rich in protective proteins, and should not be discarded.

The principal immunoproteins in human milk are secretory immunoglobulin A and lactoferrin. The former acts at mucosal surfaces to protect them from injury by ingested microbial antigens. The latter is an iron-binding protein that competes with bacteria for iron, reducing bacterial viability and thereby the risk of enteric infections, particularly those caused by *Escherichia coli* and *Staphylococus* spp. These immunological factors are not present in commercial infant formula or complementary foods. Formulafed infants therefore enjoy less protection against infection. Human milk also contains many other defence and trophic factors that may play a role in the protection and maturation of the digestive tract.

# Table 38. Health advantages of breastfeeding for infants and mothers

#### Infant

- Reduced incidence and duration of diarrhoeal illnesses.
- Protection against respiratory infection.
- Reduced occurrence of otitis media and recurrent otitis media.
- Possible protection against neonatal necrotizing enterocolitis, bacteraemia, meningitis, botulism and urinary tract infection.
- Possible reduced risk of auto-immune disease, such as diabetes mellitus type I and inflammatory bowel disease.
- Possible reduced risk of sudden infant death syndrome.
- Reduced risk of developing cow's milk allergy.
- Possible reduced risk of adiposity later in childhood.
- Improved visual acuity and psychomotor development, which may be caused by polyunsaturated fatty acids in the milk, particularly docosahexaenoic acid.
- Higher IQ scores, which may be the result of factors present in milk or to greater stimulation.
- Reduced malocclusion due to better jaw shape and development.

#### Mother

- Early initiation of breastfeeding after birth promotes maternal recovery from childbirth; accelerates uterine involution and reduces the risk of haemorrhaging, thereby reducing maternal mortality; and preserves maternal haemoglobin stores through reduced blood loss, leading to improved iron status.
- Prolonged period of postpartum infertility, leading to increased spacing between successive pregnancies if no contraceptives are used.
- Possible accelerated weight loss and return to prepregnancy body weight.
- Reduced risk of premenopausal breast cancer.
- Possible reduced risk of ovarian cancer.
- Possible improved bone mineralization and thereby decreased risk of postmenopausal hip fracture.

Source: adapted from Heinig & Dewey (6,7).

There is abundant evidence that exclusive breastfeeding for around the first 6 months reduces both infant morbidity and mortality. These beneficial effects are more pronounced where infection rates are greatest and hygiene and sanitation are poor (9-12), although benefits have also been found with respect to respiratory illness in industrialized countries (13,14). In addition, infants tend to continue to accept breast-milk during episodes of diarrhoea, whereas consumption of non-breast-milk foods and fluids may

Factor	Function
Secretory immunoglobulin A	Protects intestinal epithelium from luminal antigens, and may actively prime the neonate's immune system
Lactoferrin	Competes with bacteria for iron
Lysozyme	Antibacterial enzyme: lyses cell walls
Bifidus factor	Stimulates lactic acid bacteria, such as bifidobacteria, in the colon
Macrophages	Engulf bacteria
Lymphocytes	Secrete immunoglobulins (B cells) and lymphokines (T cells)
Protease inhibitors	Inhibit digestion of bioactive proteins in milk
Complement	Assists in bacterial lysis
Interferon	Antiviral agent
Oligosaccharides	Inhibitors of bacterial adhesion to epithelium
B <sub>12</sub> and folate binding proteins	Compete with bacteria for these vitamins
Anti-staphylococcus factor	Lipid with anti-staphylococcal action
Anti- <i>Giardia</i> factor	Lipid with anti-Giardia action
Trophic factors	Accelerate gut development
Bile-salt-stimulated lipase	Improves fat digestion in the neonate
Docosahexaenoic and arachidonic acids	Constituents of cell membranes in brain and neural tissue
Antioxidants	Protect from free radical damage

decrease. Breastfeeding therefore both diminishes the negative impact of illness on nutritional status and provides a clean source of fluid to counter dehydration.

The concentrations of anti-infective substances in breast-milk are sustained beyond the first year of life and continue to offer significant protection against infection thereafter. In addition, the production of immunoproteins appears to be independent of women's nutritional and socioeconomic status (15). In a number of studies from countries with a high prevalence of infection, infants that breastfeed into their second year have significantly improved survival compared to those that have ceased breastfeeding (9,16-18).

The evidence that breastfeeding protects against infectious disease is greatest for diarrhoeal disease: formula-fed infants suffer a significantly higher number of diarrhoeal episodes than infants who are breastfed. The risk of necrotizing enterocolitis, a common gastrointestinal disease in neonatal intensive care units, is also greater in formula-fed infants than in infants receiving human milk, and the protective effects of breast-milk remain – at a reduced level – even when infants are only partially breastfed (19).

There is strong evidence that breastfeeding also protects against lower respiratory disease. In a recent study (20), breastfeeding was found to protect young children against pneumonia, especially in the first months of life. Formula-fed infants were 17 times more likely to be admitted to hospital for pneumonia than breastfed infants. Furthermore, a number of studies (21-24) have demonstrated a protective effect of breastfeeding against otitis media. Breastfeeding may also be protective against bacteraemia and meningitis (25-27), botulism (28) and urinary tract infection (29), although the evidence is less strong.

# Active stimulation of the immune system

Most of the protective effects of breastfeeding against infectious disease are passive, that is, the immunoprotective factors in breast-milk protect the mucosal surfaces of the gastrointestinal and respiratory tracts and thereby reduce the risk of infection. There is also evidence, however, that breast-milk has an active influence on the infant's immune system. At 4 months of age, the thymus gland of breastfed infants is twice the size of that of formula-fed infants (30). Furthermore, the response to certain vaccines is better in breastfed than in formula-fed infants (31). There is also evidence that the protective effect against infection lasts for years after breastfeeding ceases. The factor in human milk responsible for the priming of the infant's

own immune system is not known, but candidates are T and B lymphocytes, anti-ideotypic antibodies, cytokines or growth factors (31).

# **Chronic disease**

Studies investigating the impact of infant feeding on chronic illness are limited by their retrospective design. An inverse relationship between insulin-dependent diabetes mellitus (IDDM) and breastfeeding duration has been reported (32–34). IDDM is an autoimmune disease, and it is possible that breastfeeding has a positive influence on the infant's immune system. Early exposure (< 4 months of age) to cow's milk protein may also act as a trigger for the early onset of IDDM (35–37). There is also some evidence to suggest that breastfeeding may protect against Crohn's disease, ulcerative colitis (38) and childhood cancers (39), such as leukaemia (40). Further investigations are needed to confirm these claims.

# **Adiposity**

It has been argued that breastfeeding prevents adiposity later in childhood. In a study from Germany (41) of more than 13 000 children, those that had been breastfed longest were significantly less likely to be obese at 5–6 years of age. Other studies have reported a similar effect.

# Allergy

It has been hypothesized that breastfeeding protects against allergic disease (42). First, human milk provides immunological factors that may protect the infant from exposure and reaction to antigens. Second, breastfeeding results in delayed exposure to many potentially allergenic substances present in foods. Breastfeeding protects against the development of cow's milk allergy, but the question of whether it protects against other allergic diseases, and whether protection against the symptoms of food allergy extends beyond the period of exclusive breastfeeding, remains unresolved (43–45). More studies are required to define the complex relationship between infant feeding and atopic disease.

# Sudden infant death syndrome

In several studies, lack of breastfeeding has been a significant risk factor for sudden infant death syndrome, and has remained significant after controlling for potentially confounding factors (46,47).

# Breastfeeding and cognitive development

Beneficial long-term effects of breastfeeding on IQ and cognitive development have been reported in several studies. In a meta-analysis of 20 studies comparing differences in cognitive development between breastfed and

formula-fed infants, breastfed infants had a three-point advantage after adjusting for confounders such as socioeconomic status and maternal education (48).

# Maternal-infant bonding and infant development

Breastfeeding favours early bonding between mother and infant, and this is likely to play a key role in the development of optimal parental caring behaviour (49). Breastfeeding mothers may be more closely attuned and responsive to their infants than those who bottle-feed. Furthermore, it is possible that a young woman at risk of abusing her child might establish a more favourable relationship if given early support for breastfeeding, and this area deserves exploration (50). Beneficial effects of breastfeeding on long-term development and IQ have been reported in both preterm and full-term infants, but it is very difficult to distinguish the effects of feeding from those of other factors, including the parenting skills, IQ and education of the parents. A child that is not breastfed may receive less attention from and stimulation by his or her mother. Mothers who have chosen not to breastfeed should be encouraged to interact with their babies to the same extent as those who have, so as to facilitate bonding and to stimulate the infant's language and psychosocial development.

#### Lactational amenorrhoea

Lactational amenorrhoea refers to the inhibitory effects of breastfeeding on ovulation during the postpartum period. The duration of postpartum infertility is extended by breastfeeding in proportion to the frequency and duration of infant suckling. Suckling increases the secretion of prolactin and inhibits that of gonadotrophin releasing hormone. The interaction between prolactin and gonadotrophin-releasing hormone prevents the resumption of the normal pre-ovulatory surge in luteinizing hormone, thereby suppressing ovulation.

Reducing maternal fertility extends the intervals between successive pregnancies and leads to a decrease in the number of births, if other forms of contraception are not used. Increasing the space between births so that infants are conceived 18–23 months after a previous live birth (51) is strongly associated with improved child health and survival and has a number of positive effects on maternal health (Table 38). The contraceptive effect of breastfeeding is estimated to be 98% for the first 6 months postpartum, in women who are amenorrhoeic and either fully or nearly fully breastfeeding on demand (52–54). Exclusive, on-demand breastfeeding therefore represents a valuable method of contraception where other methods are not readily available or acceptable. It should therefore be promoted not only for

the direct advantages it confers to the infant in terms of nutrition and protection from disease, but also for the indirect effects it exerts on maternal fertility and consequently on child spacing, and the health and survival of future children (55).

# Breastfeeding and maternal postpartum weight loss

Investigations of the relationship between lactation and maternal post-partum weight have produced conflicting results, largely because of limitations in the design of studies. Of those studies that defined breastfeeding as lasting more than 3 months, however, the majority reported a positive association between weight loss and the duration of lactation while the remaining two found no association (7). There would appear to be no reliable evidence to support fears that breastfeeding increases the risk of maternal obesity, and it is likely that breastfeeding helps mothers regain their pre-pregnancy weight. Gradual weight loss (up to 2 kg per month) during lactation is normal.

# **IMPORTANCE OF MATERNAL NUTRITION**

Women of poor nutritional status can produce sufficient milk of adequate quantity and quality to support normal infant growth. Nevertheless, maternal nutrient stores will be depleted and this can be detrimental if the intervals between pregnancies are short and there is insufficient time for them to be replenished. Furthermore, there is evidence that the fat content of breast-milk may be compromised if maternal fat stores are low. To ensure an optimal quality and quantity of breast-milk without compromising the health of the mother, it is thus important to optimize maternal nutritional status throughout pregnancy and lactation.

The increases in the mother's tissue mass and metabolic activity associated with pregnancy lead to an increase in her energy requirements. It has been estimated that the average energy cost of pregnancy for women with a prepregnancy weight of approximately 60 kg is around 293 MJ (70 000 kcal) (56). This apparent extra energy requirement, however, is rarely matched by an equivalent need to increase food intake, and direct measurements of food intake during pregnancy usually show only a small increase in the third trimester and virtually no change before then. The increase in energy requirements may therefore be balanced by a combination of (a) a decrease in activity to offset the increased energy costs of movement, (b) various energy-saving metabolic adaptations such as a reduction in postprandial thermogenesis and a reduction in basal metabolic rate, and (c) hypertrophy of the gastrointestinal absorptive surface area. Women should try to achieve a

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healthy body weight and optimal nutritional status *before* becoming pregnant, and while pregnant should aim for a desirable increase in body weight.

The energy costs of lactation are much more than those of pregnancy. The major determinant of the extra energy needed during lactation is the energy content of the milk produced. Assuming a milk production of approximately 750 ml/day and a gross energy content of milk of about 2.8 kJ (0.67 kcal)/ml, approximately 2.1 MJ (500 kcal)/day are secreted in milk. Additional energy will also be required to cover the costs of synthesizing breast-milk. It is therefore estimated that the additional dietary energy needs of lactation are approximately 1.4–1.8 MJ (325–425 kcal) per day above pre-pregnancy levels while full, on-demand breastfeeding persists and the extra maternal energy stores laid down during pregnancy remain available.

Good maternal nutrition during pregnancy and lactation to meet increased energy costs is therefore highly desirable. Nevertheless, there is general international consensus, based on scientific evidence from developing countries where protein—energy deficiency and undernutrition are widespread, that even underweight and/or anaemic women can sustain adequate milk production for as long as is recommended. Indeed, results from the majority of studies suggest that, unless the mother is both extremely thin (body mass index < 18.5) and in sustained negative energy balance (57), adverse effects of undernutrition on lactation are unlikely.

In many parts of the WHO European Region there is a strong belief, often perpetuated by health professionals, that loss of maternal weight and/or anaemia are contraindications to breastfeeding. Consequently some health professionals believe that a large number of women are not capable of breastfeeding, and have discouraged it and instead have promoted the use of commercial infant formula. Health professionals require education and training to provide them with the information they need to reassure mothers that neither weight loss nor being underweight or anaemic are reasons for not breastfeeding. It is nevertheless important that women receive advice on how to improve their nutritional status. All women of childbearing age must be made aware of the importance of consuming a balanced diet, including plenty of fresh fruit and vegetables (more than 400 g/day). The training module on "Healthy food and nutrition for women and their families" (58), including the booklet for mothers *Healthy eating during* pregnancy and breastfeeding, are available from the Regional Office and provide a useful guide, as do the CINDI dietary guide and the WHO food pyramid (59). It should be remembered that low rates of breastfeeding in

most countries of the Region are not due to physiological barriers but to social and psychological ones. Thus, improvements in nutritional status and the prevention of anaemia will not alone automatically result in improved breastfeeding practices. Ways in which breastfeeding rates can be increased are discussed in more detail on pages 146–152.

Substantial expansion of the erythrocyte mass increases iron requirements in the second and third trimesters of pregnancy and iron deficiency anaemia of pregnancy may be common, especially where iron intake or absorption is low and/or iron requirements are increased. It is therefore important to inform women which foods are good sources of iron and to recommend those that contain both iron and its enhancers. Excessive consumption of foods rich in inhibitors of iron absorption at the same time as foods containing non-haem iron should also be discouraged. Current recommendations can be found in Chapter 6, which is devoted to a fuller discussion of the iron needs and metabolism of the infant.

### PRACTICAL ASPECTS OF BREASTFEEDING

## **Establishing breastfeeding**

Immediately after birth the healthy baby instinctively searches for food. In the first couple of hours of life outside the womb, the baby is alert, active and ready to feed, and ideally breastfeeding should begin within the first hour. To facilitate this process, the baby should remain in skin-to-skin contact with the mother from immediately after birth until the end of the first feed. Mothers should be encouraged and helped to have skin-to-skin contact with their babies as much as possible during the first days after delivery, and mother and baby should be accommodated together. The practices that help and hinder breastfeeding are outlined in Table 40.

Good positioning of the baby's body is a prerequisite for good attachment at the breast and thereby adequate milk production and intake. Most difficulties can be avoided if good attachment and positioning are achieved during the first few feeds. Fig. 15 illustrates the difference between a well attached and poorly attached baby at the breast. The WHO/UNICEF training course on breastfeeding counselling (61) and WHO's practical guide for health workers (62) provide valuable information on attachment and positioning, and on breastfeeding in general.

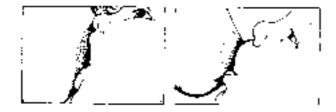
Breastfeeding on demand is the key to establishing and maintaining optimum lactation. As long as the baby is adequately positioned and attached at the breast, and the mother breastfeeds frequently (8–12 times in 24 hours),

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Table 40. Practices that help and hinder breastfeeding		
	Practices that can hinder breastfeeding	Practices that can help breastfeeding
	Separation of mother and baby	Skin-to-skin contact between mother and baby
	Delaying the first feed	Breastfeeding soon after birth (within 1 hour)
	Restricting the frequency of feeding	Frequent, on-demand (baby-led) feeding
	Feeding to a strict timetable	Letting the baby come off the breast spontaneously
	Taking the baby off the breast before the baby is finished	Good positioning and attachment of the baby at the breast
	Giving other fluids before the first breastfeed	Exclusive breastfeeding
	Giving supplementary feeds of artificial milk	Exclusive breastfeeding
	Giving plain water, dextrose, glucose or sucrose water or "teas" between feeds	Exclusive breastfeeding
	Saying anything that makes a mother doubt her ability to produce milk	Building a mother's confidence through kindness and encouragement
	Giving free samples of commercial baby milks	
	Isolating the mother from those who support breastfeeding	
	Using nipple shields, bottle teats and pacifiers	Avoiding nipple shields, bottle teats and pacifiers
	Using drugs during childbirth that sedate the baby	
	Washing the nipples before or after every breastfeed	Avoiding creams and ointments on the nipples; avoiding soap on the breasts and not washing them too often before breastfeeding
_	Source: WHO Regional Office for Europe (60)	

Source: WHO Regional Office for Europe (60).

Fig. 15. A baby well attached (left) and poorly attached (right) at the mother's breast



Source: World Health Organization (61).

the infant is likely to consume an adequate amount of breast-milk. Babies have different feeding patterns and rigid feeding schedules are therefore not recommended. In hospital, truly unrestricted, on-demand feeding is only possible when the mother and baby are accommodated together, thus enabling the mother to respond when her infant shows readiness to feed.

Infants do not suckle continuously, and it is common for mothers to misinterpret pauses in suckling as a sign that the baby has stopped feeding, when in fact this means that the milk is flowing and feeding is going well. Baby-led feeding, in which the infant is allowed to spontaneously come off the breast when he or she is satisfied and chooses not to re-attach when offered the breast a few minutes later, will ensure the best milk production. Taking the infant off the breast prematurely, a custom in some places, can lessen milk intake, produce hungry babies, and needlessly lead mothers to doubt the adequacy of their milk production. Allowing the baby to drain the first breast before the second is offered will ensure that he or she receives optimal quantities of energy-rich hind milk. Breast-milk output is finely tuned to meet the demands of the infant, and a number of studies have demonstrated a positive correlation between breastfeeding frequency and milk output during partial lactation (63). The frequency and completeness of milk removal are important local signals of the regulation of milk production, acting independently of systemic hormones such as prolactin. The build-up of a recently identified milk protein (feedback inhibitor of lactation hormone) is thought to inhibit milk secretion. Thus, frequent breastfeeding should stimulate milk production by limiting the accumulation of this inhibitory protein, whereas infrequent feeding allows its buildup in the circulation and thereby a reduction in milk output and possibly breast engorgement (64).

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# **Sustaining lactation**

To sustain milk production, infants should suckle regularly and the intensity and duration of breastfeeding should be maintained. Colostrum, secreted during the first few days of life, is particularly rich in immunoprotective factors and some vitamins and minerals, and should not be discarded or withheld from infants in favour of prelacteal feeds. Exclusive breastfeeding provides milk of sufficient quantity and quality to meet the increasing needs of the growing infant until about 6 months of age. Even mothers of twins can exclusively breastfeed until around 6 months. When infant demand increases, and the mother responds by breastfeeding more often and longer at each feed, maternal milk production can increase within days and possibly within hours. Human lactation is flexible, and current evidence suggests that in most cases milk intake by a single infant is far below the mother's capacity for milk production. Practices that interfere with the infant's desire or ability to nurse effectively, such as the provision of supplementary fluids (water, glucose, dextrose or sugar water, teas, herbal drinks, juices, gripe water, milks and other fluids) are not necessary, will displace the richer, more nutrient-dense breast-milk, and will interfere with infant suckling and thereby compromise the establishment and continuation of breastfeeding. Even in warm climates, as long as they are exclusively breastfed, infants can conserve fluids and maintain adequate hydration without receiving any additional fluids.

In the first few months of life, irreversible milk insufficiency is rare. However, mothers often lack confidence in their ability to provide sufficient milk to meet their baby's needs and this fear is often reinforced by doctors and other health professionals. Anxiety may have a negative impact on milk secretion, and mothers thus need active and ongoing support to breastfeed well into the second year or beyond. Poor weight gain raises the possibility that the infant is not receiving enough milk from the mother. However, this may be an indication to improve counselling and breastfeeding support rather than to start using alternatives to breast-milk.

Methods of assessing the adequacy of infant growth are largely based on the use of "growth charts", and these should be used with caution. Some growth reference standards are outdated and are based predominantly on data from formula-fed American infants as opposed to international data from exclusively breastfed infants. Breastfed infants have different growth patterns (velocity) than their formula-fed counterparts (65), but there is no evidence to suggest the slower rates of infant growth achieved with exclusive breastfeeding for the first few months of life are suboptimal or harmful. Perceived poor nutritional status and "slow" growth, however, are reasons

given for the premature termination of breastfeeding and inappropriate introduction of formula or transitional foods. New WHO standards based on exclusively and partially breastfed infants are currently being compiled (see Chapter 10).

# **Breastfeeding beyond 6 months**

In the first year of life, the human body undergoes its most rapid phase of growth. Most healthy infants double their birth weight in the first 6 months and triple it in the first year, and at the same time body composition changes dramatically. Exclusive breastfeeding will fully satisfy the nutritional needs of most infants until about 6 months of age. As the baby gets older, bigger and more active, however, nutritional requirements can no longer be met by breast-milk alone (3) (see Fig. 1). Special transitional foods – complementary foods specifically designed to meet the particular nutritional or physiological needs of the infant (see Chapter 8) – are needed to fill the gap between what is provided by breast-milk and the total nutritional needs of the infant.

The introduction of transitional foods does not mean the cessation of breastfeeding. On the contrary, for the first year of life breast-milk should continue to be a main source of food, and should preferably provide between one third and one half of average total energy intake towards the end of the first year. The purpose of complementary foods is to provide *additional* energy and nutrients, and they should ideally not displace breast-milk during the first 12 months. To ensure breast-milk volume is maintained, and to stimulate milk production, mothers should continue to breastfeed their infants frequently during the period of complementary feeding.

When expressed as an approximate percentage of total daily requirements, and assuming a range of intakes of breast-milk during the complementary feeding period, complementary foods need to provide 5–30% of vitamin A, 20–45% of protein, 50–80% of thiamin, 50–65% of riboflavin, 60% of calcium, 85% of zinc and almost 100% of iron needs (3). The estimates suggest that almost no vitamin  $B_6$ , vitamin  $B_{12}$ , vitamin C or folate should be needed from complementary foods, because human milk has a sufficient content of these micronutrients. Human milk therefore represents a very valuable source of nutrients well beyond the time it ceases to be the sole provider of them.

There are a number of external factors that influence the length of time a mother is able to breastfeed her child. Of these, maternity leave and the breastfeeding rights of working women are of primary concern and have been highlighted in the Innocenti Declaration (66; and see Annex 1, page 272).

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Women represent a significant proportion of the workforce in most European countries, and for many women economic constraints will mean that they must return to work while still breastfeeding. Return to the workplace forces mothers, and thereby infants, to conform to schedules that are likely to constrain the flexibility required for breastfeeding on demand. The frequency of breastfeeding is therefore likely to decrease, and consequently breast-milk volume can decline. Expression of milk (using hand expression or a pump) to be fed back to the baby and breastfeeding at night help to maintain milk production, and it should be possible to continue with at least two or three breastfeeds per day throughout the later part of infancy and beyond. The provision of crèche facilities and/or lactation rooms where mothers can express and store their milk, help mothers continue in their decision to breastfeed and will improve breastfeeding frequency. Furthermore, the establishment of crèche facilities in the workplace will benefit all mother-infant relationships, whether or not the infant is breastfed.

WHO and UNICEF currently recommend that breastfeeding should continue for up to 2 years and possibly longer. Evidence supporting the continuation of breastfeeding into the second year of life is strongest in settings where hygiene is poor and infection rates are high. In these conditions, prolonged breastfeeding (for up to 2 or 3 years) has been found to protect against infectious disease and to have a positive association with child survival (16,17). In industrialized countries, the benefits of prolonged breastfeeding are less evident (67). As a result, the American Academy of Pediatrics (5) recommends breastfeeding for at least 12 months and continuation as long as mother and infant desire, while European countries such as Denmark and the United Kingdom tend to give no recommendation on duration of breastfeeding beyond the first 6 months. For the WHO European Region it is therefore recommended that breastfeeding should preferably continue beyond the first year of life, and in populations with high rates of infection continued breastfeeding through the second year of life and longer will benefit the infant.

# HOW TO INCREASE THE DURATION AND INCIDENCE OF BREASTFEEDING

Many factors affect how women feed their infants and the length of time they breastfeed them. These include traditional health care practices, the influence of family and friends, the living environment (urban or rural), socioeconomic status, maternal education, employment and workplace, commercial pressures, and knowledge and availability of breast-milk

substitutes. Sociocultural factors also determine beliefs and attitudes, as well as practices, related to breastfeeding (see Chapter 9).

Women's perceptions of infant feeding are often formed before they become pregnant or give birth. They may vary with ethnicity, marital status and age (68,69) and prior experience, including how a woman was herself fed as a baby (70). The baby's father and grandmothers are particularly powerful forces in influencing the mother's decision to breastfeed, and the pregnant woman's perception of her partner's attitude toward breastfeeding may also influence her decision. Around the time of childbirth, important influences include female peers – friends, sisters and other relatives (71) – and male partners (72).

Breastfeeding rates are also influenced by cultural attitudes, which differ both between and within countries. These differences cannot be explained by socioeconomic factors alone. To improve the initiation and duration of breastfeeding it is essential to address local attitudes, but changing public perception of the best way to feed infants and young children is a big challenge. Health education programmes that promote the benefits of breastfeeding need to target both men and women at all levels of society, in order to bring about a change in the public's perception of the social acceptability of breastfeeding, in both private and public places, and cultural attitudes to it. Health education programmes in schools should stress the advantages of breastfeeding, and emphasize that the breast is an organ of infant nutrition.

# **Baby Friendly Hospital Initiative**

Current health care practices are often at odds with recommendations on the best ways to successfully establish breastfeeding. The "ten steps to successful breastfeeding" shown in Box 3 are the foundation of the Baby Friendly Hospital Initiative launched worldwide by UNICEF and WHO in 1992 (73). They summarize the maternity practices necessary to establish a supportive environment for women wishing to breastfeed and thereby to bring about improvements in the incidence and duration of breastfeeding. The Initiative also prohibits the supply of free and low-cost infant formula in hospitals, and demands the elimination of advertising and promotional activities for infant formula or bottle feeding. To become a baby friendly hospital, every facility that contributes to maternity services and to the care of newborn infants must implement the 10 steps.

Reinforcing this, the Forty-fifth World Health Assembly in 1992 urged Member States to encourage and support all public and private health I48 CHAPTER 7

## Box 3. Ten steps to successful breastfeeding

- 1. Have a written breastfeeding policy that is routinely communicated to all health care staff.
- 2. Train all health care staff in skills necessary to implement this policy.
- 3. Inform all pregnant women about the benefits and management of breastfeeding.
- 4. Help mothers initiate breastfeeding within a half hour of birth.
- 5. Show mothers how to breastfeed, and how to maintain lactation even if they should be separated from their infants.
- 6. Give newborn infants no food and drink other than breast-milk, unless *medically* indicated.
- 7. Practise rooming-in allow mothers and infants to remain together 24 hours a day.
- 8. Encourage breastfeeding on demand.
- 9. Give no artificial teats or pacifiers (also called dummies or soothers) to breastfeeding infants.
- 10. Foster the establishment of breastfeeding support groups and refer mothers to them on discharge from the hospital or clinic.

facilities providing maternity services so that they become "baby-friendly". It should be recognized that mothers choosing not to breastfeed will also benefit from baby-friendly practices such as skin-to-skin contact, rooming-in and feeding on demand.

The effect of the Initiative on the duration of breastfeeding and the prevalence of infection has been examined in a large-scale study in Belarus (M.S. Kramer, personal communication, 1999). The majority of hospitals in the country were randomized to either implement the Initiative or to continue with traditional practice.

The training and continued education of hospital staff are central to the realization of the aims of the Baby Friendly Hospital Initiative. It is

expected that all hospital staff and community workers will have a positive attitude to breastfeeding and be able to offer consistent and accurate advice in a language and style that parents understand. A woman's decision to breastfeed is significantly influenced by health professionals, and mothers should be given continued support, encouragement and advice on their decision to breastfeed, which could extend into the second year and beyond according to current WHO/UNICEF recommendations. There is strong evidence that conflicting advice from health professionals is related to early cessation of breastfeeding. To ensure consistency of information, local public health policies on child nutrition should be developed with the help of parents. In addition, governments are urged to implement the International Code of Marketing of Breast-milk Substitutes (74) and subsequent relevant World Health Assembly resolutions, and companies that manufacture breast-milk substitutes are urged to adhere to it (the full texts of the Code and of the relevant resolutions are given in Annex 1). Furthermore, health professionals should have good knowledge of the provisions of the Code because they have a number of responsibilities under it (75).

The Code was adopted by the World Health Assembly in 1981 as a "minimum requirement" to be enacted "in its entirety" in "all countries". The Code does not try to stop the availability or sale of breast-milk substitutes, but it does seek to stop activities that persuade people to use them. Most importantly, it also protects children fed these products by ensuring that labelling is safe and that decisions are made on the basis of truly independent health advice. The key provisions are summarized in Box 4.

Since 1981, eight resolutions have been adopted by the World Health Assembly, further clarifying and strengthening the Code. Important provisions made in these resolutions stipulate that:

- follow-on milks are not necessary and complementary foods should not be promoted too early;
- obstacles to breastfeeding should be removed from health services, the workplace and the community;
- complementary feeding practices should be fostered from about 6 months of age, emphasizing continued breastfeeding and local foods;
- there should be no free or subsidized supplies of breast-milk substitutes in any part of the health care system;
- governments should ensure that financial support for professionals working in infant and young child health does not create conflicts of interest;
- governments should ensure truly independent monitoring of the Code and subsequent relevant resolutions; and

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• the marketing of complementary foods should not undermine exclusive and sustained breastfeeding.

These resolutions have the same status as the Code itself and should be read together with it.

# Box 4. Summary of World Health Assembly resolutions on the International Code of Marketing of Breast-milk Substitutes

- 1. No advertising of any breast-milk substitutes (any product marketed or represented to replace breast-milk) or feeding bottles or teats.
- 2. No free samples or free or low-cost supplies to mothers.
- 3. No promotion of products in or through health care facilities.
- 4. No contact between marketing personnel and mothers (mothercraft nurses or nutritionists paid by companies to advise or teach).
- 5. No gifts or personal samples to health workers or their families.
- 6. Product labels should be in an appropriate language and no words or pictures idealizing artificial feeding (pictures of infants or health claims) should be used.
- 7. Only scientific and factual information to be given to health workers.
- 8. Governments should ensure that objective and consistent information is provided on infant and young child feeding.
- 9. All information on artificial infant feeding, including labels, should clearly explain the benefits of breastfeeding and warn of the costs and hazards associated with artificial feeding.
- 10. Unsuitable products, such as sweetened condensed milk, should not be promoted for babies.
- 11. All products should be of a high quality and take account of the climatic and storage conditions of the country in which they are to be used.
- 12. Manufacturers and distributors should comply with the Code [and all the resolutions] independently of any government action to implement it.

Member countries of the European Union and countries joining the Union must harmonize their national legislation with that of the Union, including the European Commission's Directive on Infant Formulae and Follow-on Formulae (76). Directives give instructions to countries on the transformation of their provisions into national law, but those instructions are not always absolute, leaving governments with some discretion. Compared with the Code, the European Directive has weaker labelling requirements. It applies only to infant formula and follow-on formula and not to breastmilk substitutes, bottles and teats, and it permits certain forms of promotion such as advertising in specialist baby care and scientific publications. There is therefore a fear in some accession countries that the adoption of the stricter Code legislation will prejudice future membership of the European Union. Although the European Directive contains mandatory language, however, it does permit member states to adopt more restrictive provisions in relation to advertising, and good examples exist in Denmark and Luxembourg.

# Continued support for breastfeeding

Knowledge is only one of a number of factors that can influence a mother's intention to breastfeed, and it may not have much effect by itself. Giving information about the benefits of breastfeeding might influence those who have not already made a decision or who are undecided, but increasing social support may also be effective in enabling women to decide to breastfeed and to carry out their decision.

Continuing support to sustain breastfeeding can be provided in a variety of ways. Traditionally, in most societies, a woman's family and close community give her the help she needs – although practices in this respect are not always optimal. In many countries, however, young women lack positive role models from whom to learn breastfeeding skills. As societies change, in particular with urbanization, support from health workers or from friends who are also mothers, and from the child's father, becomes more important. Evidence suggests that full breastfeeding for the recommended time is consistently associated with support and approval from the male partner and the mother's mother (77).

Both professional and peer group networks are therefore essential and should be developed to support, protect and promote breastfeeding. There is an urgent need to explore the potential of community groups and counsellors, since they may be more able than formal health services to provide the frequent one-to-one help that mothers need to build their confidence and to overcome difficulties. Throughout western Europe there are highly

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effective mother-to-mother support networks, some of which are now expanding into eastern Europe. Policy-makers should identify potential support systems in the community, such as churches and local nongovernmental organizations, and develop these as focal points for peer support groups. Furthermore, in order to attain the goals outlined in the Innocenti Declaration (66), governments should develop national breastfeeding policies, including legislation to protect the breastfeeding rights of working women. This legislation should cover issues such as maternity leave and also ways to make women's working environments more conducive to breastfeeding, by for example the provision of crèche facilities and adequate nursing breaks.

#### **CONTRAINDICATIONS TO BREASTFEEDING**

There are few absolute contraindications to breastfeeding, although many have been cited in the past. The literature from the former Soviet Union includes renal failure, heart insufficiency, cancer, psychiatric illness, thyrotoxicosis, acute viral and bacterial infections, fever of unknown origin, and haemolytic disease of the newborn, but these are not now regarded as being contraindications. The principal contraindications are infection of the mother with certain viruses, especially HIV, and some drugs taken by women when they are breastfeeding.

#### **HIV** infection

It is now recognized that if an HIV-infected woman breastfeeds, there is a risk that her infant will be infected with HIV through her breast-milk. It is therefore crucial that policies are developed to reduce or eliminate this route of transmission.

In countries where commercial infant formula is available and affordable, and where sanitary conditions do not expose formula-fed infants to an excess risk of bacterial contamination, HIV-positive mothers are advised not to breastfeed. In many countries, however, and especially those with a high prevalence of HIV, this is not the case and there is an urgent need to develop policies to tackle the problem.

In September 1999, WHO, UNICEF and UNAIDS issued a joint policy statement on HIV and infant feeding (Annex 2), which takes into account the available scientific evidence of transmission through breast-milk and which promotes the fully informed choice of infant feeding methods by HIV-positive women. In 1998 these three agencies produced a set of guidelines (78) intended to help decision-makers define what action should be

taken in their own countries or local areas. Above all, health care professionals must ensure that the policies developed comply with human rights agreements, and principally that:

All men and women irrespective of their HIV status have the right to determine the course of their reproductive health, and to have access to information and services that allow them to protect their own and their family's health. When the welfare of children is concerned, decisions should be made that are in keeping with children's best interest.

The overall objective is to prevent HIV transmission through breast-milk while continuing to protect, promote and support breastfeeding for HIV-negative women and those of unknown HIV status. The issues are multisectoral and will be of relevance to decision-makers in a number of fields including health, nutrition, family planning, education and social welfare. A fuller discussion of ways to prevent mother-to-child transmission of HIV, together with the key elements required to establish a policy on HIV and infant feeding, can be found in Annex 2.

### **Environmental contamination**

Concerns have been raised about the risks to infants from breast-milk contaminated by environmental pollutants. However, the risks of continued exposure to a chemical through breastfeeding have to be balanced against the risks of infection or nutritional deprivation when breastfeeding is curtailed or discontinued (79). Thus, despite the presence of polychlorinated biphenyls, dioxins and furans in human milk, breastfeeding should be encouraged and promoted because of the convincing evidence of the benefits of human milk to the overall health and development of infants (80). Furthermore, no major studies have demonstrated that pesticides at the concentrations found in breast-milk lead to adverse health outcomes in children exposed through breastfeeding (81).

## Therapeutic drugs

Most therapeutic drugs given to a lactating mother are excreted in her milk. While in general drugs should be avoided if not necessary, there are only a few drugs for which it is necessary to stop or postpone breastfeeding. Among these are anticancer drugs (antimetabolites) and radioactive substances (Table 41). Some drugs (such as ergotamine) may be toxic to the infant, some (such as estrogens) inhibit lactation, while others (such as phenobarbitone) inhibit suckling. Often it is possible to use an alternative drug or to continue breastfeeding while observing the infant for possible

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# Table 41. Breastfeeding and maternal medication

**Breastfeeding contraindicated** Anticancer drugs (antimetabolites)

Radioactive substances (stop breastfeeding temporarily)

**Continue breastfeeding** 

Side effects possible

Monitor baby for drowsiness

Psychiatric drugs and anticonvulsants

Use alternative drug if possible Chloramphenicol, tetracyclines,

metronidazole

Quinolone antibiotics (e.g. ciprofloxacin)

Monitor baby for jaundice Sulfonamides, dapsone

Sulfamethoxazole + trimethoprim

(cotrimoxazole)

Sulfadoxine + pyrimethamine (Fansidar)

Use alternative drug Estrogens, including estrogen-containing

contraceptives Thiazide diuretics Ergometrine

Safe in usual dosage: monitor baby Most commonly used drugs:

Analgesics and antipyretics: short courses of paracetamol, acetylsalicylic acid, ibuprofen; occasional doses of

morphine and pethidine

Antibiotics: ampicillin, amoxicillin, cloxacillin and other penicillins

Erythromycin

Anti-tuberculars, anti-leprotics (see

dapsone above)

Antimalarials (except mefloquine, Fansidar), anthelminthics

Antifungals

Bronchodilators (e.g. salbutamol),

corticosteroids

Antihistamines, antacids, drugs for

diabetes

Most antihypertensives, digoxin Nutritional supplements of iodine, iron,

vitamins

Source: WHO/UNICEF (82).

side effects. Furthermore, it is possible that drugs in breast-milk can cause hypersensitivity in the infant even when concentrations are too low to cause a pharmacological effect.

# Illegal drug use

The avoidance of breastfeeding is recommended where mothers are using illegal drugs.

#### **Tuberculosis**

Women with tuberculosis who choose to breastfeed should receive a full course of chemotherapy. Timely and properly used chemotherapy is the best way to prevent transmission of tubercle bacilli to the baby. All antituberculosis drugs are compatible with breastfeeding and a woman taking them can safely breastfeed her baby. The exception is in women with newly diagnosed active tuberculosis infection, who should be advised to discontinue breastfeeding until they have received at least 2 weeks of chemotherapy. The baby should receive isoniazid prophylaxis and BCG immunization (83).

# **Hepatitis B and C**

Breast-milk can contain hepatitis B surface antigen (HBsAg), and it has been suggested that breastfeeding represents a route by which infants may acquire hepatitis B virus infection. There is no evidence, however, that breastfeeding increases the risk of transmission to the infant (84). Hepatitis B vaccine will substantially reduce perinatal transmission, and could eliminate risk of transmission through breastfeeding. Even when hepatitis C virus has been detected in breast-milk, breastfed infants have not been infected and mothers positive for hepatitis C virus RNA should be advised to breastfeed (85,86).

## **Smoking**

Nicotine can reduce the volume of human milk produced and inhibit its release, and may also cause irritability and poor weight gain in infancy. Women who smoke have lower circulating prolactin levels, which may shorten the period of lactation and reduce the duration of lactational amenorrhoea. Also, the vitamin C concentration in the milk of smoking mothers tends to be lower than that in the milk of nonsmokers (87). Breastfeeding mothers should be encouraged to stop or reduce smoking, but breastfeeding remains the best feeding choice even when smoking continues. Smoking after a breastfeed rather than before will reduce the level of harmful substances in breast-milk.

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#### **ALTERNATIVES TO BREASTFEEDING**

Breastfeeding is normally the best way to feed infants. Nevertheless, there are situations where it may be preferable or necessary to substitute breastmilk with an alternative. In addition to the contraindications summarized above, there will be situations where the mother, despite efforts to continue breastfeeding, will not be able to maintain lactation that will fully meet the infant's nutritional requirements. When cessation of breastfeeding is considered, the risk of giving other than breast-milk should be less than the potential risks associated with continued breastfeeding. Before starting giving other than breast-milk, it is vital to consider the following issues.

- Feeding needs to provide all the infant's nutritional requirements as completely as possible. No substitute fully replicates the nutrient content of breast-milk.
- Breast-milk substitutes lack the properties of breast-milk that protect
  against infection. Bacteria may contaminate breast-milk substitutes during preparation, and it is essential that feeds are prepared and given
  hygienically (see Chapter 12). Even when hygiene is good, artificially fed
  infants suffer a significantly higher rate of gastrointestinal and respiratory infections than breastfed infants.
- The use of breast-milk substitutes is expensive. A recent study conducted in the United States (88) estimated the cost of using a breast-milk alternative to be approximately US \$800 for the first year of life. This cost has to be borne by individual families and translates into costs to communities, with an impact at the population level. In addition to formula, the costs of fuel, water and health care need to be taken into account.
- Women who do not breastfeed lose the benefits of lactational amenorrhoea. In populations where contraceptives are not readily available, this will potentially translate into short birth intervals and compromised maternal health unless women have easy access to family planning services soon after delivery.
- Not breastfeeding can affect mother—infant bonding, resulting in lack of stimulation for the infant. Mothers should be helped to ensure that non-breastfed infants receive as much attention as breastfed infants.

## Commercial infant formula

Most commercial infant formulas are based on cow's milk, and have been designed to mimic the nutrient composition of human milk. Thus the

concentrations of protein and of electrolytes such as sodium, potassium and chloride are lower than those in cow's milk, while the levels of certain minerals, primarily iron and to a lesser extent zinc, are higher (Table 36). Commercial infant formulas lack the non-nutritional, bioactive components of human milk (protective and trophic factors), and the quality of their proteins and lipids (amino acid and fatty acid profiles) may not be optimal for the needs of the baby (see below). Nevertheless, commercial infant formulas provide a satisfactory alternative sole source of nutrition to young infants up to about 6 months of age. Even after the introduction of complementary foods, formula continues to make a major contribution to infant energy and nutrient requirements and, *in the absence of breastfeeding*, it should be the main fluid in the diet for the first 9 months and possibly beyond.

Commercial infant formula is usually available as a milk powder that has to be reconstituted with water. The instructions on the tin or carton for preparing the formula should be followed *exactly* to ensure that it is not too concentrated or diluted. Overconcentration can overload the infant with salts and protein, which can be dangerous, and overdilution can lead to malnutrition. Health workers should be able to demonstrate to mothers and families how to make up breast-milk substitutes properly.

Compositional guidelines for commercial infant formulas have been agreed in the Codex Alimentarius, and these are defined according to the energy density of feeds, that is the energy per 100 ml using products with 65 kcal/100 ml as typical. It must be stressed that when commercial infant formula is recommended as the best alternative to breastfeeding, it is assumed that the formula meets the standards dictated in the Codex (89). In some countries, however, commercial infant formula may be substandard owing to the absence of, or lack of enforcement of, national regulations.

# Cow's milk and milk from other animals

### Cow's milk

Infants should not be given unmodified cow's milk as a drink before the age of 9 months. If infants are fed formula, cow's milk can be gradually introduced into their diet between the ages of 9 and 12 months. If there are no economic constraints, however, it may be better to continue with formula until 12 months of age.

Cow's milk differs greatly from human milk in both the quality and quantity of nutrients, and lacks the trophic and immunological factors present in

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human milk (Table 36). In terms of nutrients, whole cow's milk contains greater amounts of protein and minerals (calcium, sodium, phosphorus, chloride, magnesium and potassium) and less carbohydrate, essential fatty acids (linoleic and  $\alpha$ -linolenic acids) and long-chain polyunsaturated fatty acids, iron, zinc, vitamin C and niacin. Not only does cow's milk have a higher concentration of total protein, but its quality differs from human milk and its proteins are potentially allergenic to the human infant.

# Home-prepared formulas

Milk formulas prepared at home from cow's milk, or that of other mammals such as goats and sheep, are sometimes used to feed infants. These are often deficient in many nutrients, most importantly iron, which is present in low levels and has poor bioavailability. Home-prepared formulas may cause gastrointestinal bleeding if based on unmodified cow's milk (see Chapter 6). They should be given only when commercial infant formula is not available. Nevertheless, they offer a better alternative than unmodified cow's milk. The younger the infant, the more important it is that he or she receives infant formula as opposed to cow's milk. If there are economic constraints limiting the availability of commercial infant formula, the use of formula should be given priority in the first months after birth, because these are the most important from the point of view of optimal infant nutrition and development. Where commercial iron-fortified infant formula is not available, fresh animal milks or dried milk should be modified according to the recipes below, and iron supplements should be given. Preparations of other micronutrients (such as zinc, which may be sprinkled on foods) are currently being developed, and may in the future offer a way of improving the micronutrient density of home-prepared formulas.

# Modified animal milks

Because cow's milk has a higher solute load than human milk, it must be diluted with boiled water to reduce its concentration. This will have a negative impact on energy density, and therefore sugar should be added.

WHO recommends the following recipe for home-prepared formula (60).

- Boil 70 ml of water.
- Add 130 ml of boiled cow's or goat's milk to make 200 ml of feed.
- Add 1 level teaspoonful (5 g) of sugar.

This recipe can also be used if the milk is made up from tinned whole milk powder. The milk should be prepared according to the instructions on the label and then modified following the recipe above.

Goat's milk should be modified in the same way as cow's milk. Sheep's milk, however, has a very high protein content and therefore requires more dilution. The following recipe should be used when modifying sheep's milk.

- Boil 100 ml of water.
- Add 100 ml of boiled sheep's milk to make 200 ml of feed.
- Add 1 level teaspoonful (5 g) of sugar.

Like cow's milk, both goat's and sheep's milk are low in iron and vitamin D, and are also low in folate compared with human and cow's milk. While goat's milk is comparatively low in vitamin A, sheep's milk contains more than cow's milk.

Unmodified goat's and sheep's milk should not be given to infants under the age of 1 year, and thereafter only if precautions against mineral and vitamin deficiencies have been taken, together with action to ensure microbiological safety.

## **Unsuitable breast-milk substitutes**

Skimmed and semi-skimmed milk are not recommended for feeding infants under the age of 1 year. Skimmed milk has had most of the fat removed, and semi-skimmed milk about half of the fat removed, and neither provides enough energy for the growing infant. Sweetened condensed milk is also not recommended owing to its high sugar content. Fruit juices, sugar-water and dilute cereal gruels are sometimes given instead of milk feeds, but these are not recommended as alternatives because of their nutritional incompleteness.

#### **Bottles and cups**

Bottles and artificial teats are one method of feeding infants when they cannot be fed directly from the breast. Cup feeding, however, is becoming increasingly popular and is particularly recommended in areas where hygiene is poor, and for feeding infants under special conditions.

Both bottles and artificial teats can be harmful because:

- bottle feeding increases the risk of diarrhoea (where hygiene is poor), dental disease and otitis media, and may change oral dynamics;
- bottle feeding increases the risk that the infant will receive inadequate stimulation and attention during feeds;
- bottles and teats need to be thoroughly cleaned with a brush and then boiled to sterilize them and this takes time and fuel:

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• sweetened solids are often added to bottle feeds and this increases the risk of dental caries, as does the practice of dipping pacifiers and teats in honey or sugar; and

• bottles may cause "nipple confusion", which may compromise breastfeeding frequency and intensity.

An alternative method of feeding infants who cannot breastfeed is by cup. This is recommended particularly for infants who are expected to breastfeed later, and in situations where hygienic care of bottles and teats is difficult. The correct technique for cup feeding allows the infant to control intake; milk should not be "poured" into the mouth.

# The arguments in favour of cup feeding are that:

- the risk of poor attachment to the breast is less;
- the baby uses his or her tongue while feeding;
- cup feeding is baby-led, the baby pacing his or her own intake in time and quantity;
- cups are safer than bottles because they are easier to clean with soap and water;
- cups are less likely than bottles to be carried around for a long time, thereby giving bacteria the opportunity to multiply;
- cup feeding requires the mother or other caregiver to hold and have more contact with the infant, thus providing more psychosocial stimulation than bottle feeding; and
- cup feeding is better than feeding with a cup and spoon, because spoon feeding takes longer and the mother may stop before the infant has had enough.

The way to feed an infant with a cup is as follows (90).

- Hold the infant sitting upright or semi-upright on your lap.
- Support the infant's back and neck with one arm.
- Hold the small cup of milk to the infant's lips.
- Tip the cup so that the milk just reaches the infant's lips. The cup rests lightly on the infant's lower lip, and the edges of the cup touch the outer part of the infant's upper lip.
- The infant becomes alert and opens his or her mouth and eyes. A lowbirth-weight infant will start to take the milk into his or her mouth with the tongue. A full-term or older infant sucks or sips the milk, spilling some of it
- DO NOT POUR the milk into the infant's mouth. Just hold the cup to the infant's lips and let him or her take it.

- When infants have had enough, they will close their mouth and will not take any more. An infant who has not taken enough may take more the next time, or the frequency of feeding may need to be increased.
- Do not try to make the infant drink a certain amount. Let the infant decide when he or she has had enough.
- Measure the infant's intake over 24 hours rather than at each feeding.

#### **Pacifiers**

Pacifiers are used worldwide and are believed by some health professionals and lay people to be harmless or even necessary and beneficial for an infant's development (91). However, as with artificial teats, pacifiers may reduce the time spent suckling at the breast and thereby interfere with demand feeding, resulting in suppression of breast-milk production. They may alter oral dynamics and affect language development. Furthermore, pacifiers are frequently infected with *Candida albicans* and some children who use pacifiers may have oral thrush, which may resist treatment until the pacifier is discarded. Moreover, pacifiers may affect care because they are used as substitutes by caregivers for time and attention. If used, pacifiers should not be dipped into sweet foods such as jams and honey because of the risk of dental caries (see Chapter 11).

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